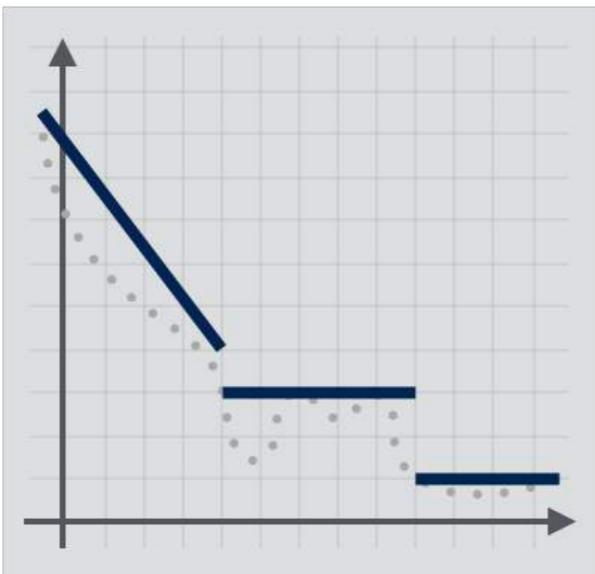


Termination Analysis

MPRI 2-6: Abstract Interpretation,
Application to Verification and Static Analysis



So far, we have focused on **using static analysis to avoid software failures**

Formal Verification: Motivation

A classic example: Ariane 5, Flight 501



Maiden flight of the Ariane 5 Launcher, 4 June 1996.
Cost of failure estimated at more than 370 000 000 US\$¹

¹ M. Dowson, "The Ariane 5 Software Failure", Software Engineering Notes 22 (2): 84, March 1997.

Course 0

Introduction

Antoine Miné

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Formal Verification: Motivation

How can we avoid such failures?

- Choose a safe programming language.
C (low level) / Ada, Java, OCaml (high level)
yet, Ariane 5 software is written in Ada
- Carefully design the software.
many software development methods exist
yet, critical embedded software follow strict development processes
- Test the software extensively.
yet, the erroneous code was well tested... on Ariane 4
→ not sufficient!

We should use **formal methods**.
provide rigorous, mathematical insurance of correctness
may not prove everything, but give a precise notion of what is proved

This case triggered the first large scale static code analysis
(PolySpace Verifier, using abstract interpretation)

Course 0

Introduction

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that is, for **proving Safety Properties**

Safety vs Liveness Properties

Safety and liveness trace properties

Safety properties for traces

- Idea: a safety property P models that “nothing bad ever occurs”
- P is provable by exhaustive testing;
(observe the prefix trace semantics: $T_P(\mathcal{I}) \subseteq P$)
 - P is disprovable by finding a single finite execution not in P .

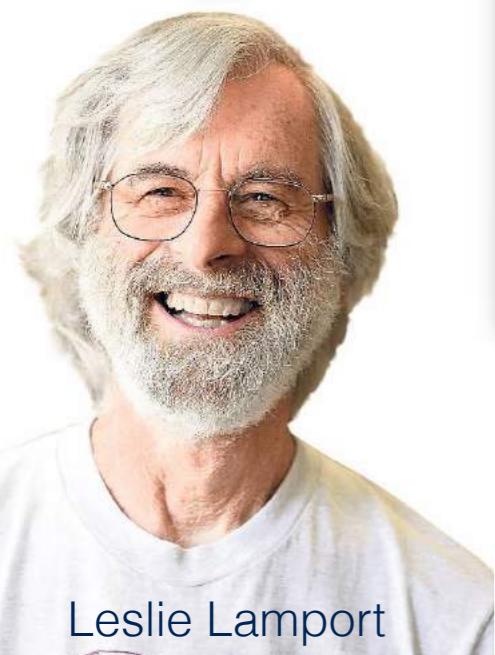
Examples:

- any state property
- ordering: $P \stackrel{\text{def}}{=} \Sigma^c$
no b can appear without
but we can have only
(not a state property)
- but termination
disproving requires e

Course 2

Safety Properties

“something bad
never happens”



Leslie Lamport

Liveness properties

Idea: liveness property $P \in \mathcal{P}(\Sigma^\infty)$

Liveness properties model that “something good eventually occurs”

- P cannot be proved by testing
(if nothing good happens in a prefix execution,
it can still happen in the rest of the execution)
- disproving P requires exhibiting an infinite execution not in P

“something good
eventually happens”

Liveness Properties

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Liveness Properties

- **Guarantee Properties**

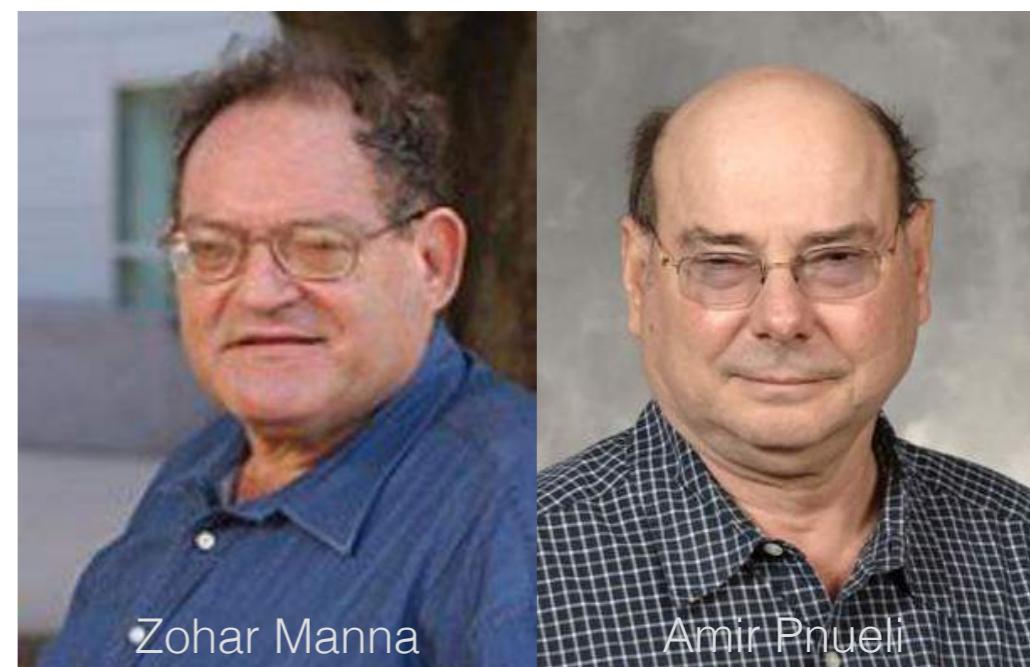
“something good eventually happens at least once”

- Example: Program Termination

- **Recurrence Properties**

“something good eventually happens infinitely often”

- Example: Starvation Freedom



Zohar Manna

Amir Pnueli

Program Termination

The Zune Bug

31 December 2008

unresponsive
systems

A screenshot of a web browser displaying two TechCrunch articles. The top article is titled "Zune bug explained in detail" and was posted on Dec 31, 2008, by Devin Coldewey. It discusses a bug where Zune devices stopped working due to a leap year calculation error. The bottom article is titled "30GB Zunes all over the w..." and was posted on Dec 31, 2008, by Matt Burns (@mjburnsy). It reports that many Zune 30GB models had stopped working, and Microsoft's response was to wait until tomorrow. Both articles include social sharing buttons for Facebook, LinkedIn, and Twitter.

Zune bug explained in detail
Posted Dec 31, 2008 by Devin Coldewey

30GB Zunes all over the w...
Posted Dec 31, 2008 by Matt Burns (@mjburnsy)

A screenshot of a web browser displaying the article "Zune bug explained in detail" from TechCrunch. The article discusses a bug where Zune devices stopped working due to a leap year calculation error. It includes a code snippet showing the problematic code:

```
year = ORIGINYEAR; /* = 1980 */

while (days > 365)
{
    if (IsLeapYear(year))
    {
        if (days > 366)
        {
            days -= 366;
            year += 1;
        }
    }
    else
    {
        days -= 365;
        year += 1;
    }
}
```

You can see the details [here](#), but the important bit is that today, the day count is 366. As you

Apache HTTP Server

Versions <2.3.3

denial-of-service
attacks

The screenshot shows a web browser displaying the MITRE Common Vulnerabilities and Exposures (CVE) database. The URL in the address bar is `cve.mitre.org`. The page header includes the CVE logo, navigation links for 'CVE List', 'CNAs', 'Board', 'About', 'News & Blog', and the National Vulnerability Database (NVD) logo with links to 'CVSS Scores', 'CPE Info', and 'Advanced Search'. A prominent black navigation bar at the top contains five buttons: 'Search CVE List', 'Download CVE', 'Data Feeds', 'Request CVE IDs', and 'Update a CVE Entry'. Below this bar, a grey banner displays the total number of entries: 'TOTAL CVE Entries: 97475'. The main content area shows the details for CVE-2009-1890. The 'CVE-ID' section contains the identifier 'CVE-2009-1890' and a link to 'Learn more at National Vulnerability Database (NVD)'. The 'Description' section provides a detailed explanation of the vulnerability, stating: 'The stream_reqbody_cl function in mod_proxy_http.c in the mod_proxy module in the Apache HTTP Server before 2.3.3, when a reverse proxy is configured, does not properly handle an amount of streamed data that exceeds the Content-Length value, which allows remote attackers to cause a denial of service (CPU consumption) via crafted requests.' The 'References' section is currently empty.

Azure Storage Service

19 November 2014

service
interruptions

The screenshot shows a web browser window with the following details:

- Title Bar:** "Update on Azure Storage Serv" (partially visible).
- Address Bar:** "Secure | https://azure.microsoft.com/en-us/blog/update-on-azure-st..."
- Page Header:** "Microsoft Azure" with navigation icons.
- Breadcrumbs:** "Blog > Announcements".
- Section Title:** "Update on Azure Storage Service Interruption".
- Post Date:** "Posted on November 19, 2014".
- Author:** "Jason Zander" (with profile picture) and "Corporate Vice President, Microsoft Azure Team".
- Social Sharing:** Facebook, Twitter, LinkedIn icons.
- Content:** A detailed update from Jason Zander. It includes an **Update: 11/22/2014, 12:41 PM PST** section where he states that since Wednesday, they have been working to help a subset of customers take final steps to fully recover from Tuesday's storage service interruption. The incident has now been resolved and normal activity is back. It also mentions that the issue was discovered during a performance update to Azure Storage, which resulted in reduced capacity across services utilizing Azure Storage. The update notes that the issue was discovered during a flighting test, which typically identifies issues before broad deployment. The update also mentions that an issue was discovered in storage blob front ends, resulting in an infinite loop that had gone undetected during flighting.

Potential and Definite Termination

Definition

A program with trace semantics
 $\mathcal{M} \in \mathcal{P}(\Sigma^\infty)$ **may terminate**
if and only if $\mathcal{M} \cap \Sigma^* \neq \emptyset$

Definition

A program with trace semantics
 $\mathcal{M} \in \mathcal{P}(\Sigma^\infty)$ **must terminate**
if and only if $\mathcal{M} \subseteq \Sigma^*$

Finite prefix trace semantics

Finite traces

Finite trace: finite sequence of elements from Σ

- ϵ : empty trace (unique)
- σ : trace of length 1 (assimilated to a state)
- $\sigma_0, \dots, \sigma_{n-1}$: trace of length n
- Σ^n : the set of traces of length n
- $\Sigma^{\leq n} \stackrel{\text{def}}{=} \bigcup_{i \leq n} \Sigma^i$: the set of traces of length at most n
- $\Sigma^* \stackrel{\text{def}}{=} \bigcup_{i \in \mathbb{N}} \Sigma^i$: the set of finite traces

Note: we assimilate

- a set of states $S \subseteq \Sigma$ with a set of traces of length 1
- a relation $R \subseteq \Sigma \times \Sigma$ with a set of traces of length 2

so, $\mathcal{I}, \mathcal{F}, \tau \in \mathcal{P}(\Sigma^*)$

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In absence of non-determinism, potential and definite termination coincide

Definite Termination

Ranking Functions



Alan Turing



Robert W. Floyd

Definition

Given a transition system $\langle \Sigma, \tau \rangle$, a **ranking function** is a partial function $f: \Sigma \rightarrow \mathcal{W}$ from the set of program states Σ into a well-ordered set $\langle \mathcal{W}, \leq \rangle$ whose value *strictly decreases* through transitions between states, that is, $\forall \sigma, \sigma' \in \text{dom}(f): (\sigma, \sigma') \in \tau \Rightarrow f(\sigma') < f(\sigma)$

The best known well-ordered sets are **naturals** $\langle \mathbb{N}, \leq \rangle$ and **ordinals** $\langle \mathbb{O}, \leq \rangle$

Safety and liveness trace properties
Proving liveness properties

Variance proof method: (informal definition)
Find a **decreasing quantity** until something good happens.

Example: termination proof

- find $f: \Sigma \rightarrow \mathcal{S}$ where $(\mathcal{S}, \sqsubseteq)$ is **well-ordered**;
(f is called a “ranking function”)
- $\sigma \in \mathcal{B} \implies f = \min \mathcal{S}$;
- $\sigma \rightarrow \sigma' \implies f(\sigma') \sqsubset f(\sigma)$.
(f counts the number of steps remaining before termination)

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Ranking Functions

Example

```
1 x ← [-∞, +∞]
while 2(1 - x < 0) do
    3 x ← x - 1
od4
```

Programs and executions

Language syntax

ℓ stat ::= $\ell X \leftarrow \text{exp}$
| $\ell \text{if } \text{exp} \bowtie 0 \text{ then } \ell \text{stat}$
| $\ell \text{while } \ell \text{exp} \bowtie 0 \text{ do } \ell \text{stat} \ell \text{done}$

 exp ::= X
| $\neg \text{exp}$
| $\text{exp} \diamond \text{exp}$
| c
| $[c, c']$

(assignment)
(conditional)
(loop)
(sequence)
(variable)
(negation)
(binary operation)
(constant $c \in \mathbb{Z}$)
(random input, $c, c' \in \mathbb{Z} \cup \{\pm\infty\}$)

Simple structured, numeric language

- $X \in \mathbb{V}$, where \mathbb{V} is a finite set of **program variables**
- $\ell \in \mathcal{L}$, where \mathcal{L} is a finite set of **control points**
- numeric expressions: $\bowtie \in \{=, \leq, \dots\}$, $\diamond \in \{+, -, \times, /\}$
- **random inputs**: $X \leftarrow [c, c']$
model environment, parametric programs, unknown functions, ...

Course 2 Program Semantics and Properties Antoine Miné p. 3 / 99

Ranking Functions

Example (continue)

```

 $1 x \leftarrow [-\infty, +\infty]$ 
while  $2(1 - x < 0)$  do
     $3 x \leftarrow x - 1$ 
od4

```

$$\Sigma \stackrel{\text{def}}{=} \{1, 2, 3, 4\} \times \mathcal{E}$$

$$\begin{aligned}
\tau &\stackrel{\text{def}}{=} \{(1, \rho) \rightarrow (2, \rho[X \mapsto v]) \mid \rho \in \mathcal{E}, v \in \mathbb{Z}\} \\
&\cup \{(2, \rho) \rightarrow (3, \rho) \mid \rho \in \mathcal{E}, \exists v \in E[1 - x] \rho : v < 0\} \\
&\cup \{(3, \rho) \rightarrow (2, \rho[X \mapsto v]) \mid \rho \in \mathcal{E}, v \in E[x - 1] \rho\} \\
&\cup \{(2, \rho) \rightarrow (4, \rho) \mid \rho \in \mathcal{E}, \exists v \in E[1 - x] \rho : v \not< 0\}
\end{aligned}$$

Programs and executions
From programs to transition relations

Transitions: $\tau[\ell_{\text{stat}}] \subseteq \Sigma \times \Sigma$

$\tau[\ell_1 X \leftarrow e^{\ell_2}] \stackrel{\text{def}}{=} \{(\ell_1, \rho) \rightarrow (\ell_2, \rho[X \mapsto v]) \mid \rho \in \mathcal{E}, v \in E[e] \rho\}$

$\tau[\ell_1 \text{if } e \bowtie 0 \text{ then } \ell_2 s^{\ell_3}] \stackrel{\text{def}}{=} \{(\ell_1, \rho) \rightarrow (\ell_2, \rho) \mid \rho \in \mathcal{E}, \exists v \in E[e] \rho : v \bowtie 0\} \cup \{(\ell_1, \rho) \rightarrow (\ell_3, \rho) \mid \rho \in \mathcal{E}, \exists v \in E[e] \rho : v \not\bowtie 0\} \cup \tau[\ell_2 s^{\ell_3}]$

$\tau[\ell_1 \text{while } e \bowtie 0 \text{ do } \ell_3 s^{\ell_4} \text{ done}^{\ell_5}] \stackrel{\text{def}}{=} \{(\ell_1, \rho) \rightarrow (\ell_2, \rho) \mid \rho \in \mathcal{E}\} \cup \{(\ell_2, \rho) \rightarrow (\ell_3, \rho) \mid \rho \in \mathcal{E}, \exists v \in E[e] \rho : v \bowtie 0\} \cup \{(\ell_4, \rho) \rightarrow (\ell_2, \rho) \mid \rho \in \mathcal{E}\} \cup \{(\ell_2, \rho) \rightarrow (\ell_5, \rho) \mid \rho \in \mathcal{E}, \exists v \in E[e] \rho : v \not\bowtie 0\} \cup \tau[\ell_3 s^{\ell_4}] \cup \tau[\ell_1 s_1; \ell_2 s_2^{\ell_3}] \stackrel{\text{def}}{=} \tau[\ell_1 s_1] \cup \tau[\ell_2 s_2^{\ell_3}]$

(Expression semantics $E[e]$ on next slide)

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Ranking Functions

Example (continue)

```
1x ← [-∞, +∞]  
while 2(1 - x < 0) do  
    3x ← x - 1  
od4
```

Most obvious ranking function:

a mapping $f: \Sigma \rightarrow \mathbb{O}$
from each program state
to
(a well-chosen upper bound on)
the number of steps until termination



Alan Turing



Robert W. Floyd

Ranking Functions

Example (continue)

```
1x ← [-∞, +∞]  
while 2(1 - x < 0) do  
    3x ← x - 1  
od4
```

We define the ranking function $f: \Sigma \rightarrow \mathbb{O}$ by partitioning with respect to the program control points, i.e., $f: \mathcal{L} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$

$$\begin{aligned}f(\mathbf{4}) &\stackrel{\text{def}}{=} \lambda \rho. 0 \\f(\mathbf{2}) &\stackrel{\text{def}}{=} \lambda \rho . \begin{cases} 1 & 1 - \rho(x) \not< 0 \\ 2\rho(x) - 1 & 1 - \rho(x) < 0 \end{cases} \\f(\mathbf{3}) &\stackrel{\text{def}}{=} \lambda \rho . \begin{cases} 2 & 2 - \rho(x) \not< 0 \\ 2\rho(x) - 2 & 2 - \rho(x) < 0 \end{cases} \\f(\mathbf{1}) &\stackrel{\text{def}}{=} \lambda \rho . \omega\end{aligned}$$



Alan Turing

Robert W. Floyd

Potential Termination

Potential Ranking Functions

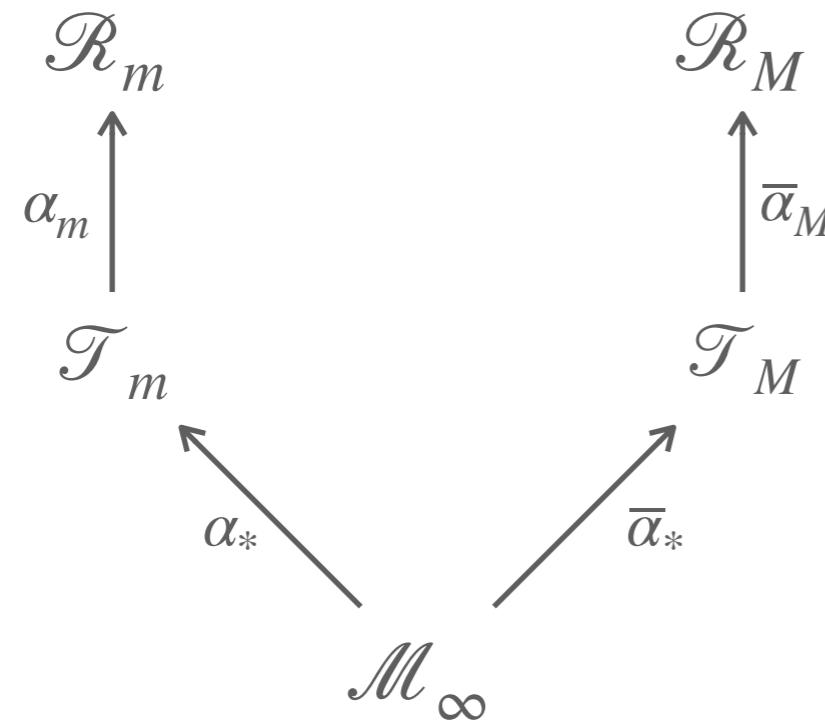
For proving potential termination, we use a *weaker* notion of ranking function, which *decreases along at least one transition* during program execution

Definition

Given a transition system $\langle \Sigma, \tau \rangle$, a **potential ranking function** is a partial function $f: \Sigma \rightarrow \mathcal{W}$ from the set of states Σ into a well-ordered set $\langle \mathcal{W}, \leq \rangle$ whose value *strictly decreases* through at least one transitions from each state, that is, $\forall \sigma \in \text{dom}(f): (\exists \bar{\sigma} \in \text{dom}(f): (\sigma, \bar{\sigma}) \in \tau) \Rightarrow \exists \sigma' \in \text{dom}(f): (\sigma, \sigma') \in \tau \wedge f(\sigma') < f(\sigma)$

Termination Semantics

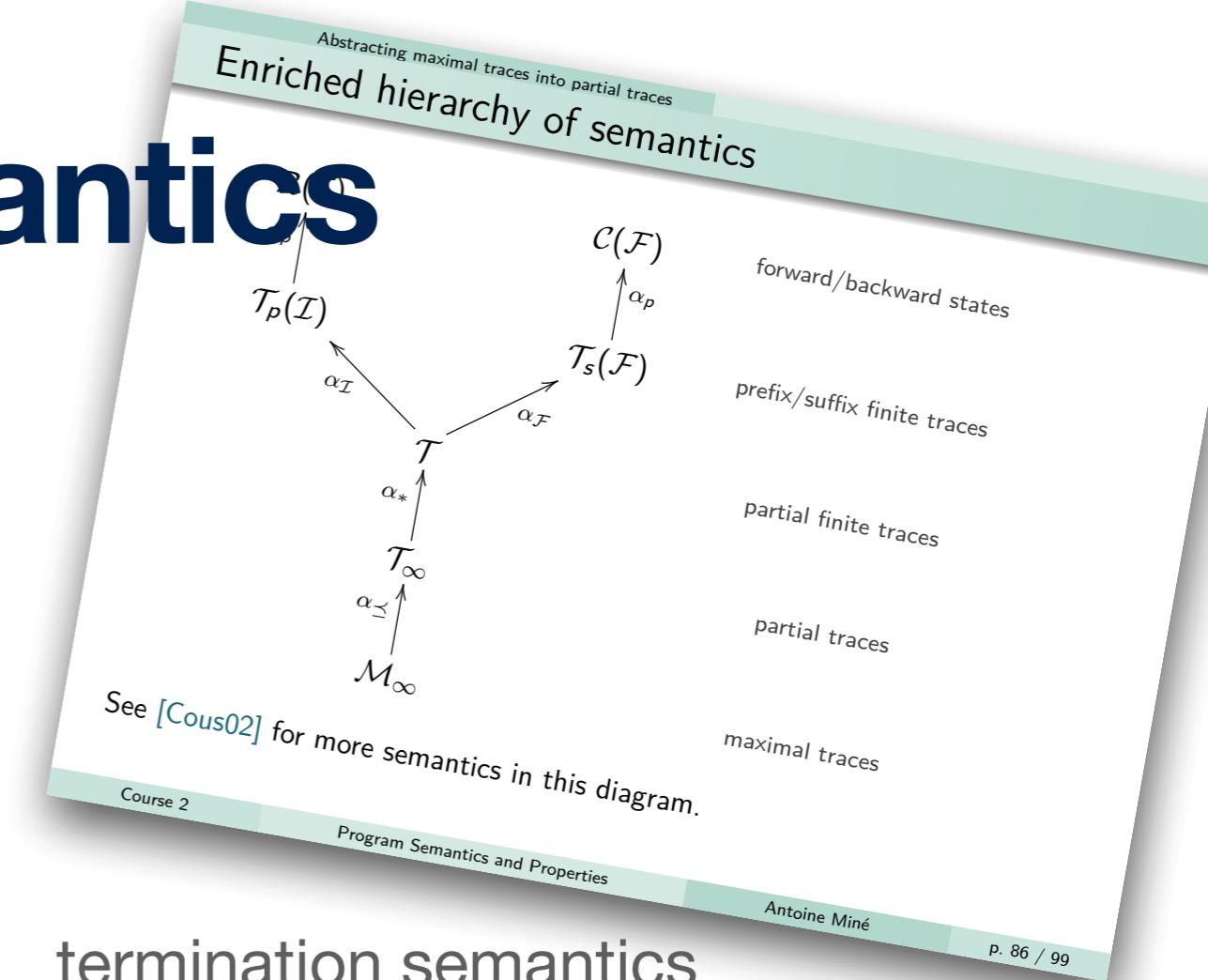
Hierarchy of Semantics



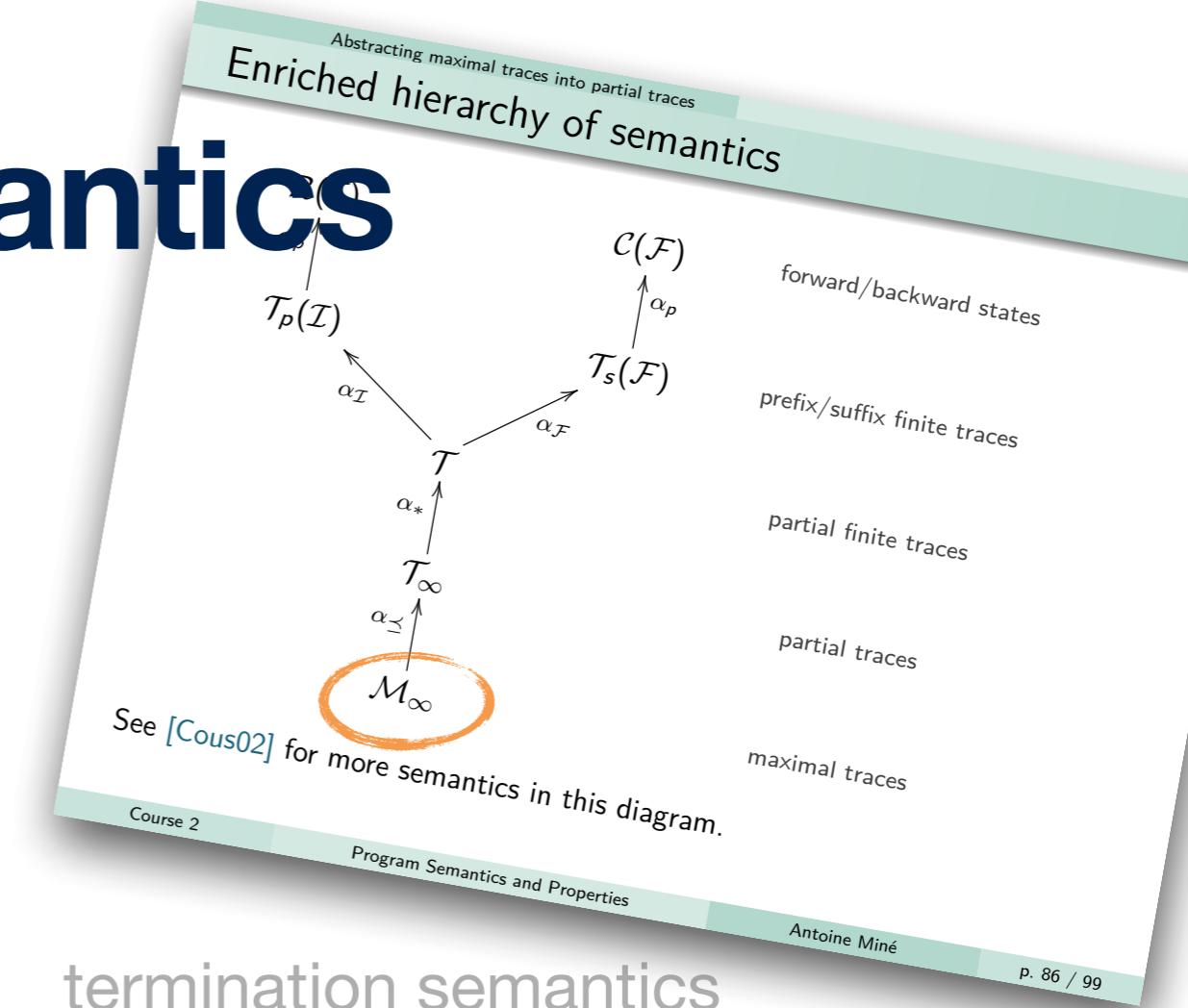
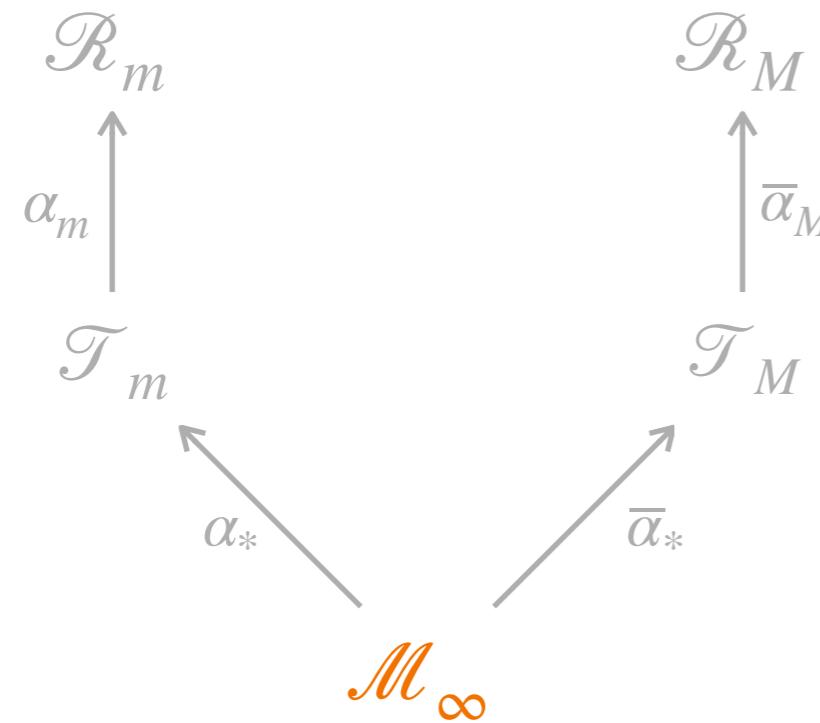
termination semantics

termination trace semantics

maximal trace semantics



Hierarchy of Semantics



termination semantics

termination trace semantics

maximal trace semantics

Maximal Trace Semantics

Example

while 1([$-\infty, +\infty]$) $\neq 0$ **do**
2skip

od³

$$\Sigma \stackrel{\text{def}}{=} \{1, 2, 3\} \times \mathcal{E}$$

$$\begin{aligned}\tau \stackrel{\text{def}}{=} & \{(1, \rho) \rightarrow (2, \rho) \mid \rho \in \mathcal{E}\} \\ & \cup \{(2, \rho) \rightarrow (1, \rho) \mid \rho \in \mathcal{E}\} \\ & \cup \{(1, \rho) \rightarrow (3, \rho) \mid \rho \in \mathcal{E}\}\end{aligned}$$

$$\begin{aligned}\mathcal{M}_\infty \stackrel{\text{def}}{=} & \{(1, \rho)(2, \rho)^*(3, \rho) \mid \rho \in \mathcal{E}\} \\ & \cup \{(1, \rho)(2, \rho)^\omega \mid \rho \in \mathcal{E}\}\end{aligned}$$

Maximal traces: $\mathcal{M}_\infty \in \mathcal{P}(\Sigma^\infty)$

- sequences of states linked by the transition relation τ ,
- start in any state ($\mathcal{I} = \Sigma$),
- either finite and stop in a blocking state ($\mathcal{F} = \mathcal{B}$),
- or infinite.

$$\mathcal{M}_\infty \stackrel{\text{def}}{=} \left\{ \sigma_0, \dots, \sigma_n \in \Sigma^* \mid \sigma_n \in \mathcal{B}, \forall i < n : \sigma_i \rightarrow \sigma_{i+1} \right\} \cup \left\{ \sigma_0, \dots, \sigma_n, \dots \in \Sigma^\omega \mid \forall i < \omega : \sigma_i \rightarrow \sigma_{i+1} \right\}$$

(can be anchored at \mathcal{I} and \mathcal{F} as: $\mathcal{M}_\infty \cap (\mathcal{I} \cdot \Sigma^\infty) \cap ((\Sigma^* \cdot \mathcal{F}) \cup \Sigma^\omega))$

Course 2

Program Semantics and Properties
Maximal trace semantics

Antoine Miné
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Least fixpoint formulation of maximal traces

Idea: To get a least fixpoint formulation for whole \mathcal{M}_∞ , merge finite and infinite maximal trace least fixpoint forms.

Fixpoint fusion

$\mathcal{M}_\infty \cap \Sigma^*$ is best defined on $(\mathcal{P}(\Sigma^*), \subseteq, \cup, \cap, \emptyset, \Sigma^*)$.
 $\mathcal{M}_\infty \cap \Sigma^\omega$ is best defined on $(\mathcal{P}(\Sigma^\omega), \supseteq, \cap, \cup, \Sigma^\omega, \emptyset)$, the dual lattice
 (we transform the greatest fixpoint into a least fixpoint!)

We mix them into a new complete lattice $(\mathcal{P}(\Sigma^\infty), \sqsubseteq, \sqcup, \sqcap, \perp, \top)$:

- $A \sqsubseteq B \stackrel{\text{def}}{\iff} (A \cap \Sigma^*) \subseteq (B \cap \Sigma^*) \wedge (A \cap \Sigma^\omega) \supseteq (B \cap \Sigma^\omega)$
- $A \sqcup B \stackrel{\text{def}}{=} ((A \cap \Sigma^*) \cup (B \cap \Sigma^*)) \cup ((A \cap \Sigma^\omega) \cap (B \cap \Sigma^\omega))$
- $A \sqcap B \stackrel{\text{def}}{=} ((A \cap \Sigma^*) \cap (B \cap \Sigma^*)) \cup ((A \cap \Sigma^\omega) \cup (B \cap \Sigma^\omega))$
- $\perp \stackrel{\text{def}}{=} \Sigma^\omega$
- $\top \stackrel{\text{def}}{=} \Sigma^*$

In this lattice, $\mathcal{M}_\infty = \text{lfp } F_s$ where $F_s(T) \stackrel{\text{def}}{=} \mathcal{B} \cup \tau \cap T$.

(proof on next slides)

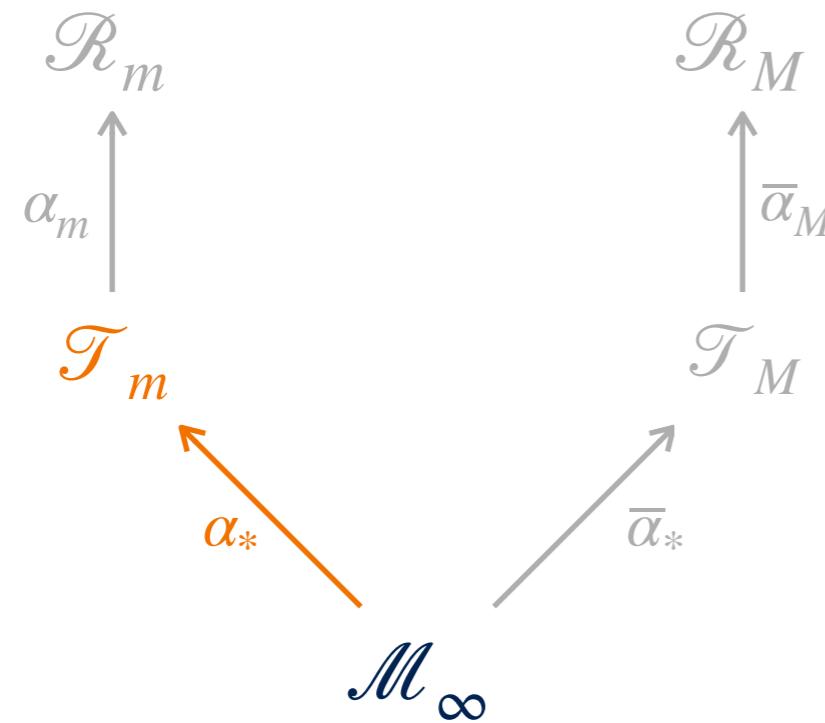
Course 2

Program Semantics and Properties

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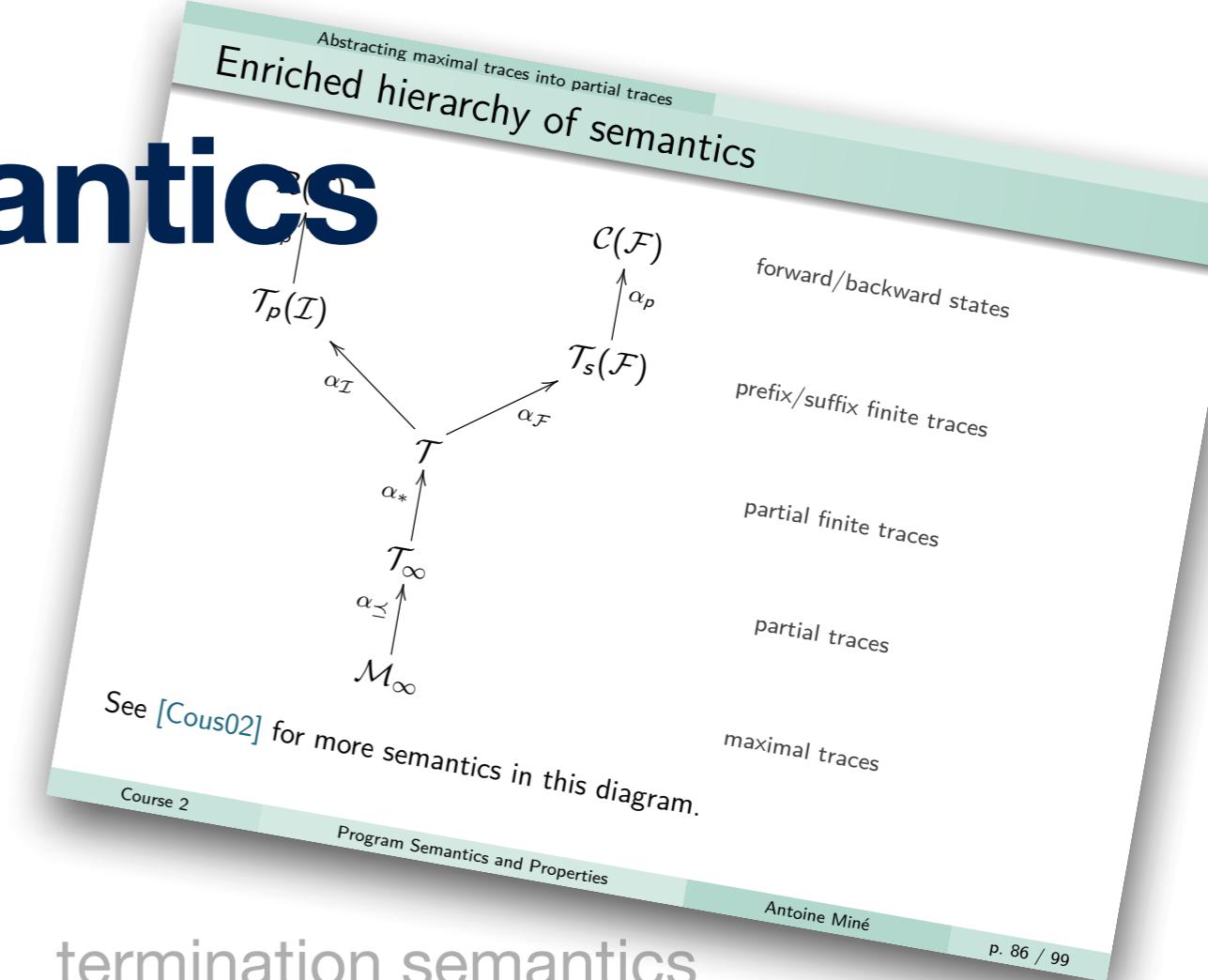
Hierarchy of Semantics



termination semantics

termination trace semantics

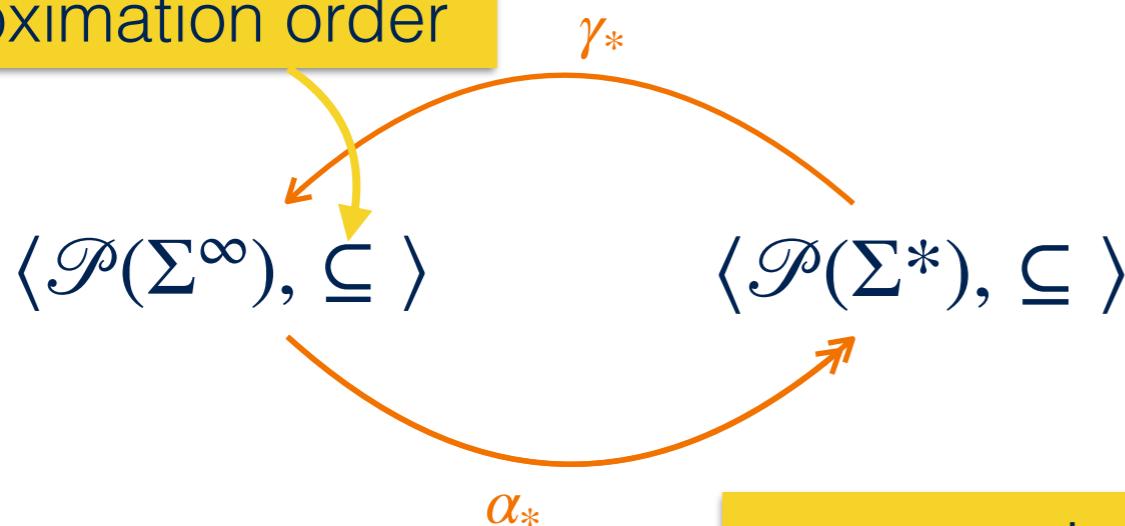
maximal trace semantics



Potential Termination Trace Semantics

Potential Termination Abstraction

approximation order



$$\alpha_*(T) \stackrel{\text{def}}{=} T \cap \Sigma^*$$

$$\gamma_*(T) \stackrel{\text{def}}{=} T \cup \Sigma^\omega$$

Example:

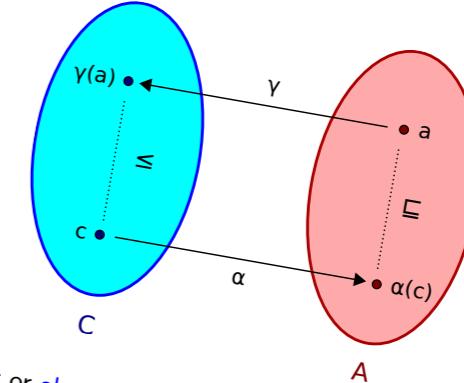
$$\alpha_*(\{ab, aba, bb, ba^\omega\}) = \{ab, aba, bb\}$$

Galois connections

Given two posets (C, \leq) and (A, \sqsubseteq) , the pair $(\alpha : C \rightarrow A, \gamma : A \rightarrow C)$ is a **Galois connection** iff:

$$\forall a \in A, c \in C, \alpha(c) \sqsubseteq a \iff c \leq \gamma(a)$$

which is noted $(C, \leq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$.



- α is the **upper adjoint** or **abstraction**; A is the **abstract domain**.
- γ is the **lower adjoint** or **concretization**; C is the **concrete domain**.

Course 1

Order Theory

Antoine Miné

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Maximal trace semantics

Least fixpoint formulation of maximal traces

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Fixpoint fusion

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 (we transform the greatest fixpoint into a least fixpoint!)

We mix them into a **new complete lattice** $(\mathcal{P}(\Sigma^\infty), \sqsubseteq, \sqcup, \sqcap, \perp, \top)$:

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- $\perp \stackrel{\text{def}}{=} \Sigma^\omega$
- $\top \stackrel{\text{def}}{=} \Sigma^*$

In this lattice, $\mathcal{M}_\infty = \text{lfp } F_s$ where $F_s(T) \stackrel{\text{def}}{=} \mathcal{B} \cup \tau^\frown T$.

(proof on next slides)

Course 2

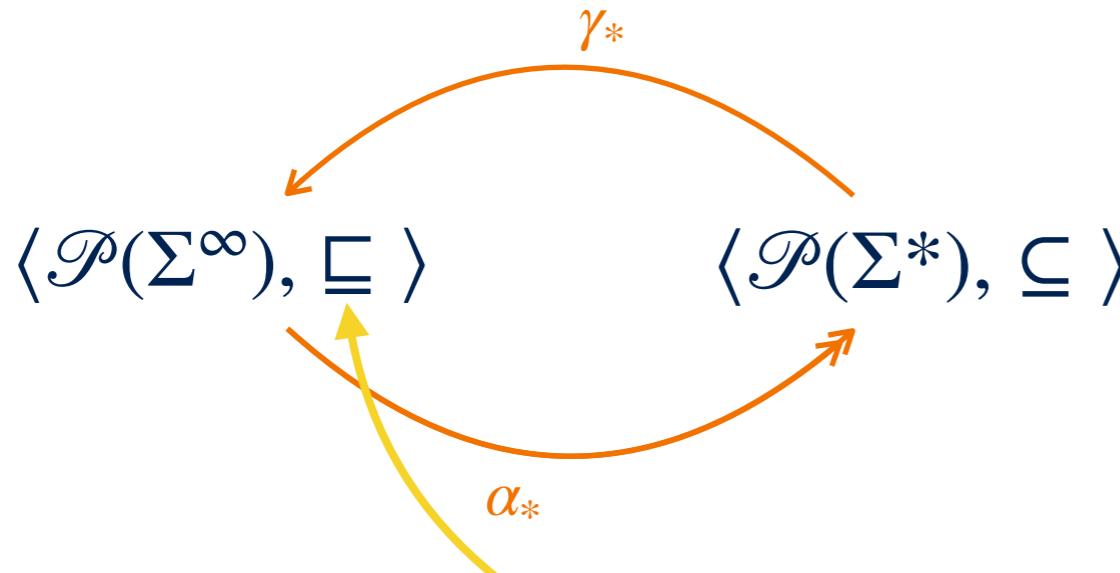
Program Semantics and Properties

Antoine Miné

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Potential Termination Trace Semantics

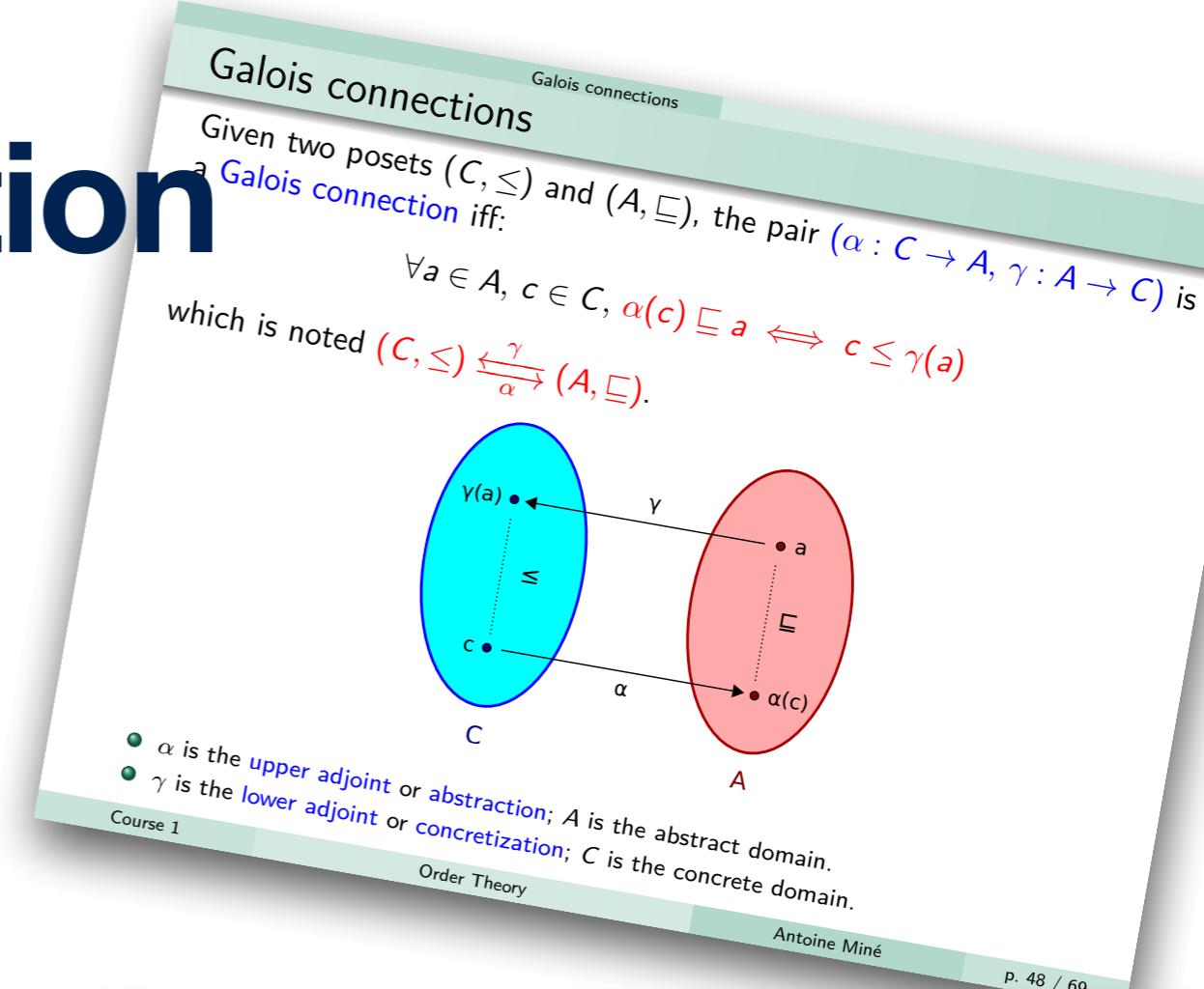
Finite Trace Abstraction



approximation and computational order coincide

$$\alpha_*(T) \stackrel{\text{def}}{=} T \cap \Sigma^*$$

$$\gamma_*(T) \stackrel{\text{def}}{=} T$$



Finite trace abstraction

Finite partial traces \mathcal{T} are an **abstraction** of all partial traces \mathcal{T}_∞ (forget about infinite executions)

We have a **Galois embedding**:

$$(\mathcal{P}(\Sigma^\infty), \sqsubseteq) \overset{\gamma_*}{\underset{\alpha_*}{\rightleftarrows}} (\mathcal{P}(\Sigma^*), \subseteq)$$

- \sqsubseteq is the fused ordering on $\Sigma^* \cup \Sigma^\omega$:
 $A \sqsubseteq B \stackrel{\text{def}}{\iff} (A \cap \Sigma^*) \subseteq (B \cap \Sigma^*) \wedge (A \cap \Sigma^\omega) \supseteq (B \cap \Sigma^\omega)$
- $\alpha_*(T) \stackrel{\text{def}}{=} T \cap \Sigma^*$
(remove infinite traces)
- $\gamma_*(T) \stackrel{\text{def}}{=} T$
(embedding)
- $\mathcal{T} = \alpha_*(\mathcal{T}_\infty)$

(proof on next slide)

Potential Termination Trace Semantics

Kleenian Fixpoint Transfer

- $\langle \mathcal{P}(\Sigma^\infty), \sqsubseteq \rangle$
- $\mathcal{M}_\infty \stackrel{\text{def}}{=} \text{lfp}^{\sqsubseteq} F_s$
 $F_s(T) \stackrel{\text{def}}{=} \mathcal{B} \cup \tau^\frown T$
- $\langle \mathcal{P}(\Sigma^*), \sqsubseteq \rangle$
- $\alpha_*: \mathcal{P}(\Sigma^\infty) \rightarrow \mathcal{P}(\Sigma^*)$
 $\alpha_*(T) \stackrel{\text{def}}{=} T \cap \Sigma^*$
- $\mathcal{T}_m \stackrel{\text{def}}{=} \alpha_*(\mathcal{M}_\infty) = \text{lfp}^{\sqsubseteq} F_*$
 $F_*(T) \stackrel{\text{def}}{=} \mathcal{B} \cup \tau^\frown T$

we have:

- a Galois connection $(C, \leq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$ between CPOs
- monotonic concrete and abstract functions
 $f: C \rightarrow C$, $f^\#: A \rightarrow A$
- a commutation condition $\alpha \circ f = f^\# \circ \alpha$
- an element a and its abstraction $a^\# = \alpha(a)$
then $\alpha(\text{lfp}_a f) = \text{lfp}_{a^\#} f^\#.$

Theorem

Let $\langle C, \leq \rangle$ and $\langle A, \sqsubseteq \rangle$ be complete partial orders, let $f: C \rightarrow C$ and $f^\#: A \rightarrow A$ be monotonic functions, and let $\alpha: C \rightarrow A$ be a continuous abstraction function such that $\alpha(a) = a^\#$, for $a \in C$ and $a^\# \in A$, and that satisfies the commutation condition $\alpha \circ f = f^\# \circ \alpha$. Then, we have the fixpoint abstraction $\alpha(\text{lfp}_a^{\leq} f) = \text{lfp}_{a^\#}^{\sqsubseteq} f^\#.$

Potential Termination Trace Semantics

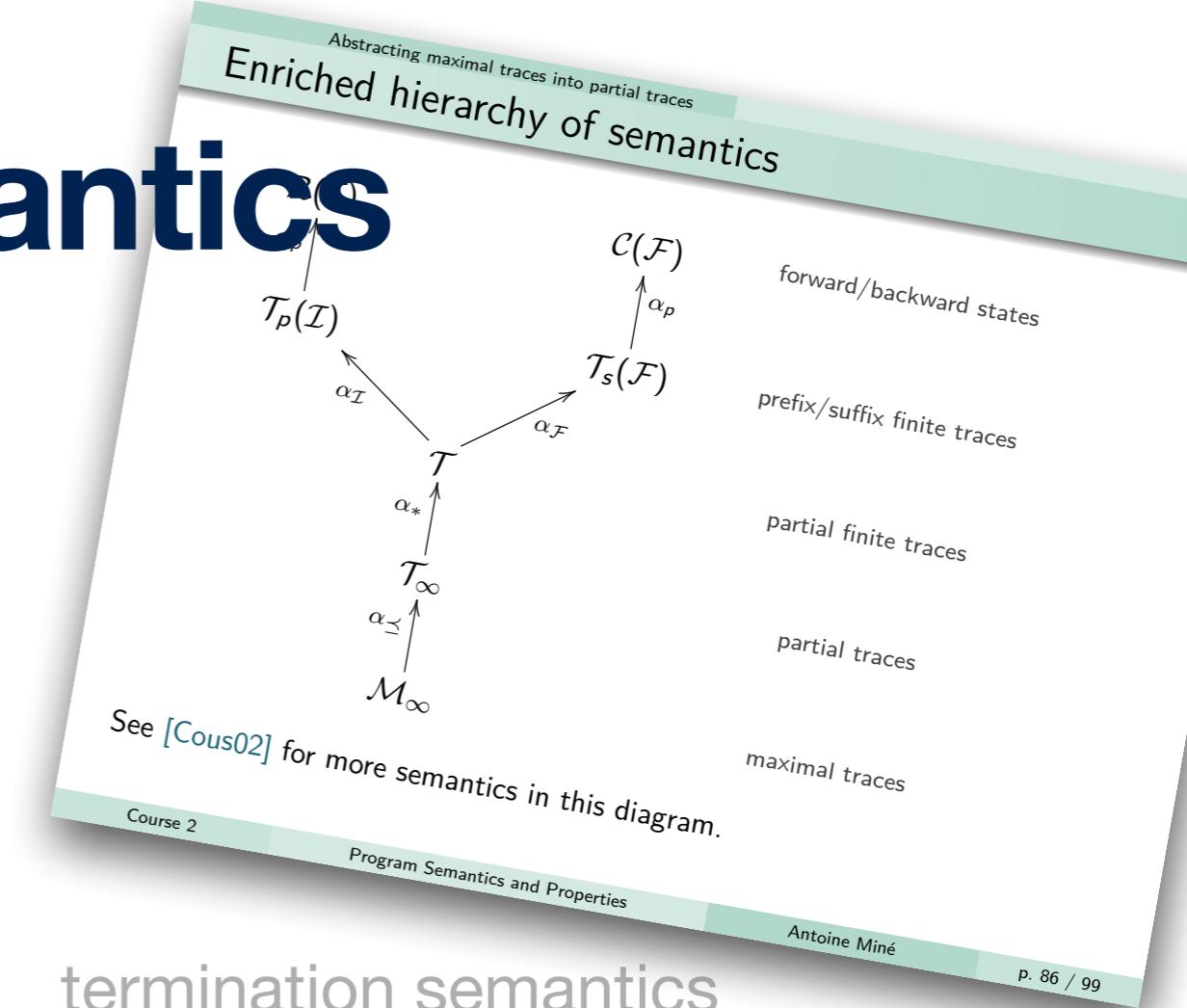
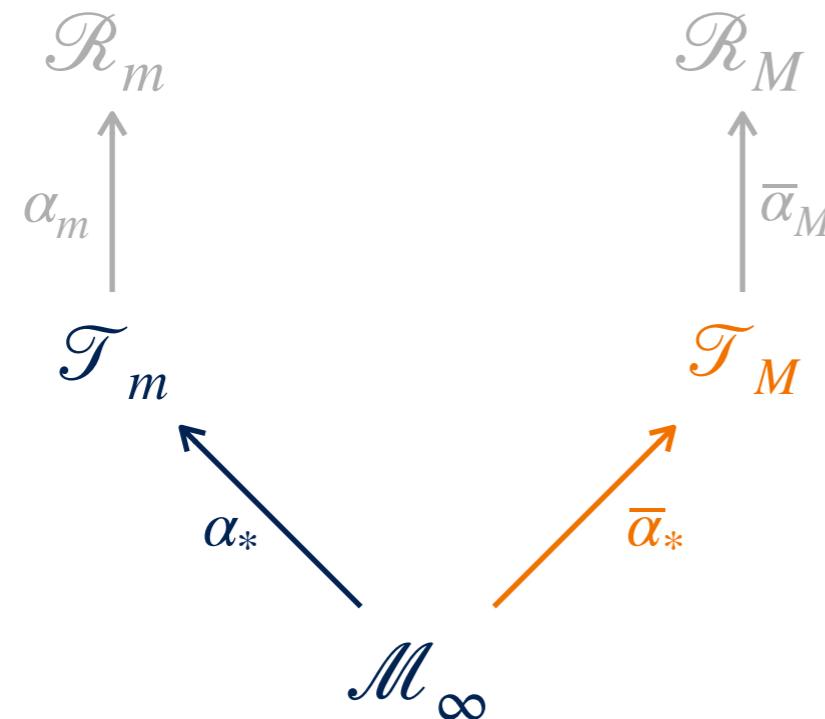
Example

```
while 1([-∞, +∞] ≠ 0) do
    2skip
od3
```

$$\begin{aligned}\mathcal{M}_\infty &\stackrel{\text{def}}{=} \{(\mathbf{1}, \rho)(\mathbf{2}, \rho)^*(\mathbf{3}, \rho) \mid \rho \in \mathcal{E}\} \\ &\quad \cup \{(\mathbf{1}, \rho)(\mathbf{2}, \rho)^\omega \mid \rho \in \mathcal{E}\}\end{aligned}$$

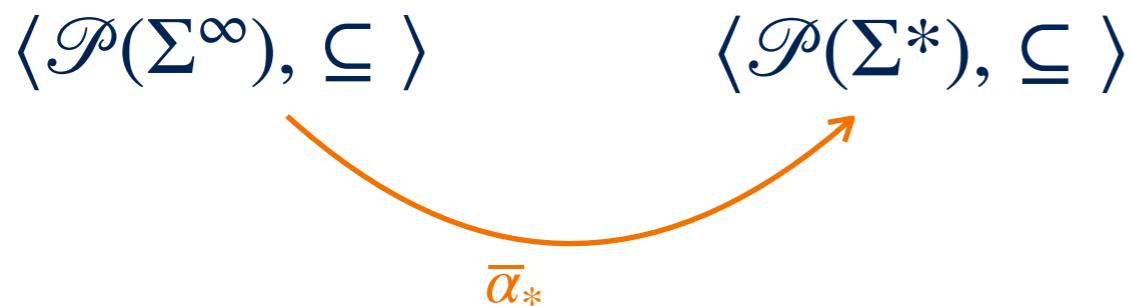
$$\mathcal{T}_m \stackrel{\text{def}}{=} \{(\mathbf{1}, \rho)(\mathbf{2}, \rho)^*(\mathbf{3}, \rho) \mid \rho \in \mathcal{E}\}$$

Hierarchy of Semantics



Definite Termination Trace Semantics

Definite Termination Abstraction



$$\bar{\alpha}_*(T) \stackrel{\text{def}}{=} \{t \in T \cap \Sigma^* \mid \text{nhdb}(t, T \cap \Sigma^\omega) = \emptyset\}$$

$$\text{nhdb}(t, T) \stackrel{\text{def}}{=} \{t' \in T \mid \text{pf}(t) \cap \text{pf}(t') \neq \emptyset\}$$

$$\text{pf}(t) \stackrel{\text{def}}{=} \{t' \in \Sigma^\infty \setminus \{\epsilon\} \mid \exists t'' \in \Sigma^\infty : t = t' \cdot t''\}$$

Example:

$$\alpha_*(\{ab, aba, bb, ba^\omega\}) = \{ab, aba\} \text{ since } \text{pf}(bb) \cap \text{pf}(ba^\omega) = \{b\} \neq \emptyset$$

Definite Termination Trace Semantics

Tarskian Fixpoint Transfer

- $\langle \mathcal{P}(\Sigma^\infty), \sqsubseteq, \sqcup, \sqcap, \Sigma^\omega, \Sigma^* \rangle$
- $\mathcal{M}_\infty \stackrel{\text{def}}{=} \text{lfp}^{\sqsubseteq} F_s$
 $F_s(T) \stackrel{\text{def}}{=} \mathcal{B} \cup \tau^\frown T$
- $\langle \mathcal{P}(\Sigma^*), \sqsubseteq, \sqcup, \sqcap, \emptyset, \Sigma^* \rangle$
- $\bar{\alpha}_*: \mathcal{P}(\Sigma^\infty) \rightarrow \mathcal{P}(\Sigma^*)$

$$\mathcal{T}_M \stackrel{\text{def}}{=} \bar{\alpha}_*(\mathcal{M}_\infty) = \text{lfp}^{\sqsubseteq} \bar{F}_*$$

$$\bar{F}_*(T) \stackrel{\text{def}}{=} \mathcal{B} \cup ((\tau^\frown T) \cap \neg(\tau^\frown \neg T))$$

Theorem

Let $\langle C, \leq, \vee, \wedge, \perp, \top \rangle$ and $\langle A, \sqsubseteq, \sqcup, \sqcap, \perp^\#, \top^\# \rangle$ be complete lattices, let $f: C \rightarrow C$ and $f^\#: A \rightarrow A$ be monotonic functions, and let $\alpha: C \rightarrow A$ be an abstraction function that is a complete \wedge -morphism ($\forall S \subseteq C: f(\wedge S) = \sqcap \{f(s) \mid s \in S\}$) and that satisfies $f^\# \circ \alpha \sqsubseteq \alpha \circ f$ and the post-fixpoint correspondence $\forall a^\# \in A: f^\#(a^\#) \sqsubseteq a^\# \Rightarrow \exists a \in C: f(a) \leq d \wedge \alpha(a) = a^\#$ (i.e., each abstract post-fixpoint of $f^\#$ is the abstraction by α of some concrete post-fixpoint of f). Then, we have the fixpoint abstraction $\alpha(\text{lfp}^{\leq} f) = \text{lfp}^{\sqsubseteq} f^\#$.

(see proof in [Cousot02])

Definite Termination Trace Semantics

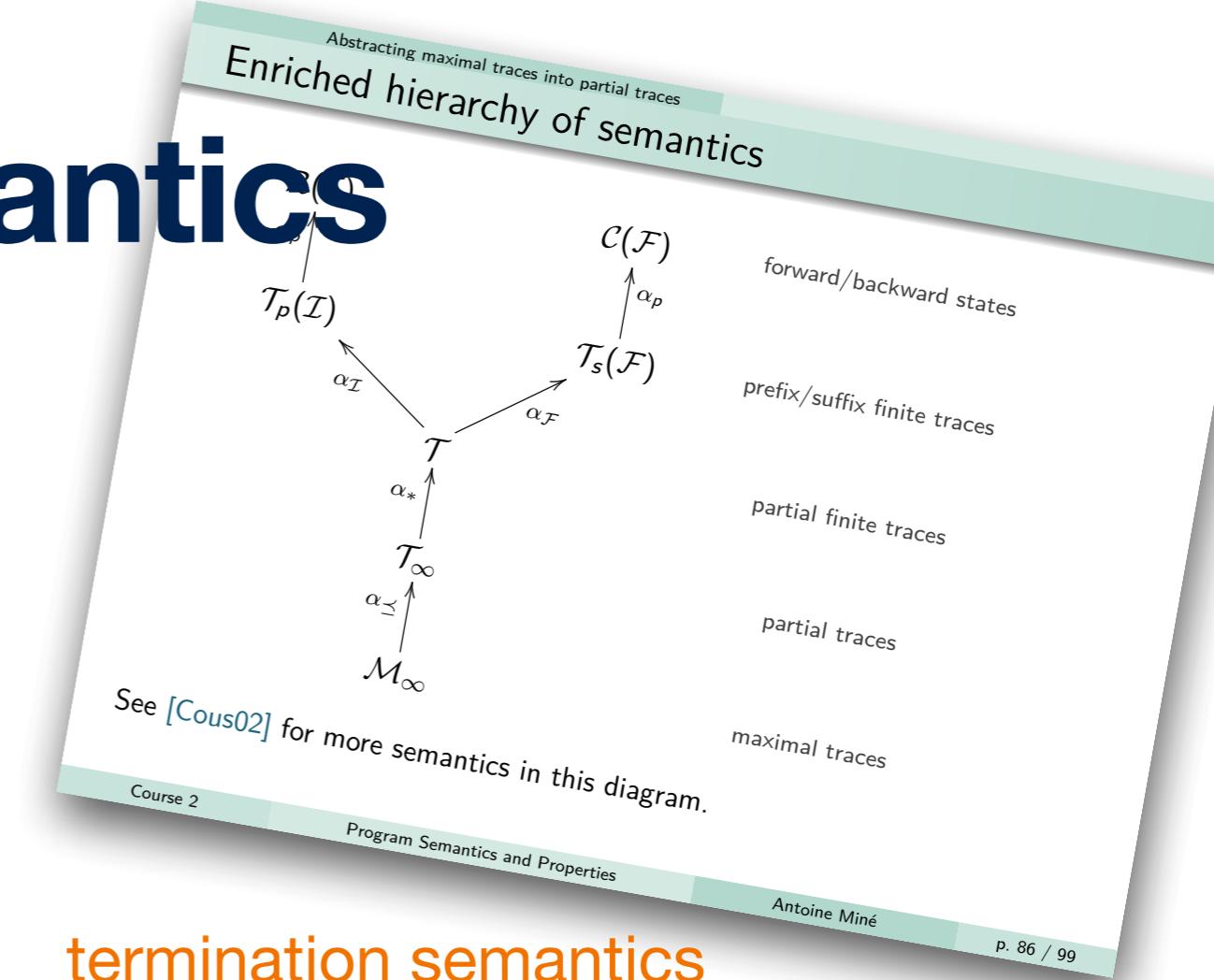
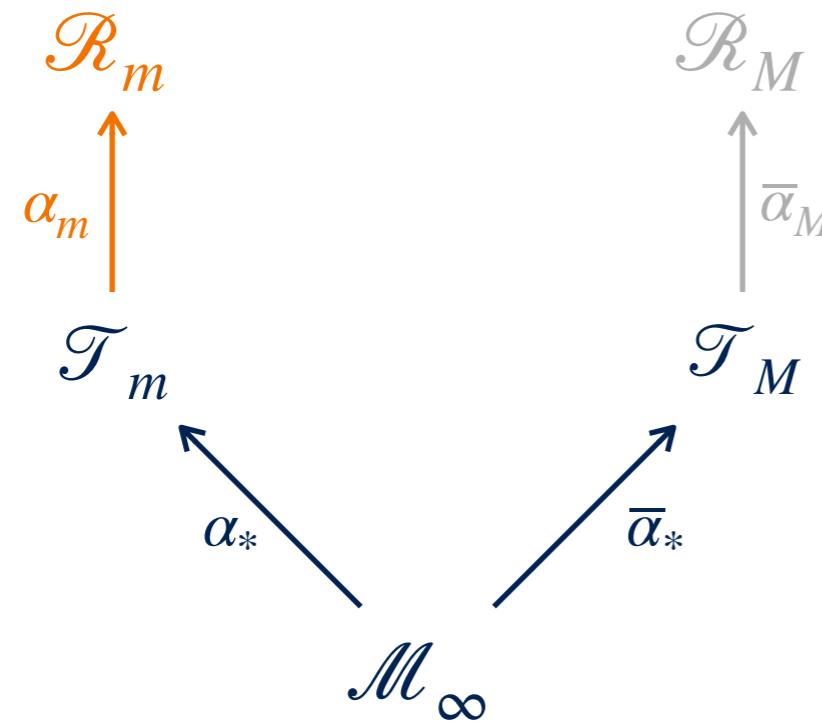
Example

```
while 1([-∞, +∞] ≠ 0) do
    2skip
od3
```

$$\begin{aligned}\mathcal{M}_\infty \stackrel{\text{def}}{=} & \{(1, \rho)(2, \rho)^*(3, \rho) \mid \rho \in \mathcal{E}\} \\ & \cup \{(1, \rho)(2, \rho)^\omega \mid \rho \in \mathcal{E}\}\end{aligned}$$

$$\mathcal{T}_M \stackrel{\text{def}}{=} \emptyset$$

Hierarchy of Semantics



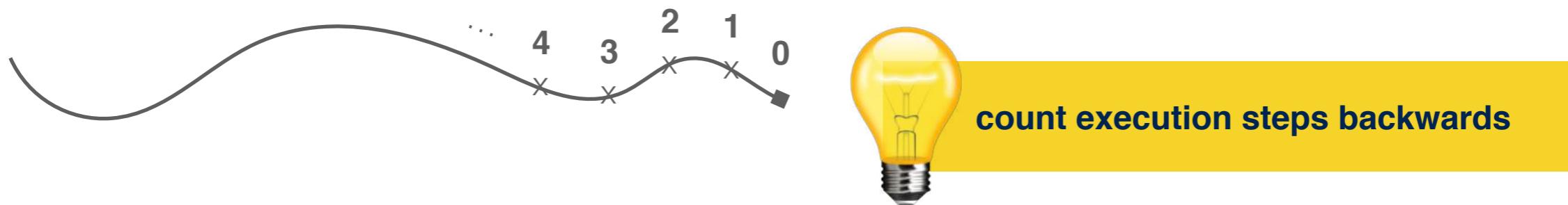
termination semantics

termination trace semantics

maximal trace semantics

Potential Termination Semantics

Potential Ranking Abstraction

 $\langle \mathcal{P}(\Sigma^*), \subseteq \rangle$ $\langle \Sigma \rightarrow \emptyset, \leq \rangle$

$$\alpha_m(T) \stackrel{\text{def}}{=} \alpha_v(\vec{\alpha}(T))$$

$$\alpha_v(\emptyset) \stackrel{\text{def}}{=} \emptyset$$

$$\alpha_v(r)\sigma \stackrel{\text{def}}{=} \begin{cases} 0 & \forall \sigma' \in \Sigma: (\sigma, \sigma') \notin r \\ \inf\{\alpha_v(r)\sigma' + 1 \mid \sigma' \in \text{dom}(\alpha_v(r)) \wedge (\sigma, \sigma') \in r\} & \text{otherwise} \end{cases}$$

$$\vec{\alpha}(T) \stackrel{\text{def}}{=} \{(\sigma, \sigma') \in \Sigma \times \Sigma \mid \exists t \in \Sigma^*, t' \in \Sigma^\infty: t\sigma\sigma't' \in T\}$$

$$f_1 \leq f_2 \stackrel{\text{def}}{=} \text{dom}(f_1) \subseteq \text{dom}(f_2) \wedge \forall x \in \text{dom}(f_1): f_1(x) \leq f_2(x)$$

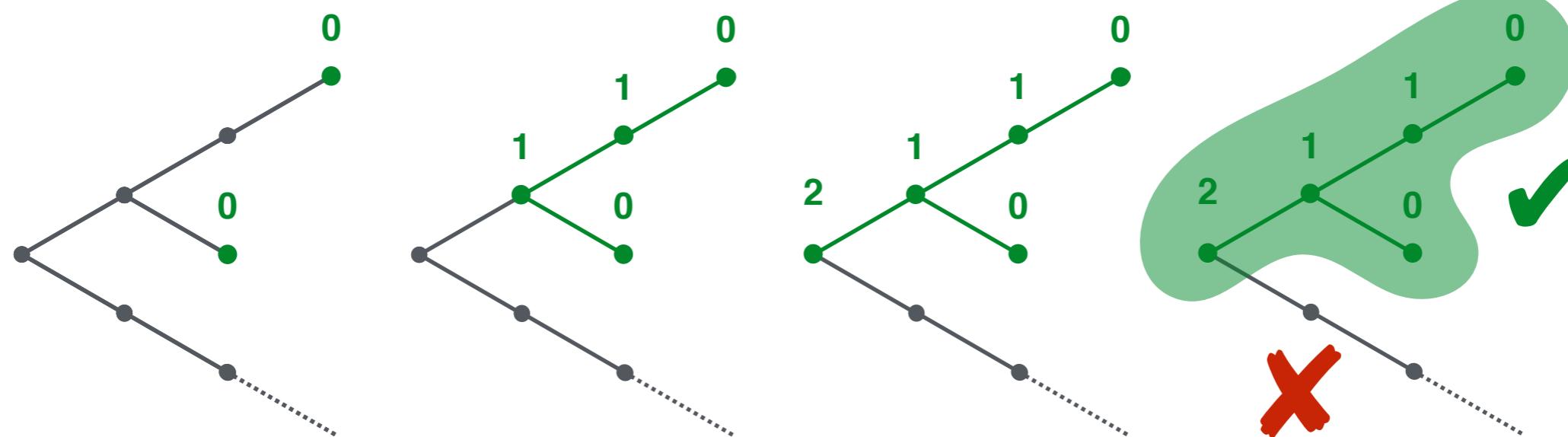
approximation order

Potential Termination Semantics

$$\mathcal{R}_m \stackrel{\text{def}}{=} \alpha_m(\mathcal{T}_m) = \text{lfp}^{\leq} F_m$$

approximation and computational order coincide

$$F_m(f)\sigma \stackrel{\text{def}}{=} \begin{cases} 0 & \sigma \in \mathcal{B} \\ \inf\{f(\sigma') + 1 \mid (\sigma, \sigma') \in \tau\} & \sigma \in \text{pre}_{\tau}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$



Theorem

A program **may terminate** for traces starting from a set of initial state \mathcal{I} if and only if $\mathcal{I} \subseteq \text{dom}(\mathcal{R}_m)$

Potential Termination Semantics

Exercise

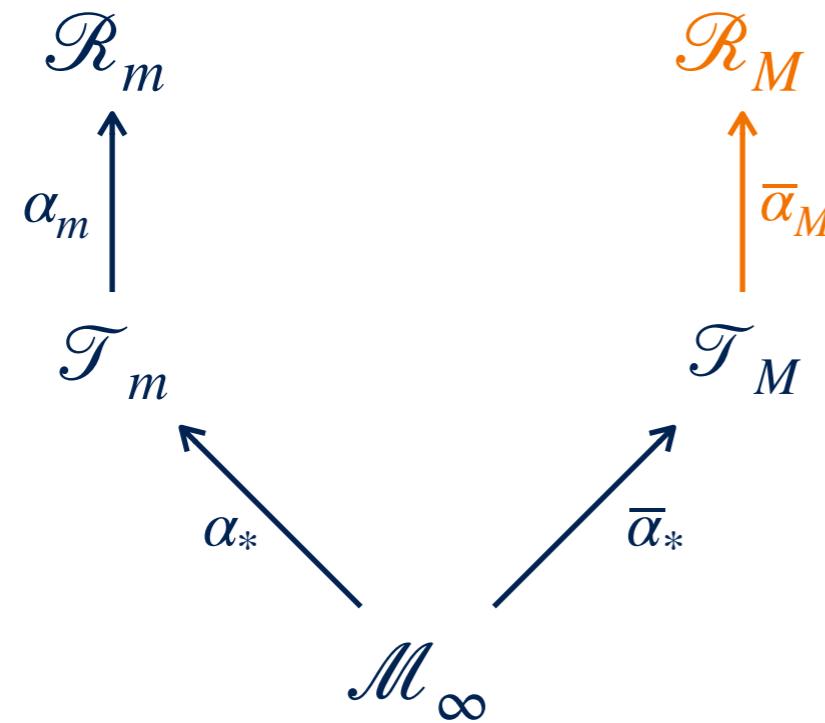
Show that the following fixpoint definition of the potential termination semantics **does not guarantee the existence of a least fixpoint**:

$$\mathcal{R}_m \stackrel{\text{def}}{=} \alpha_m(\mathcal{T}_m) = \text{lfp}^{\leq} F_m$$

$$F_m(f)\sigma \stackrel{\text{def}}{=} \begin{cases} 0 & \sigma \in \mathcal{B} \\ \sup\{f(\sigma') + 1 \mid (\sigma, \sigma') \in \tau\} & \sigma \in \text{pre}_{\tau}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$

Hint: find a program for which the values of the iterates of the potential termination semantics are always increasing

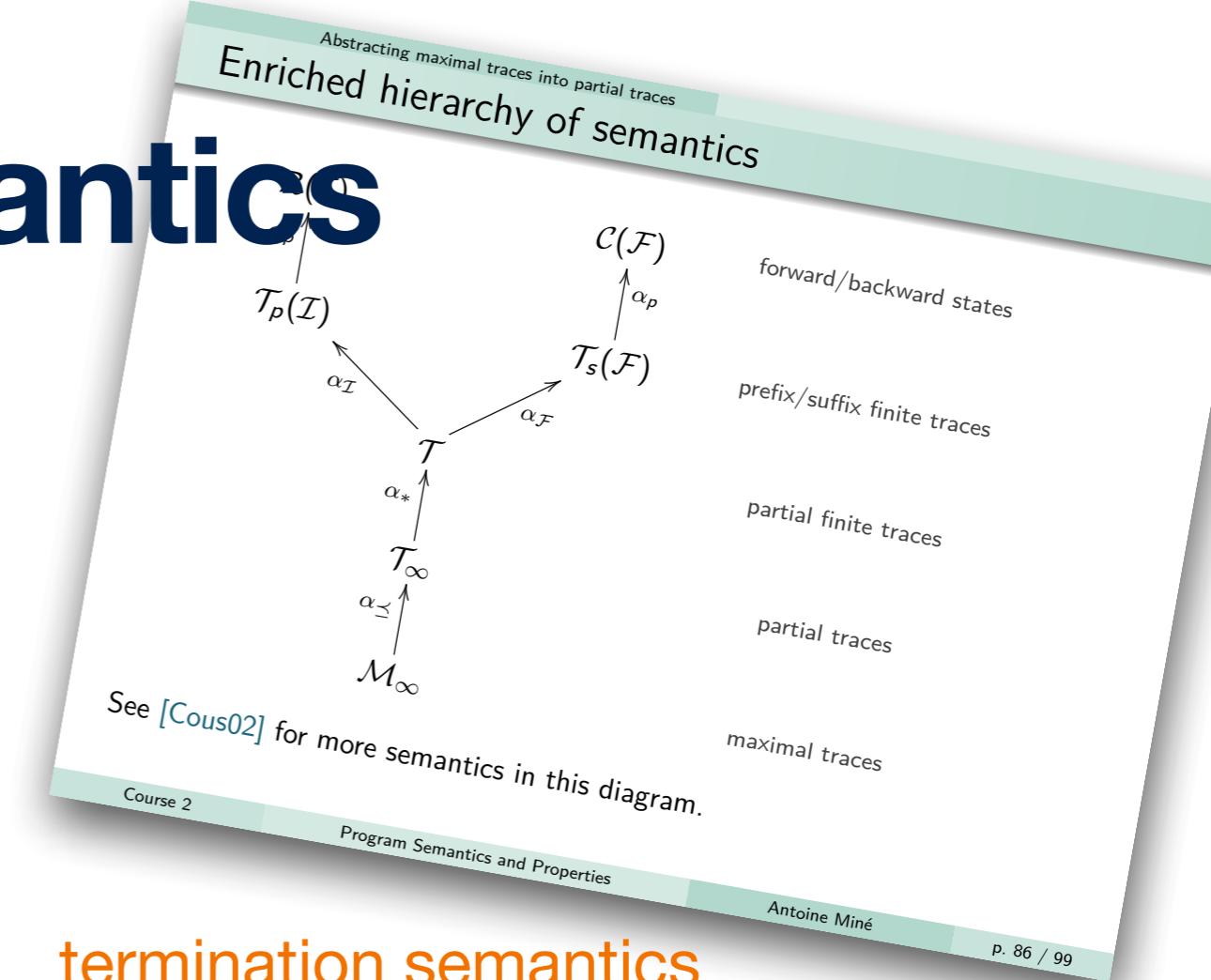
Hierarchy of Semantics



termination semantics

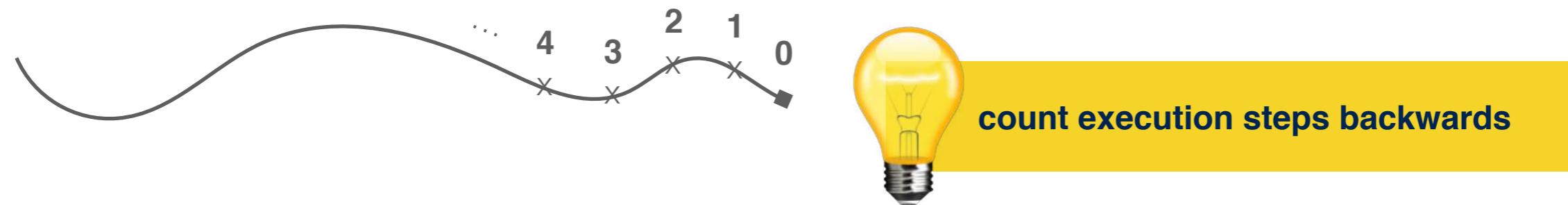
termination trace semantics

maximal trace semantics



Definite Termination Semantics

Ranking Abstraction

 $\langle \mathcal{P}(\Sigma^*), \subseteq \rangle$ $\langle \Sigma \rightarrow \emptyset, \leqslant \rangle$

$$f_1 \leqslant f_2 \stackrel{\text{def}}{=} \text{dom}(f_1) \supseteq \text{dom}(f_2) \wedge \forall x \in \text{dom}(f_1): f_1(x) \leq f_2(x)$$

$$\bar{\alpha}_M(T) \stackrel{\text{def}}{=} \bar{\alpha}_V(\vec{\alpha}(T))$$

$$\bar{\alpha}_V(\emptyset) \stackrel{\text{def}}{=} \dot{\emptyset}$$

$$\bar{\alpha}_V(r)\sigma \stackrel{\text{def}}{=} \begin{cases} 0 & \forall \sigma' \in \Sigma: (\sigma, \sigma') \notin r \\ \sup\{\bar{\alpha}_V(r)\sigma' + 1 \mid \sigma' \in \text{dom}(\bar{\alpha}_V(r)) \wedge (\sigma, \sigma') \in r\} & \text{otherwise} \end{cases}$$

$$\vec{\alpha}(T) \stackrel{\text{def}}{=} \{(\sigma, \sigma') \in \Sigma \times \Sigma \mid \exists t \in \Sigma^*, t' \in \Sigma^\infty: t\sigma\sigma't' \in T\}$$

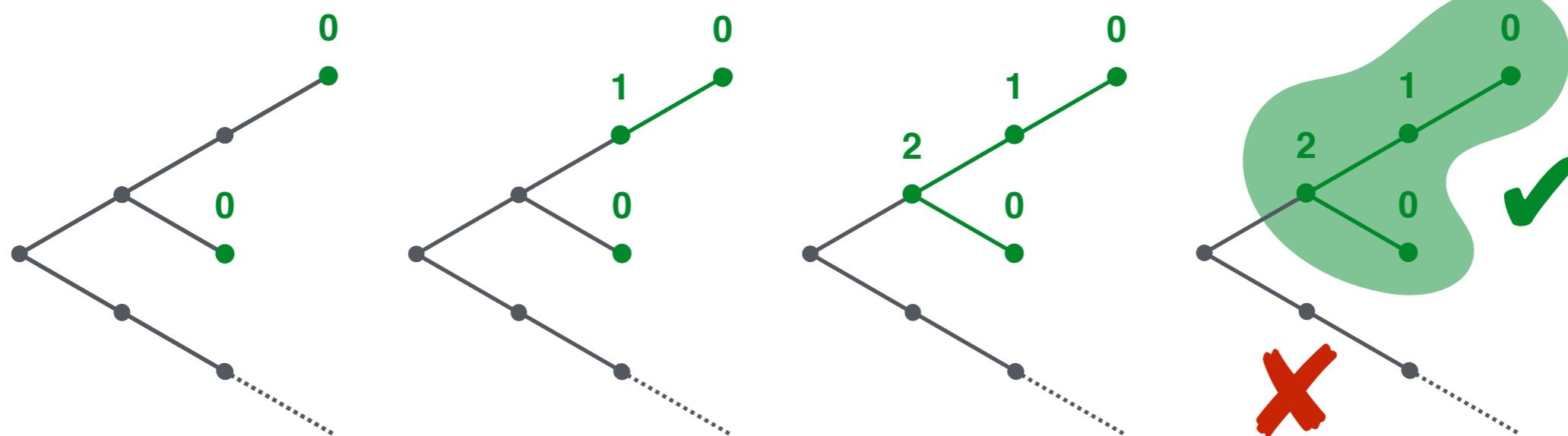
Definite Termination Semantics

$$\mathcal{R}_M \stackrel{\text{def}}{=} \bar{\alpha}_M(\mathcal{T}_M) = \text{lfp}^{\leq} \bar{F}_M$$

$$f_1 \leq f_2 \stackrel{\text{def}}{=} \text{dom}(f_1) \subseteq \text{dom}(f_2) \wedge \forall x \in \text{dom}(f_1): f_1(x) \leq f_2(x)$$

computational order

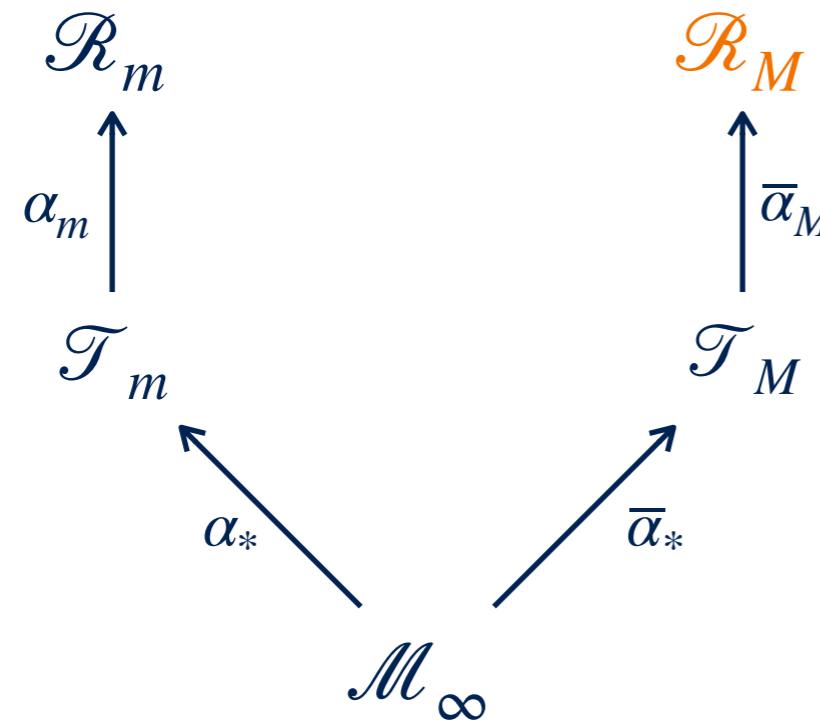
$$\bar{F}_M(f)\sigma \stackrel{\text{def}}{=} \begin{cases} 0 & \sigma \in \mathcal{B} \\ \sup\{f(\sigma') + 1 \mid (\sigma, \sigma') \in \tau\} & \sigma \in \tilde{\text{pre}}_{\tau}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$



Theorem

A program **must terminate** for traces starting from a set of initial states \mathcal{I} if and only if $\mathcal{I} \subseteq \text{dom}(\mathcal{R}_M)$

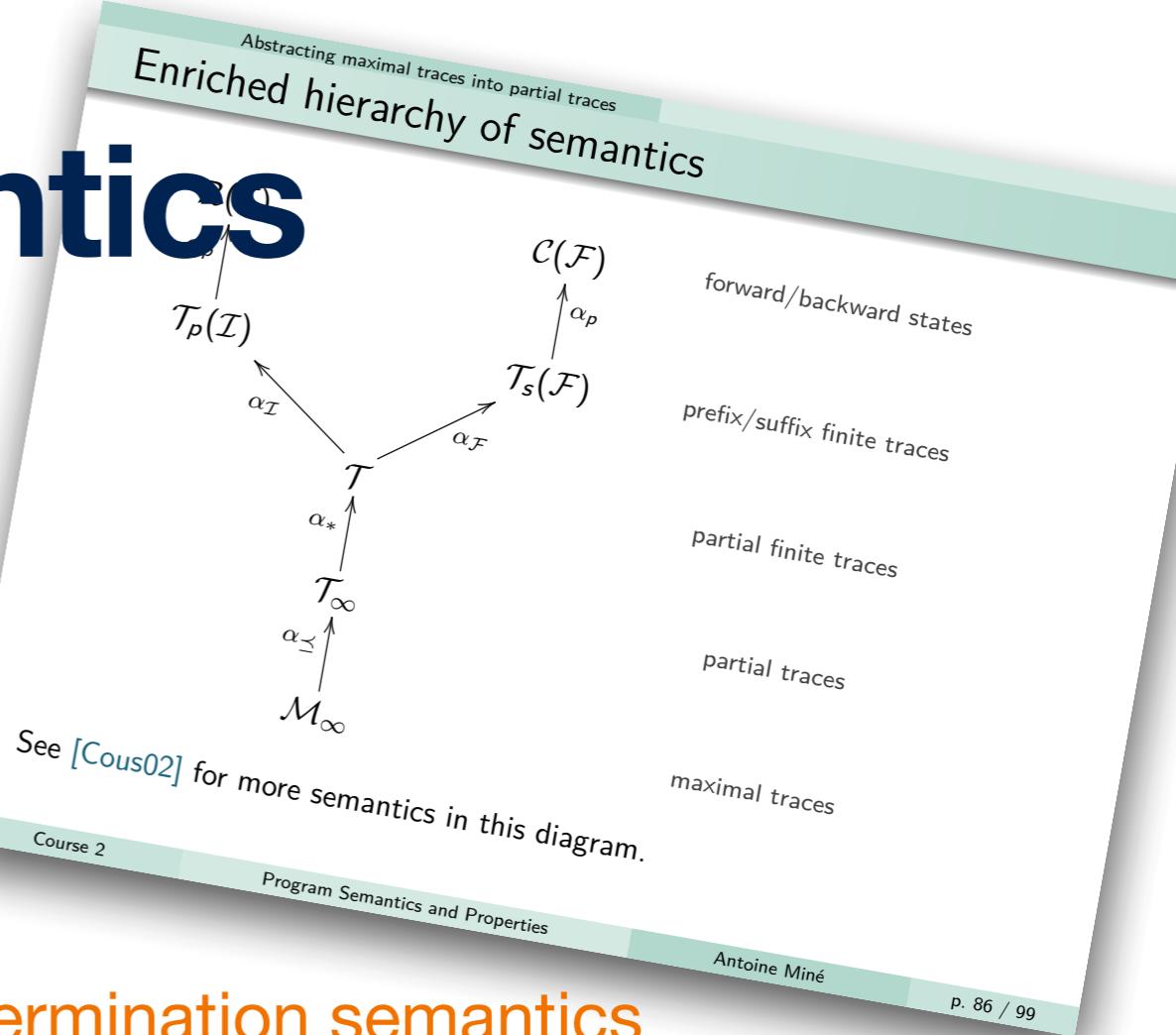
Hierarchy of Semantics



termination semantics

termination trace semantics

maximal trace semantics



Denotational Definite Termination Semantics

We define the definite termination semantics

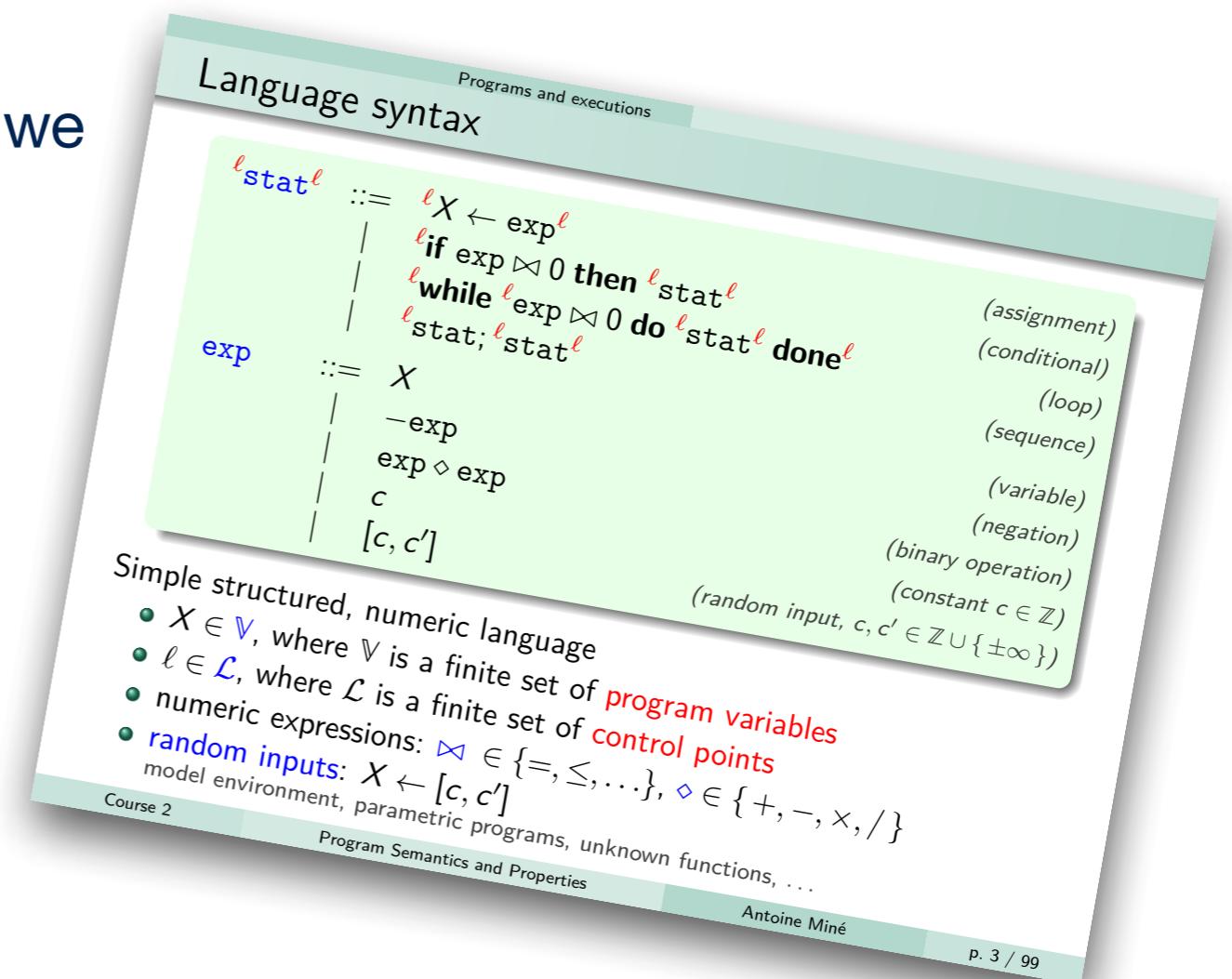
$\mathcal{R}_M: \Sigma \rightarrow \mathbb{O}$ by partitioning with respect to the program control points, i.e.,

$\mathcal{R}_M: \mathcal{L} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$.

Thus, for each program instruction stat , we define a transformer

$\mathcal{R}_M[\![\text{stat}]\!]: (\mathcal{E} \rightarrow \mathbb{O}) \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

- $\mathcal{R}_M[\![\ell X \leftarrow e]\!]$
- $\mathcal{R}_M[\![\text{if } \ell e \bowtie 0 \text{ then } s]\!]$
- $\mathcal{R}_M[\![\text{while } \ell e \bowtie 0 \text{ do } s \text{ done}]\!]$
- $\mathcal{R}_M[\![s_1; s_2]\!]$



Denotational Definite Termination Semantics

$$\mathcal{R}_M \llbracket^{\ell} X \leftarrow e \rrbracket$$

$$\mathcal{R}_M \llbracket^{\ell} X \leftarrow e \rrbracket f \stackrel{\text{def}}{=} \lambda \rho . \begin{cases} \sup\{f(\rho[X \mapsto v]) + 1 \mid v \in E[e]\rho\} & \exists \bar{v} \in E[e]\rho \wedge \\ & \forall v \in E[e]\rho : \rho[X \mapsto v] \in \text{dom}(f) \\ \text{undefined} & \text{otherwise} \end{cases}$$

Example:

Let $\mathbb{V} = \{x\}$ and $f: \mathcal{E} \rightarrow \mathbb{O}$ defined as follows:

$$f(\rho) \stackrel{\text{def}}{=} \begin{cases} 2 & \rho(x) = 1 \\ 3 & \rho(x) = 2 \\ \text{undefined} & \text{otherwise} \end{cases}$$

We have

$$\mathcal{R}_M \llbracket x \leftarrow x + [1,2] \rrbracket f \stackrel{\text{def}}{=} \lambda \rho . \begin{cases} 4 & \rho(x) = 0 \\ \text{undefined} & \text{otherwise} \end{cases}$$

Denotational Definite Termination Semantics

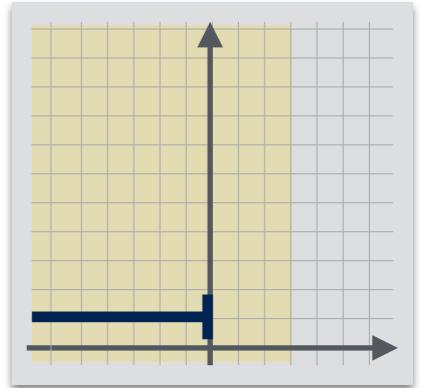
$\mathcal{R}_M[\![\text{if } \ell e \bowtie 0 \text{ then } s]\!]$

$$\mathcal{R}_M[\![\text{if } \ell e \bowtie 0 \text{ then } s]\!]f \stackrel{\text{def}}{=} \lambda\rho . \begin{cases} \textcircled{1} \\ \textcircled{2} \\ \textcircled{3} \\ \text{undefined} & \text{otherwise} \end{cases}$$

- ① $\sup\{\mathcal{R}_M[\![s]\!]f(\rho) + 1, f(\rho) + 1\} \quad \rho \in \text{dom}(\mathcal{R}_M[\![s]\!]f) \cap \text{dom}(f) \wedge \exists v_1, v_2 \in E[\![e]\!]\rho : v_1 \bowtie 0 \wedge v_2 \bowtie 0$
- ② $\mathcal{R}_M[\![s]\!]f(\rho) + 1 \quad \rho \in \text{dom}(\mathcal{R}_M[\![s]\!]f) \wedge \forall v \in E[\![e]\!]\rho : v \bowtie 0$
- ③ $f(\rho) + 1 \quad \rho \in \text{dom}(f) \wedge \forall v \in E[\![e]\!]\rho : v \bowtie 0$

Denotational Definite Termination Semantics

$\mathcal{R}_M[\![\text{if } \ell e \bowtie 0 \text{ then } s]\!]$ (continue)



Example:

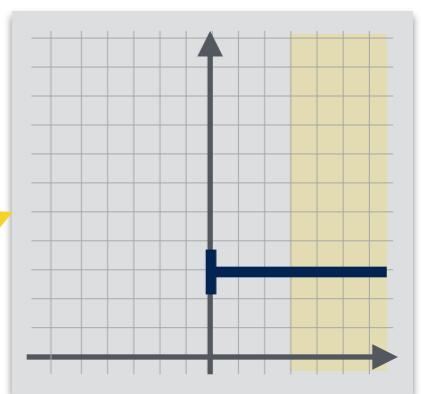
Let $\mathbb{V} = \{x\}$ and $f: \mathcal{E} \rightarrow \mathbb{O}$, and $\mathcal{R}_M[\![s]\!]f$ defined as follows:

$$f \stackrel{\text{def}}{=} \lambda \rho . \begin{cases} 1 & \rho(x) \leq 0 \\ \text{undefined} & \text{otherwise} \end{cases}$$

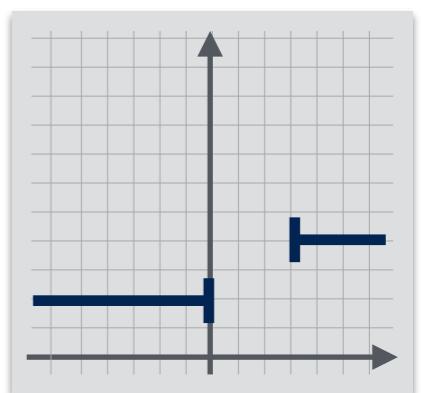
$$\mathcal{R}_M[\![s]\!]f \stackrel{\text{def}}{=} \lambda \rho . \begin{cases} 3 & 0 \leq \rho(x) \\ \text{undefined} & \text{otherwise} \end{cases}$$

We have

$$\mathcal{R}_M[\![\text{if } 3 - x < 0 \text{ then } s]\!]f \stackrel{\text{def}}{=} \lambda \rho . \begin{cases} 2 & \rho(x) \leq 0 \\ 4 & 3 < \rho(x) \\ \text{undefined} & \text{otherwise} \end{cases}$$



$$\text{and } \mathcal{R}_M[\![\text{if } [-\infty, +\infty] \neq 0 \text{ then } s]\!]f \stackrel{\text{def}}{=} \lambda \rho . \begin{cases} 4 & \rho(x) = 0 \\ \text{undefined} & \text{otherwise} \end{cases}$$



Denotational Definite Termination Semantics

$\mathcal{R}_M[\![\text{while } \ell e \bowtie 0 \text{ do } s \text{ done}]\!]$

$\mathcal{R}_M[\![\text{while } \ell e \bowtie 0 \text{ do } s \text{ done}]\!]f \stackrel{\text{def}}{=} \text{lfp}_{\overline{\emptyset}}^{\leq} \bar{F}_M$

$F_M(x) \stackrel{\text{def}}{=} \lambda \rho . \begin{cases} \textcircled{1} & f_1 \leq f_2 \stackrel{\text{def}}{=} \text{dom}(f_1) \subseteq \text{dom}(f_2) \wedge \forall x \in \text{dom}(f_1) : f_1(x) \leq f_2(x) \\ \textcircled{2} & \\ \textcircled{3} & \text{undefined otherwise} \end{cases}$

$f_1 \leq f_2 \stackrel{\text{def}}{=} \text{dom}(f_1) \subseteq \text{dom}(f_2) \wedge \forall x \in \text{dom}(f_1) : f_1(x) \leq f_2(x)$

computational order

- ① $\sup\{\mathcal{R}_M[\![s]\!]x(\rho) + 1, f(\rho) + 1\} \quad \rho \in \text{dom}(\mathcal{R}_M[\![s]\!]x) \cap \text{dom}(f) \wedge \exists v_1, v_2 \in E[\![e]\!]\rho : v_1 \bowtie 0 \wedge v_2 \bowtie 0$
- ② $\mathcal{R}_M[\![s]\!]x(\rho) + 1 \quad \rho \in \text{dom}(\mathcal{R}_M[\![s]\!]x) \wedge \forall v \in E[\![e]\!]\rho : v \bowtie 0$
- ③ $f(\rho) + 1 \quad \rho \in \text{dom}(f) \wedge \forall v \in E[\![e]\!]\rho : v \bowtie 0$

Denotational Definite Termination Semantics

$\mathcal{R}_M[\![s_1; s_2]\!]$

$\mathcal{R}_M[\![s_1; s_2]\!]f \stackrel{\text{def}}{=} \mathcal{R}_M[\![s_1]\!](\mathcal{R}_M[\![s_2]\!]f)$

Denotational Definite Termination Semantics

Definition

The **definite termination semantics** $\mathcal{R}_M[\![\text{stat}^{\ell}]\!]: \mathcal{E} \rightarrow \mathbb{O}$ of a program stat^{ℓ} is:

$$\mathcal{R}_M[\![\text{stat}^{\ell}]\!] \stackrel{\text{def}}{=} \mathcal{R}_M[\![\text{stat}]\!](\lambda \rho. 0)$$

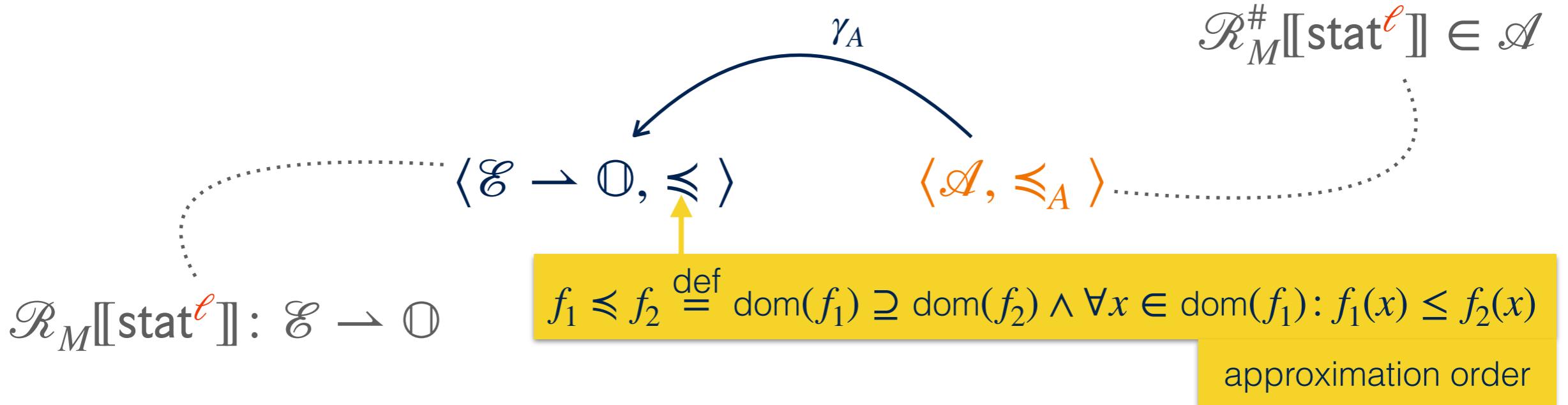
where $\mathcal{R}_M[\![\text{stat}]\!]: (\mathcal{E} \rightarrow \mathbb{O}) \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$ is the definite termination semantics of each program instruction stat

Theorem

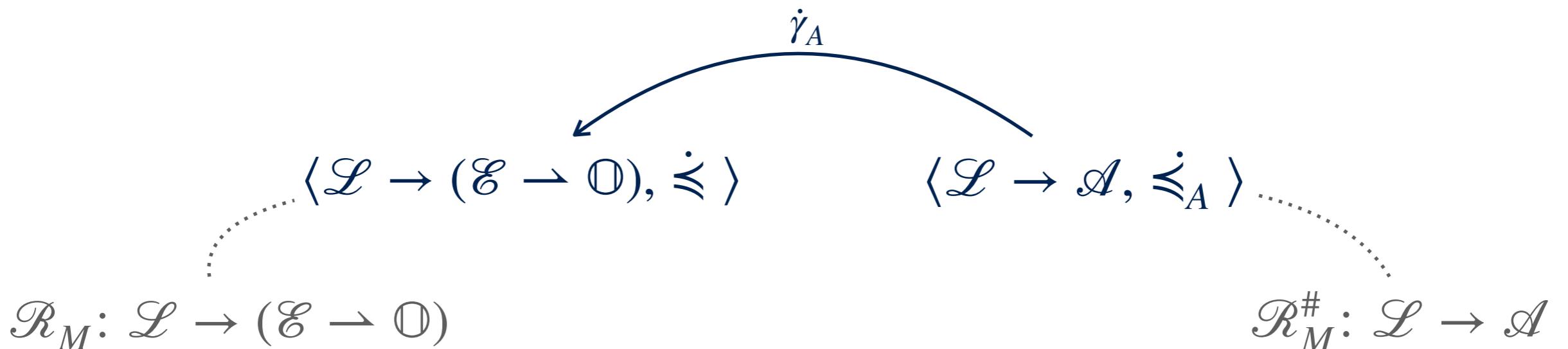
A program stat^{ℓ} **must terminate** for traces starting from a set of initial states \mathcal{I} if $\mathcal{I} \subseteq \text{dom}(\mathcal{R}_m[\![\text{stat}^{\ell}]\!])$

Piecewise-Defined Ranking Functions Abstract Domain

Concretization-Based Piecewise Abstraction



By *pointwise lifting* we obtain an abstraction $\mathcal{R}_M^\#$ of \mathcal{R}_M :

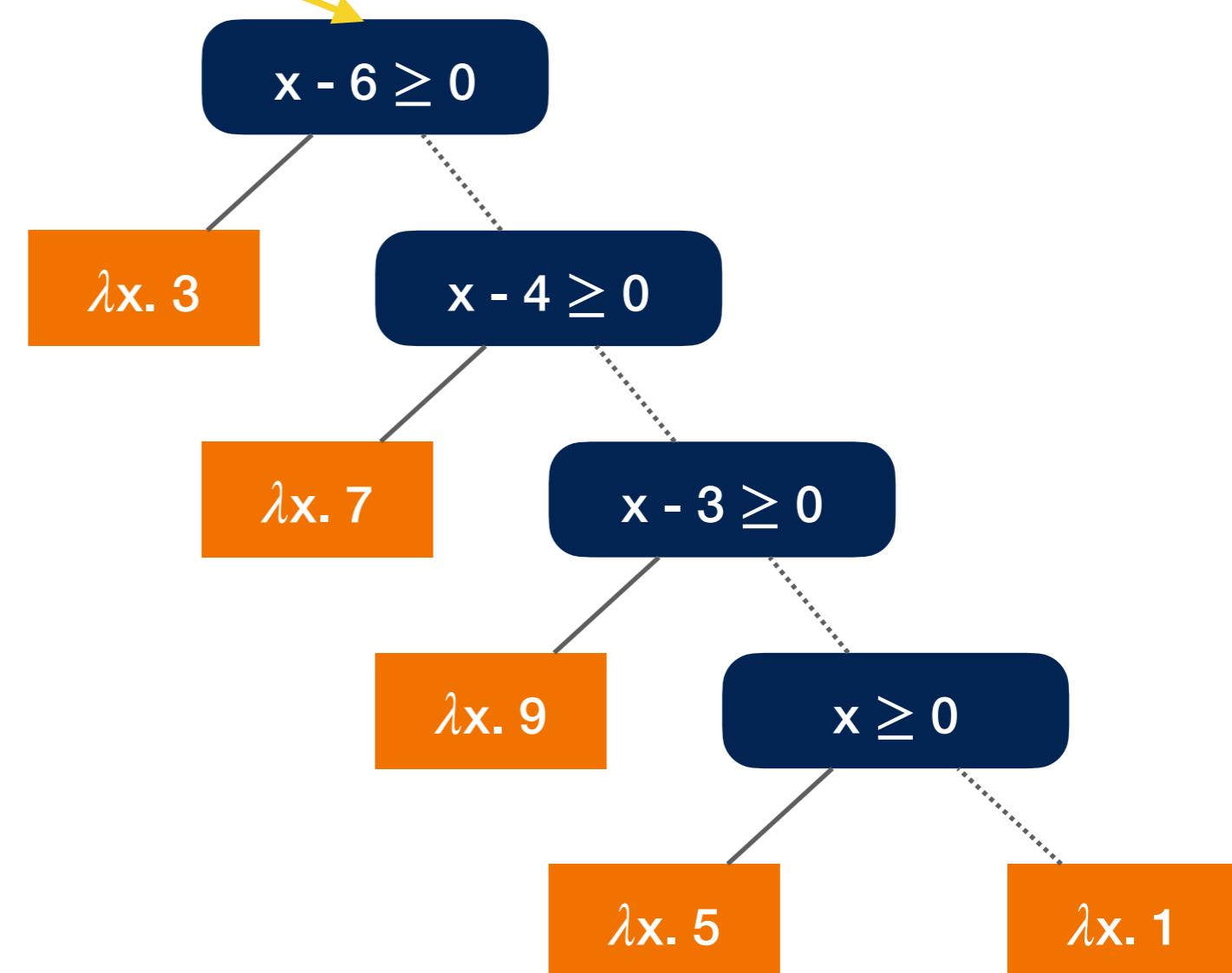
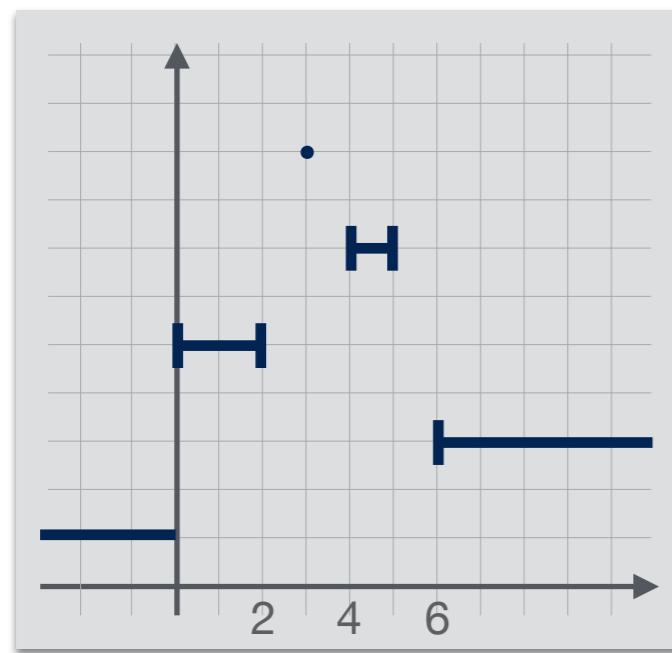


Piecewise-Defined Ranking Functions Abstract Domain

 $\langle \mathcal{A}, \leq_A \rangle$

Example

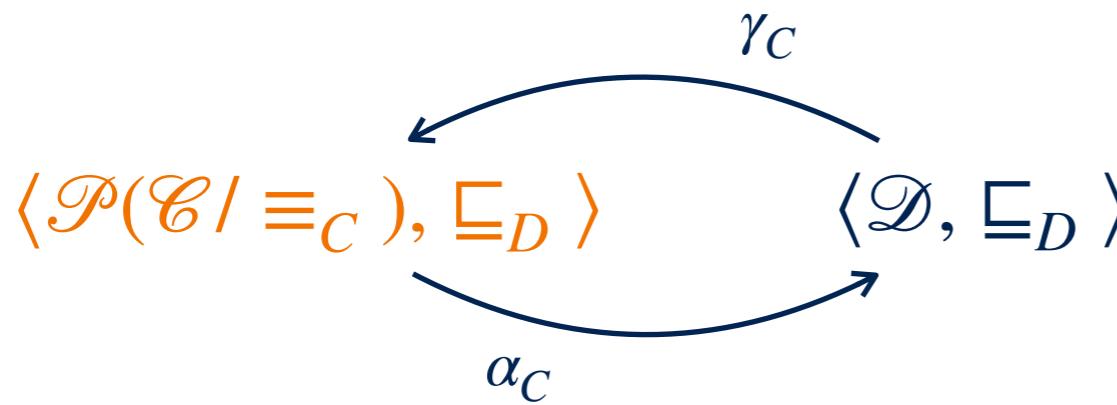
```
1 x ← [-∞, +∞]
while 2(x ≥ 0) do
  3x ← - 2 · x + 10
od4
```



Piecewise-Defined Ranking Functions Abstract Domain

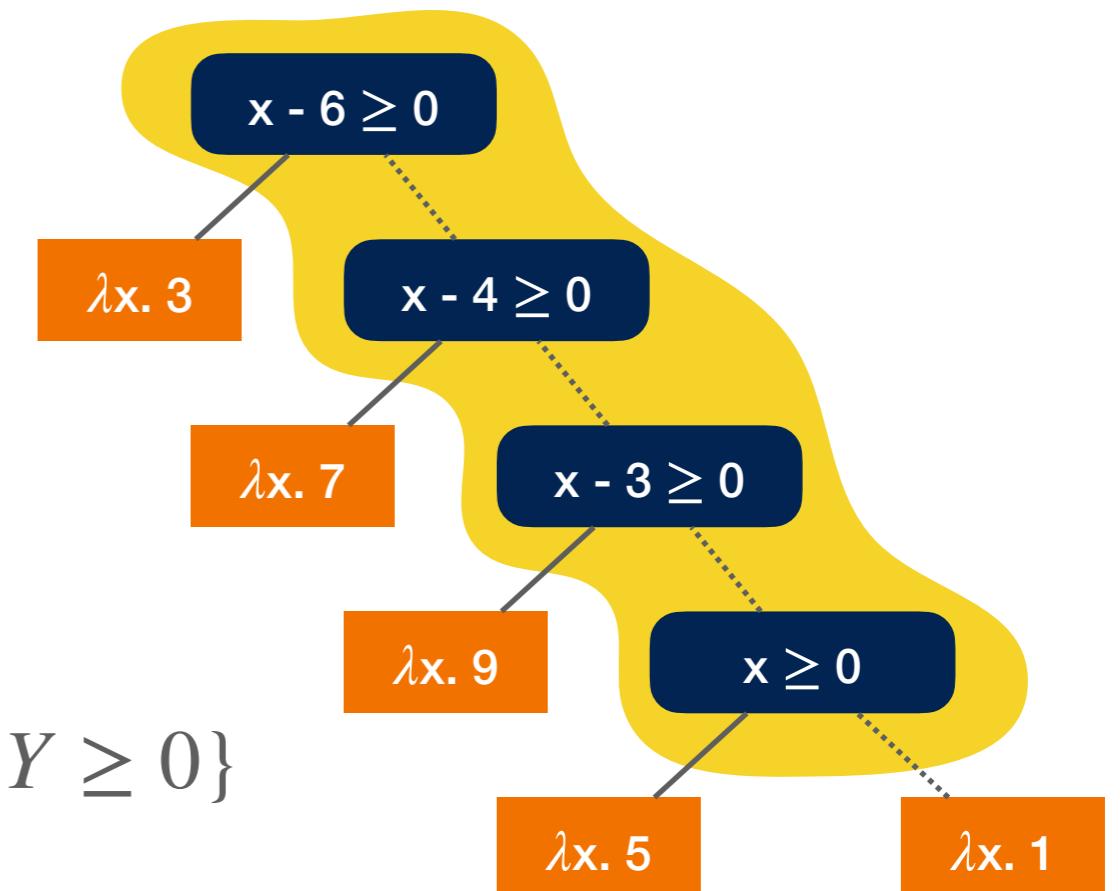
Linear Constraints Auxiliary Abstract Domain

- Parameterized by an *underlying numerical abstract domain* $\langle \mathcal{D}, \sqsubseteq_D \rangle$ (i.e., intervals, octagons, or polyhedra):



Example:

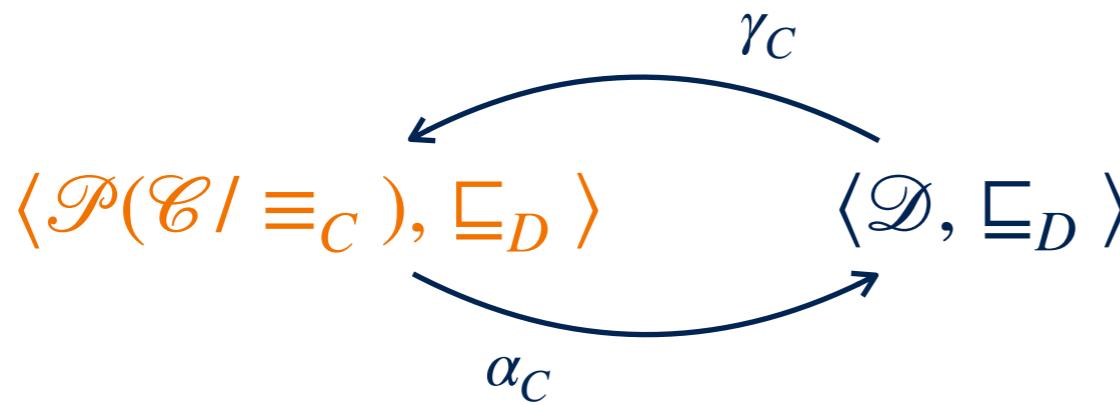
$$\begin{array}{l} X \rightarrow [-\infty, 3] \\ Y \rightarrow [0, +\infty] \end{array} \xrightarrow{\gamma_C} \{3 - X \geq 0, Y \geq 0\}$$



Piecewise-Defined Ranking Functions Abstract Domain

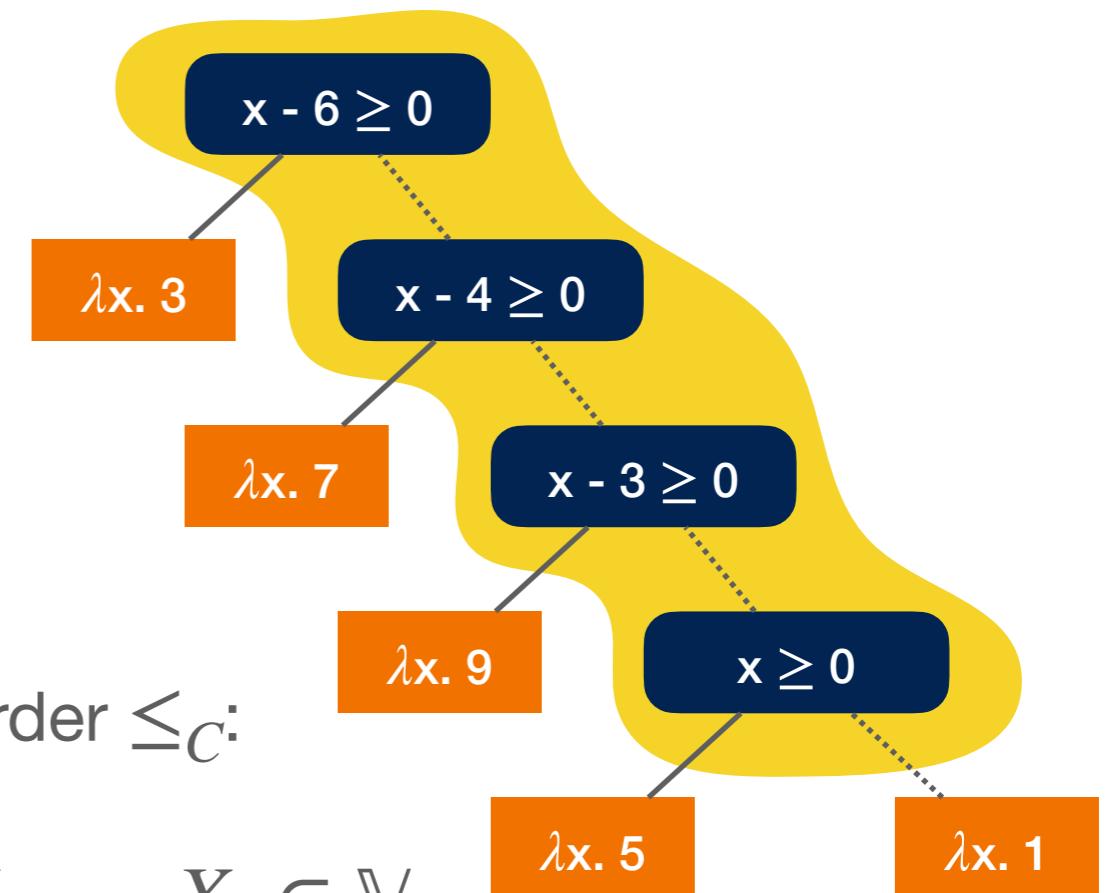
Linear Constraints Auxiliary Abstract Domain

- Parameterized by an *underlying numerical abstract domain* $\langle \mathcal{D}, \sqsubseteq_D \rangle$ (i.e., intervals, octagons, or polyhedra):



- \mathcal{C} is a set of linear constraints *in canonical form*, equipped with a total order \leq_C :

$$\begin{aligned}\mathcal{C} &\stackrel{\text{def}}{=} \{c_1 \cdot X_1 + c_k \cdot X_k + c_{k+1} \geq 0 \mid X_1, \dots, X_k \in \mathbb{V} \\ &\quad \wedge c_1, \dots, c_{k+1} \in \mathbb{Z} \wedge \gcd(|c_1|, \dots, |c_{k+1}|) = 1\}\end{aligned}$$



Piecewise-Defined Ranking Functions Abstract Domain

Functions Auxiliary Abstract Domain

- Parameterized by an *underlying numerical abstract domain* $\langle \mathcal{D}, \sqsubseteq_D \rangle$

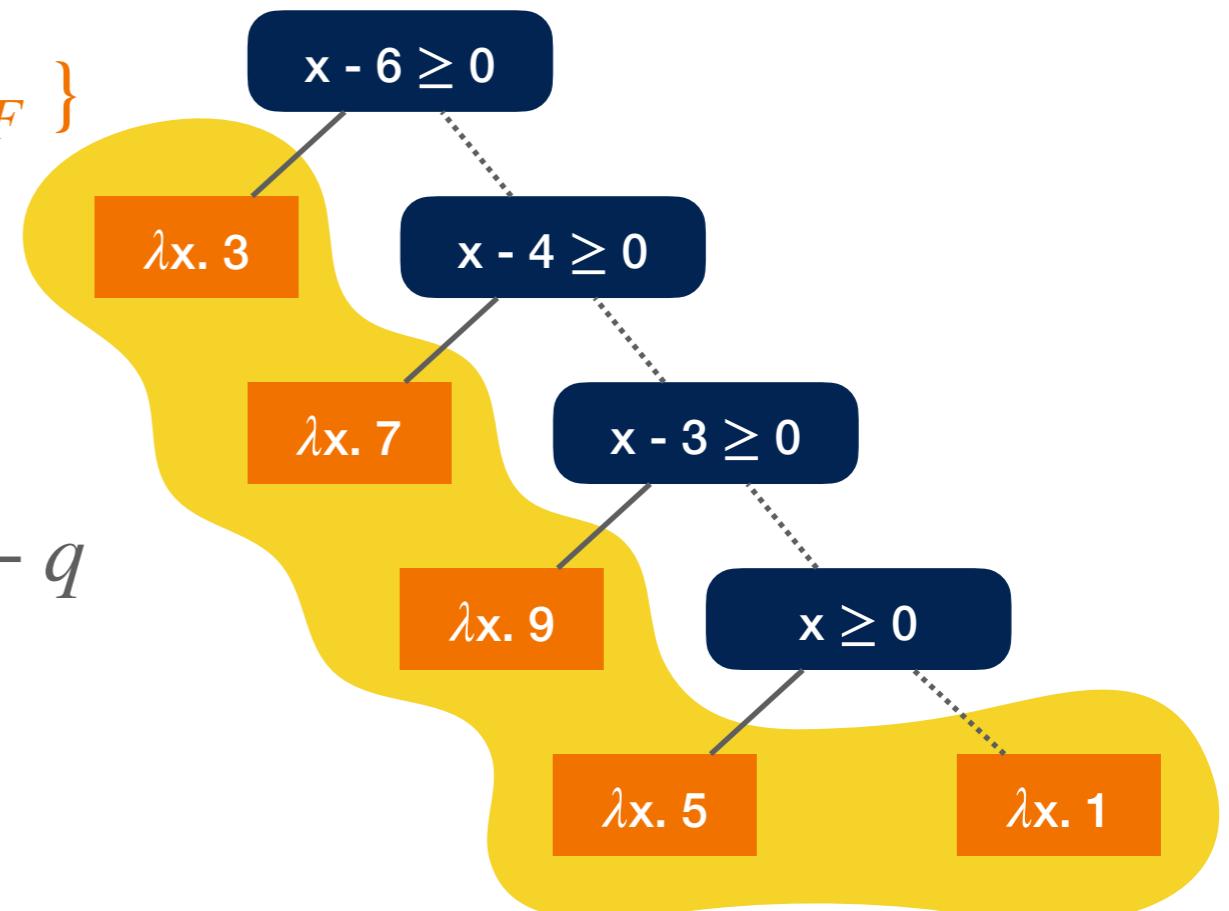
- $\mathcal{F} \stackrel{\text{def}}{=} \{ \perp_F \} \cup (\mathbb{Z}^{\mathbb{M}} \rightarrow \mathbb{N}) \cup \{ \top_F \}$

We consider **affine functions**:

$$\mathcal{F}_A \stackrel{\text{def}}{=} \{ \perp_F \} \cup \{ f: \mathbb{Z}^{\mathbb{M}} \rightarrow \mathbb{N} \mid$$

$$f(X_1, \dots, X_k) = \sum_{i=1}^k m_i \cdot X_i + q$$

$$\} \cup \{ \top_F \}$$



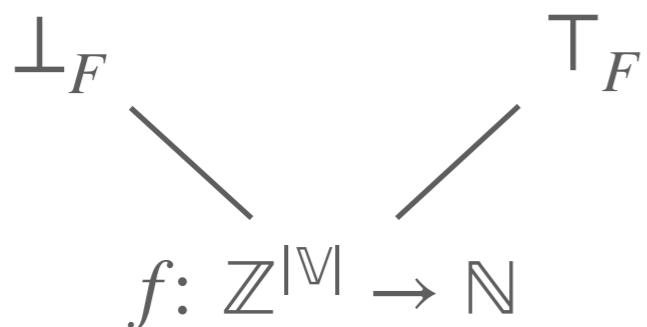
Piecewise-Defined Ranking Functions Abstract Domain

Functions Auxiliary Abstract Domain (continue)

- **approximation order** $\leqslant_F [D]$, where $D \in \mathcal{D}$:
 - between defined leaf nodes:

$$f_1 \leqslant_F [D] f_2 \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D) : f_1(\dots, \rho(X_i), \dots) \leq f_2(\dots, \rho(X_i), \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):



Piecewise-Defined Ranking Functions Abstract Domain

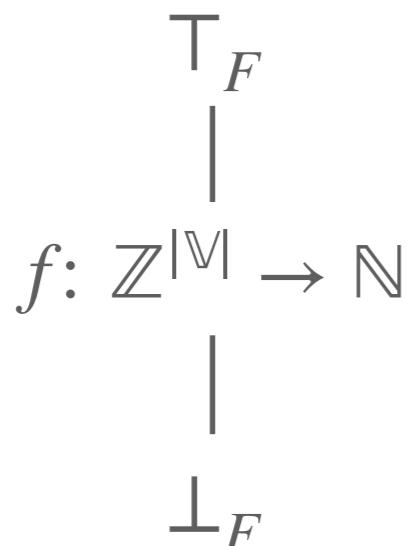
Functions Auxiliary Abstract Domain (continue)

- **computational order** $\sqsubseteq_F[D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \sqsubseteq_F [D] f_2 \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D) : f_1(\dots, \rho(X_i), \dots) \leq f_2(\dots, \rho(X_i), \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):



Piecewise-Defined Ranking Functions Abstract Domain

- $\mathcal{A} \stackrel{\text{def}}{=} \{\text{LEAF}: f \mid f \in \mathcal{F}\} \cup \{\text{NODE}\{c\}: t_1; t_2 \mid c \in \mathcal{C} \wedge t_1, t_2 \in \mathcal{A}\}$
- **concretization function** $\gamma_A: \mathcal{A} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\gamma_A(t) \stackrel{\text{def}}{=} \bar{\gamma}_A[\emptyset](t)$$

where $\bar{\gamma}_A: \mathcal{P}(\mathcal{C} / \equiv_C) \rightarrow \mathcal{A} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\bar{\gamma}_A[C](\text{LEAF}: f) \stackrel{\text{def}}{=} \gamma_F[\alpha_C(C)](f)$$

$$\bar{\gamma}_A[C](\text{NODE}\{c\}: t_1; t_2) \stackrel{\text{def}}{=} \bar{\gamma}_A[C \cup \{c\}](t_1) \dot{\cup} \bar{\gamma}_A[C \cup \{\neg c\}](t_2)$$

and $\gamma_F: \mathcal{D} \rightarrow \mathcal{F} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\gamma_F[D](\perp_F) \stackrel{\text{def}}{=} \dot{\emptyset}$$

$$\gamma_F[D](f) \stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D): f(..., \rho(X_i), ...)$$

$$\gamma_F[D](\top_F) \stackrel{\text{def}}{=} \dot{\emptyset}$$

Piecewise-Defined Ranking Functions Abstract Domain

Abstract Domain Operators

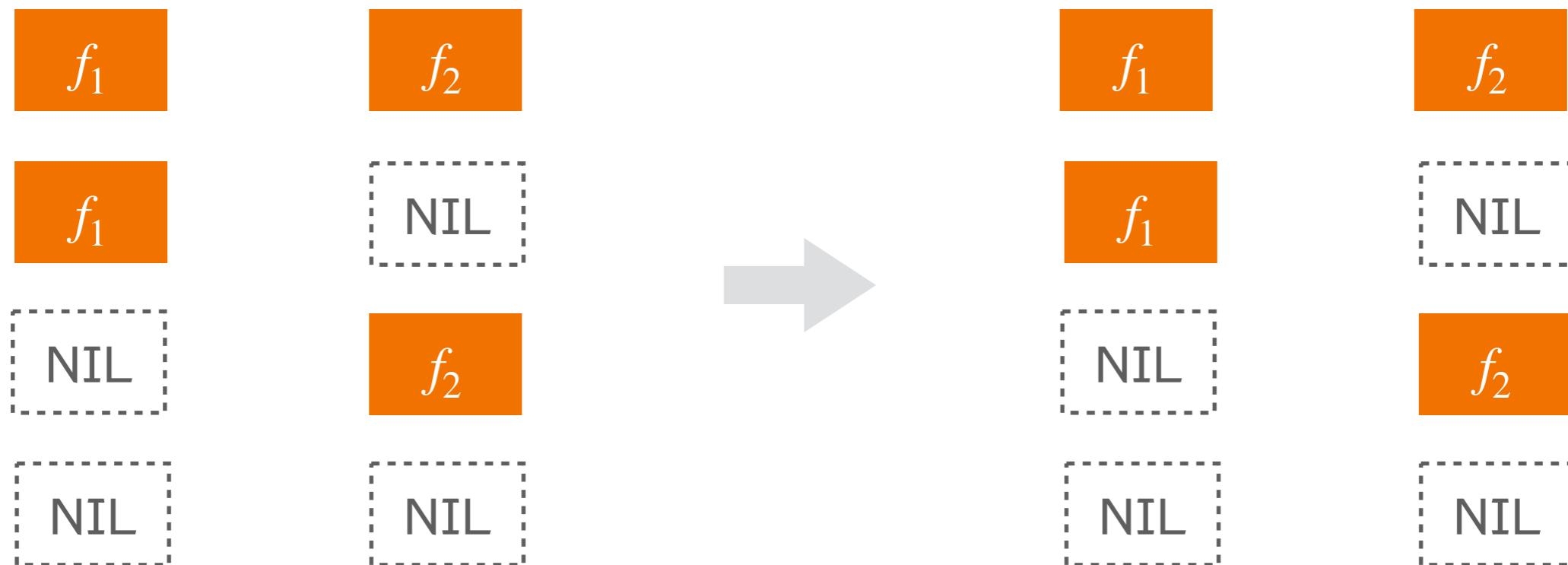
- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
- The **binary operators** rely on a tree unification algorithm
 - approximation order \leq_A and computational order \sqsubseteq_A
 - approximation join \vee_A and computational join \sqcup_A
 - meet \wedge_A
 - widening ∇_A
- The **unary operators** rely on a tree pruning algorithm
 - assignment $\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$
 - test $\text{FILTER}_A[e]$

Piecewise-Defined Ranking Functions Abstract Domain

Tree Unification

Goal: find a **common refinement** for the given decision trees

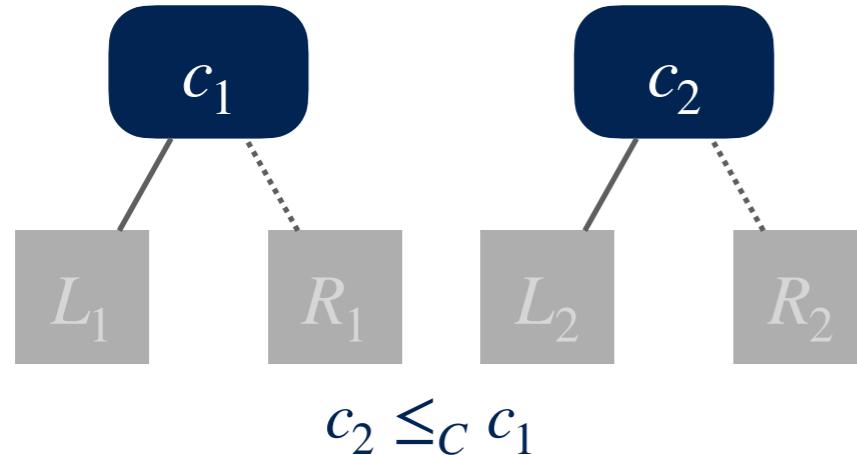
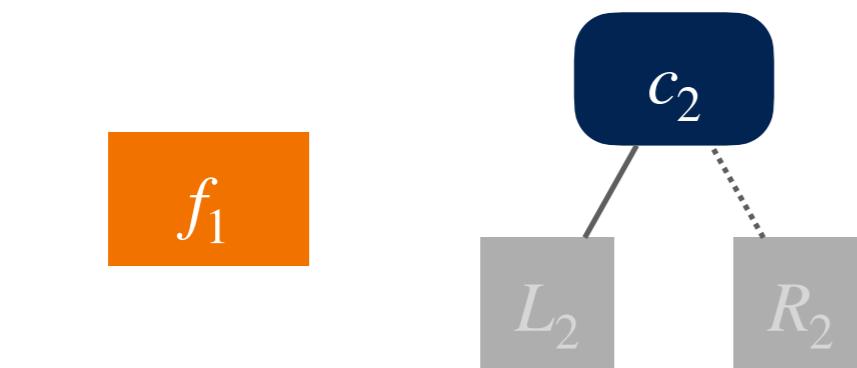
- Base cases:



Piecewise-Defined Ranking Functions Abstract Domain

Tree Unification (continue)

- Case ①



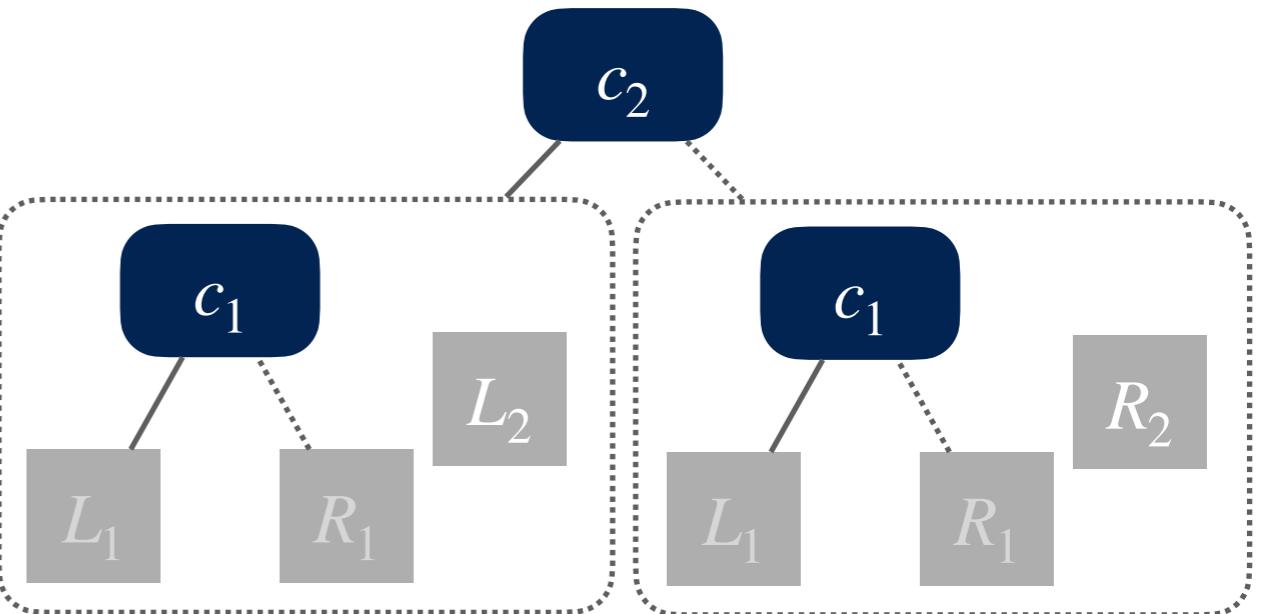
①a) c_2 is redundant



①b) $\neg c_2$ is redundant



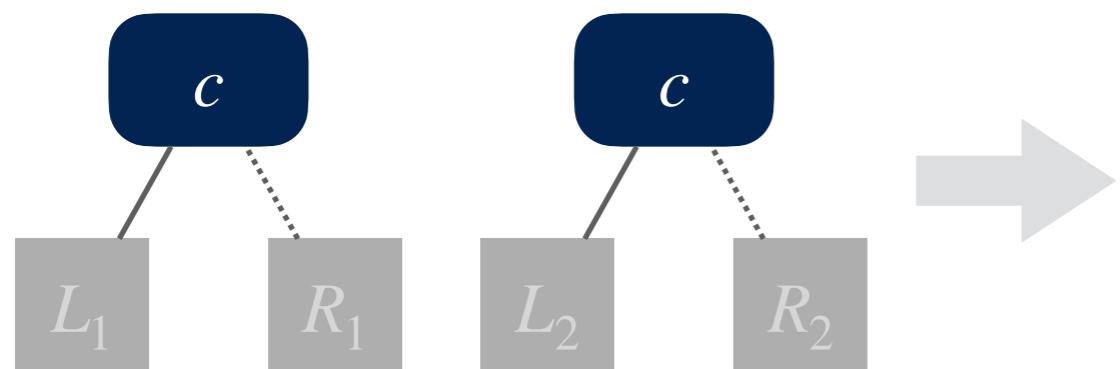
①c) c_2 is added to t_1



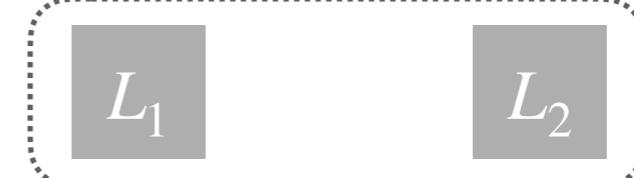
Piecewise-Defined Ranking Functions Abstract Domain

Tree Unification (continue)

- Case ② (symmetric to ①)
- Case ③



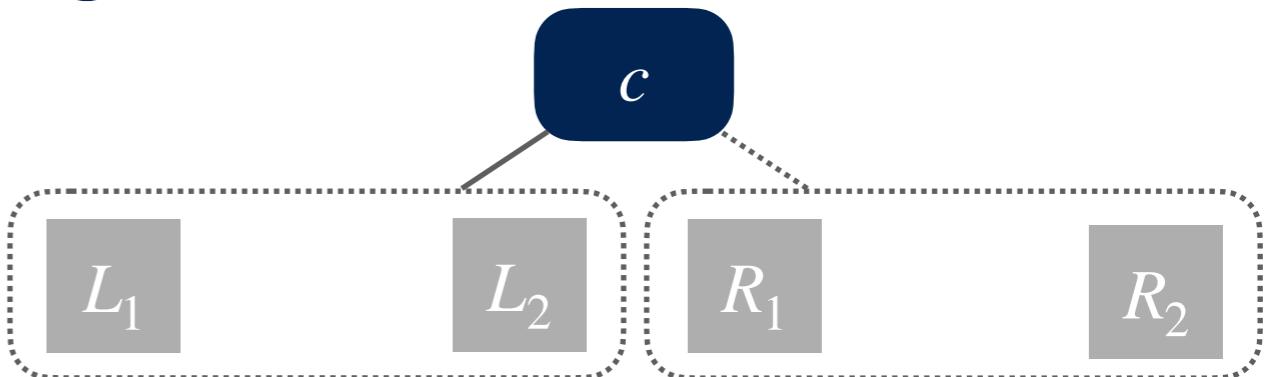
①a) c is redundant



①b) $\neg c$ is redundant



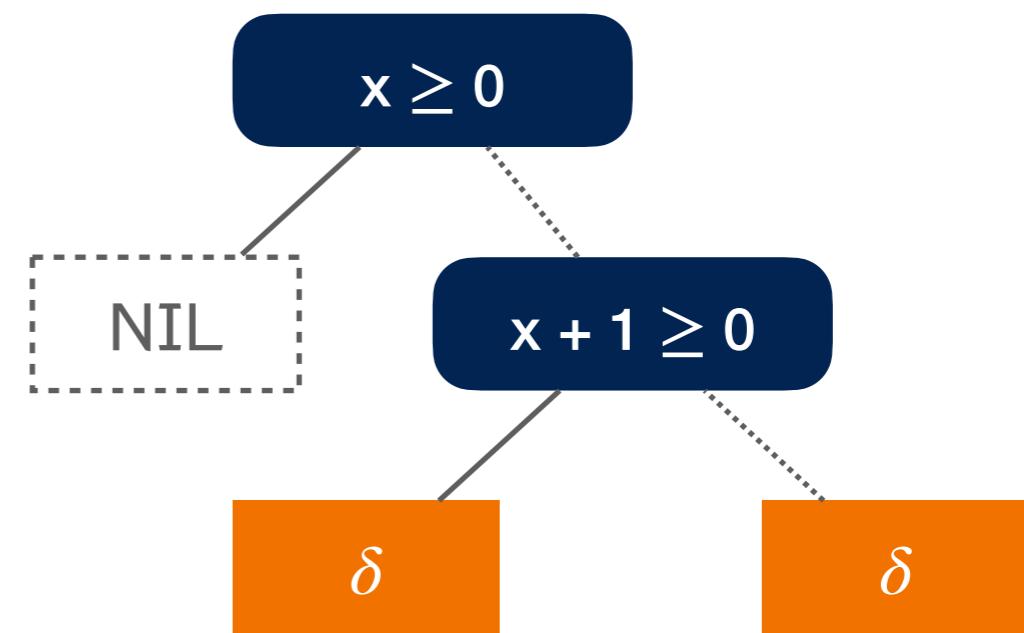
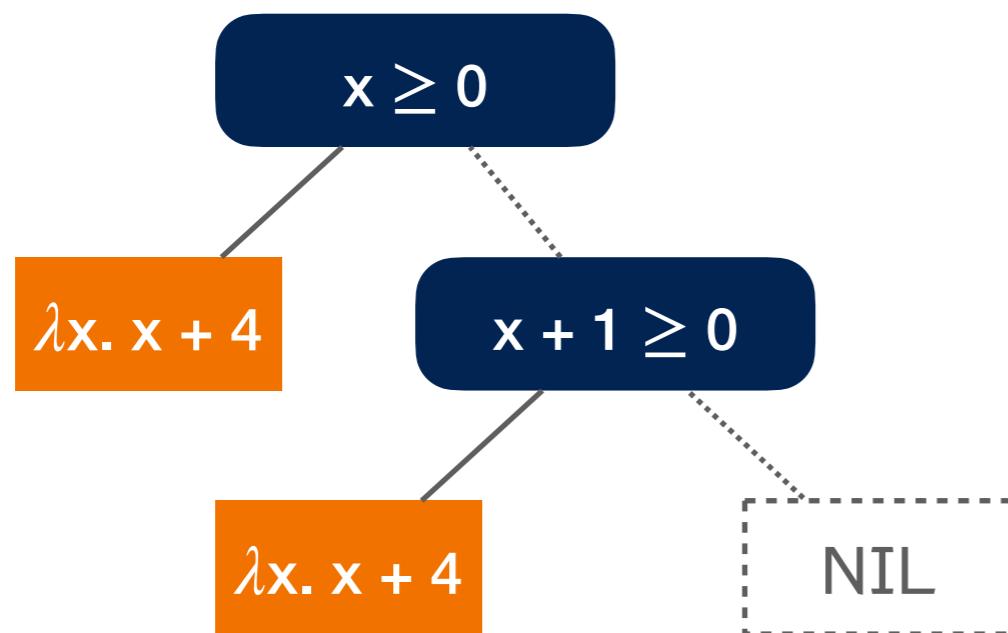
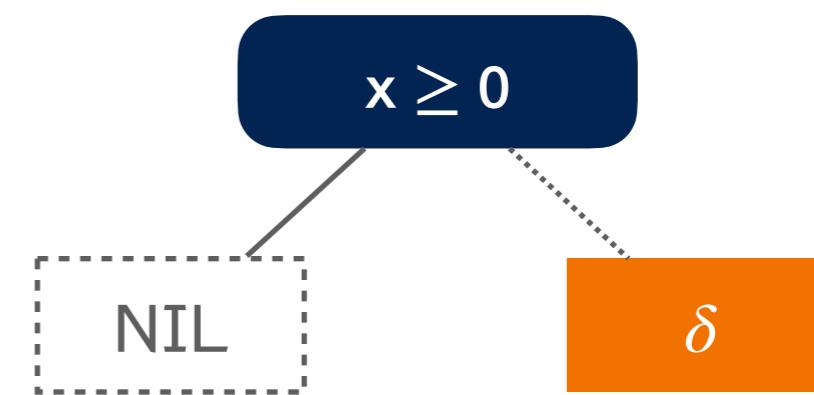
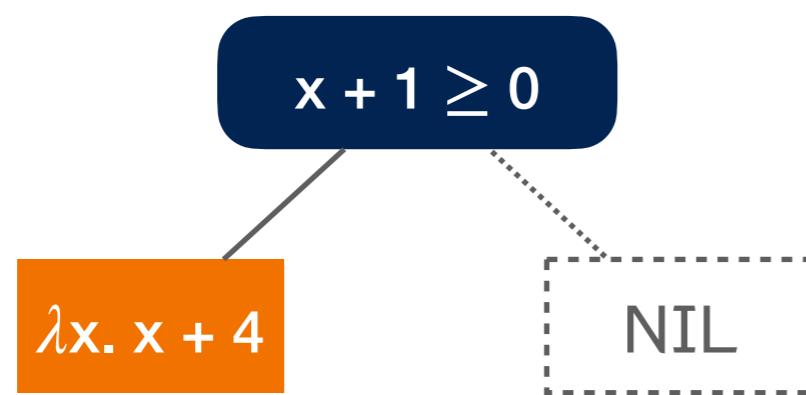
①c) c is kept in t_1 and t_2



Piecewise-Defined Ranking Functions Abstract Domain

Tree Unification (continue)

Example



Piecewise-Defined Ranking Functions Abstract Domain

Order

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
3. Compare the leaf nodes using the **approximation order** $\leq_F[\alpha_C(C)]$ or the **computational order** $\sqsubseteq_F[\alpha_C(C)]$

Piecewise-Defined Ranking Functions Abstract Domain Order

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
3. Compare the leaf nodes using the **approximation order** $\leq_F[\alpha_C(C)]$ or the **computational order** $\sqsubseteq_F[\alpha_C(C)]$

The concretization function γ_A is monotonic with respect to \leq_A :

Lemma

$$\forall t_1, t_2 \in \mathcal{A}: t_1 \leq_A t_2 \Rightarrow \gamma_A(t_1) \leq \gamma_A(t_2)$$

Piecewise-Defined Ranking Functions Abstract Domain

Join

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
3. $\text{NIL} \gamma_A t \stackrel{\text{def}}{=} t$
 $t \gamma_A \text{NIL} \stackrel{\text{def}}{=} t$
4. Join the leaf nodes using the **approximation join** $\vee_F [\alpha_C(C)]$ or the **computational join** $\sqcup_F [\alpha_C(C)]$

Piecewise-Defined Ranking Functions Abstract Domain

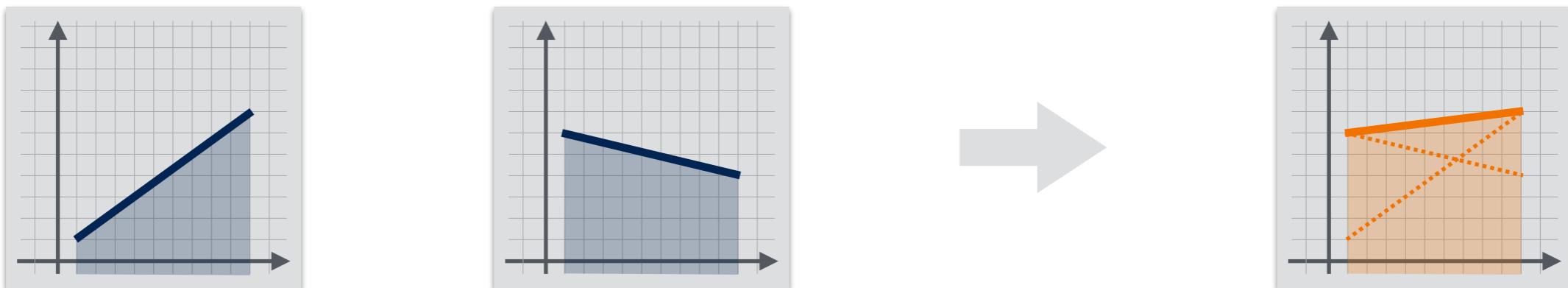
Join (continue)

- **approximation join** $\gamma_F[D]$, where $D \in \mathcal{D}$:
 - between defined leaf nodes:

$$f_1 \vee_F [D] f_2 \stackrel{\text{def}}{=} \begin{cases} f & f \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \\ \top_F & \text{otherwise} \end{cases}$$

where $f \stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D) : \max(f_1(\dots, \rho(X_i), \dots), f_2(\dots, \rho(X_i), \dots))$

Example:



Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

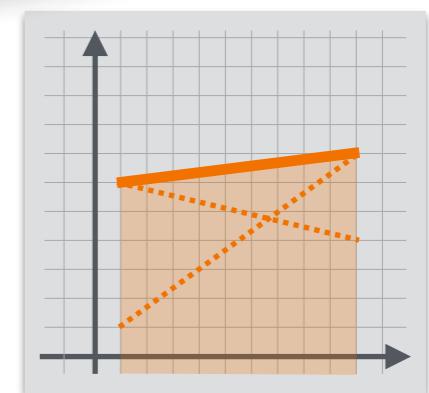
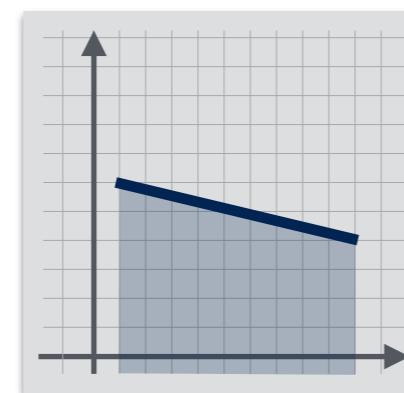
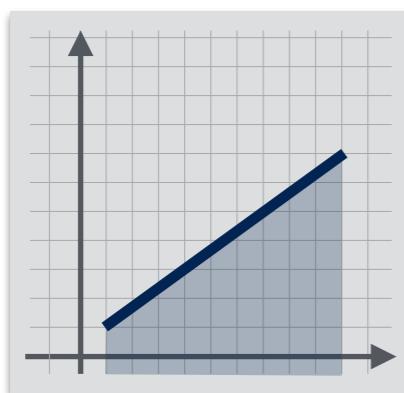
- **approximation join** $\gamma_F [D]$, where L

- between defined leaf nodes:

$$f_1 \gamma_F [D] f_2 \stackrel{\text{def}}{=} \begin{cases} f & f \in \mathcal{F} \setminus \{ \dots \} \\ T_F & \text{otherwise} \end{cases}$$

where $f \stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D) : \max(f_1(\dots), f_2(\dots))$

Example:



Polyhedron domain
Operators on polyhedra: join

Join: $\chi^\# \cup^\# \gamma^\# \stackrel{\text{def}}{=} [[P_{\chi^\#}, P_{\gamma^\#}], [R_{\chi^\#}, R_{\gamma^\#}]]$ (join generator sets)

Examples:

$\cup^\#$ is optimal:
we get the topological closure of the convex hull of $\gamma(\chi^\#) \cup \gamma(\gamma^\#)$

two polytopes

a point and a line

Course 4 Relational Numerical Abstract Domains Antoine Miné p. 31 / 71

Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\gamma_F [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \gamma_F [D] f_2 \stackrel{\text{def}}{=} \begin{cases} f & f \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \\ \top_F & \text{otherwise} \end{cases}$$

where $f \stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D) : \max(f_1(\dots, \rho(X_i), \dots), f_2(\dots, \rho(X_i), \dots))$

- otherwise (i.e., when one or both leaf nodes are undefined):

$$\perp_F \gamma_F [D] f \stackrel{\text{def}}{=} \perp_F$$

$$f \gamma_F [D] \perp_F \stackrel{\text{def}}{=} \perp_F$$

$$\top_F \gamma_F [D] f \stackrel{\text{def}}{=} \top_F$$

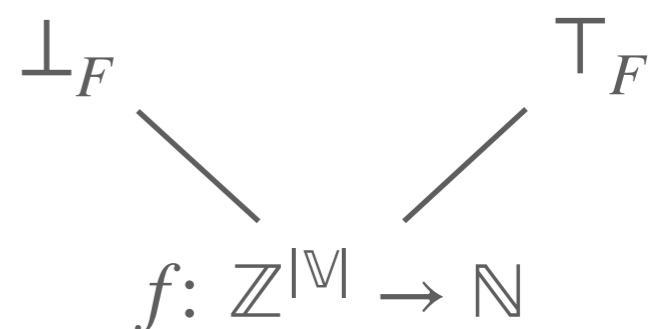
$$f \gamma_F [D] \top_F \stackrel{\text{def}}{=} \top_F$$

$$f \in \mathcal{F} \setminus \{ \top_F \}$$

$$f \in \mathcal{F} \setminus \{ \perp_F \}$$

$$f \in \mathcal{F} \setminus \{ \perp_F \}$$

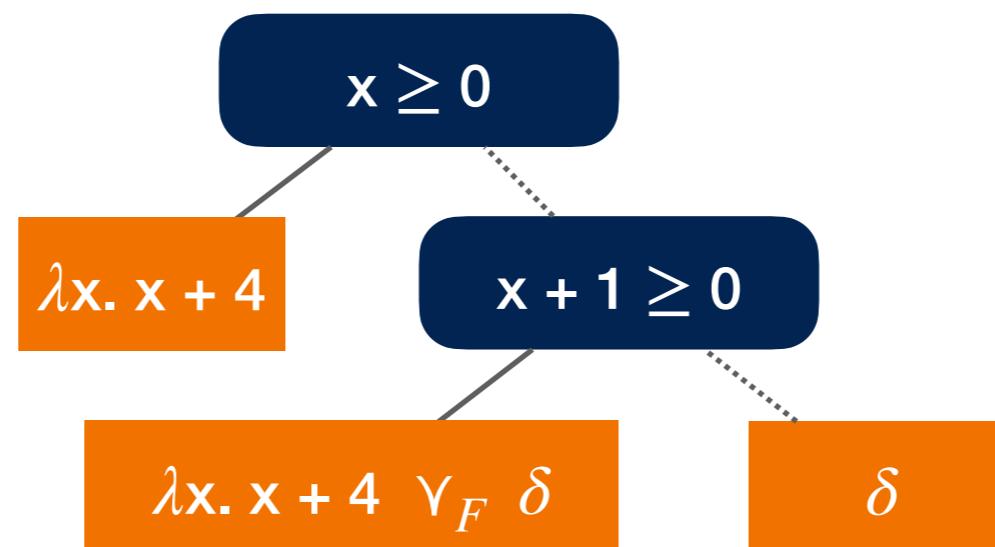
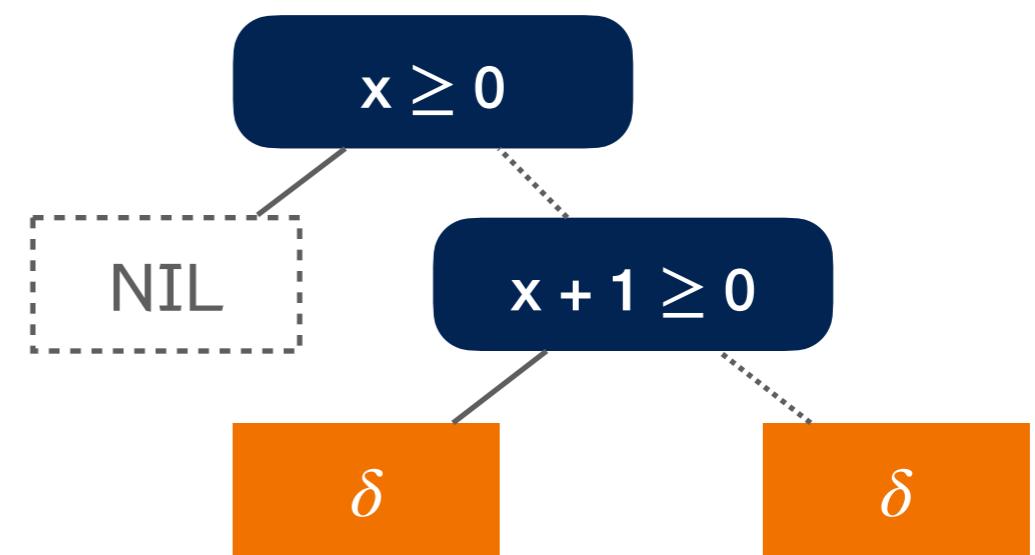
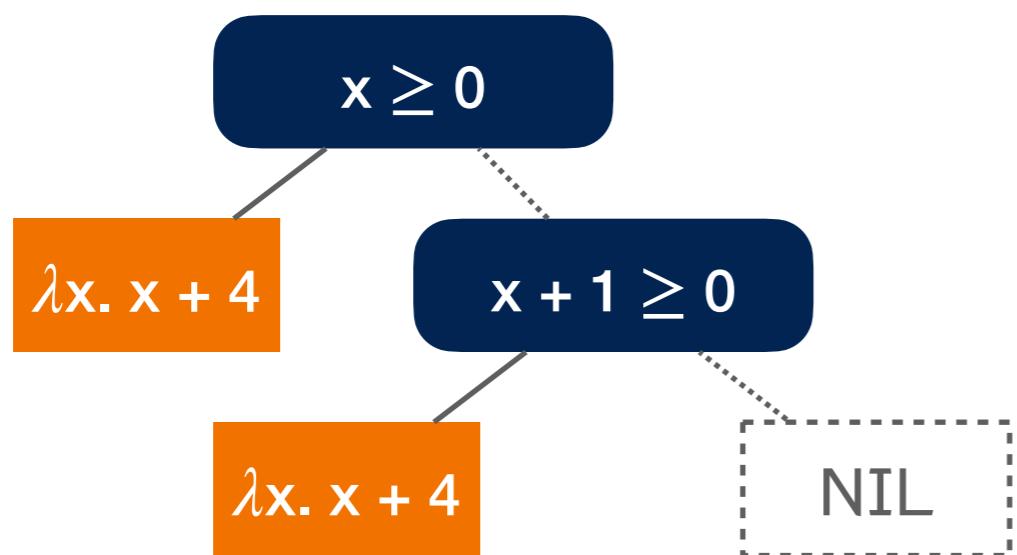
$$f \in \mathcal{F} \setminus \{ \perp_F \}$$



Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

Example



Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **computational join** $\sqcup_F [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \vee_F [D] f_2 \stackrel{\text{def}}{=} \begin{cases} f & f \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \\ \top_F & \text{otherwise} \end{cases}$$

where $f \stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D) : \max(f_1(\dots, \rho(X_i), \dots), f_2(\dots, \rho(X_i), \dots))$

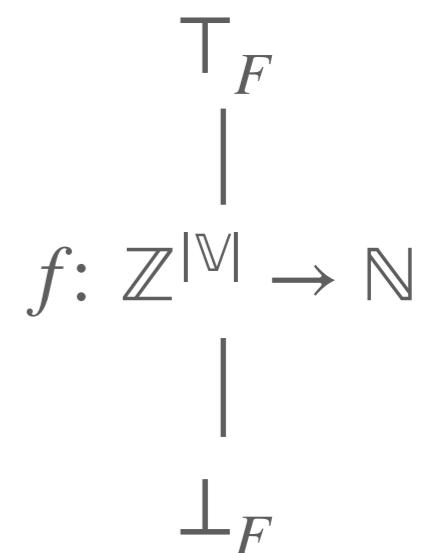
- otherwise (i.e., when one or both leaf nodes are undefined):

$$\perp_F \sqcup_F [D] f \stackrel{\text{def}}{=} f \quad f \in \mathcal{F}$$

$$f \sqcup_F [D] \perp_F \stackrel{\text{def}}{=} f \quad f \in \mathcal{F}$$

$$\top_F \sqcup_F [D] f \stackrel{\text{def}}{=} \top_F \quad f \in \mathcal{F}$$

$$f \sqcup_F [D] \top_F \stackrel{\text{def}}{=} \top_F \quad f \in \mathcal{F}$$



Piecewise-Defined Ranking Functions Abstract Domain

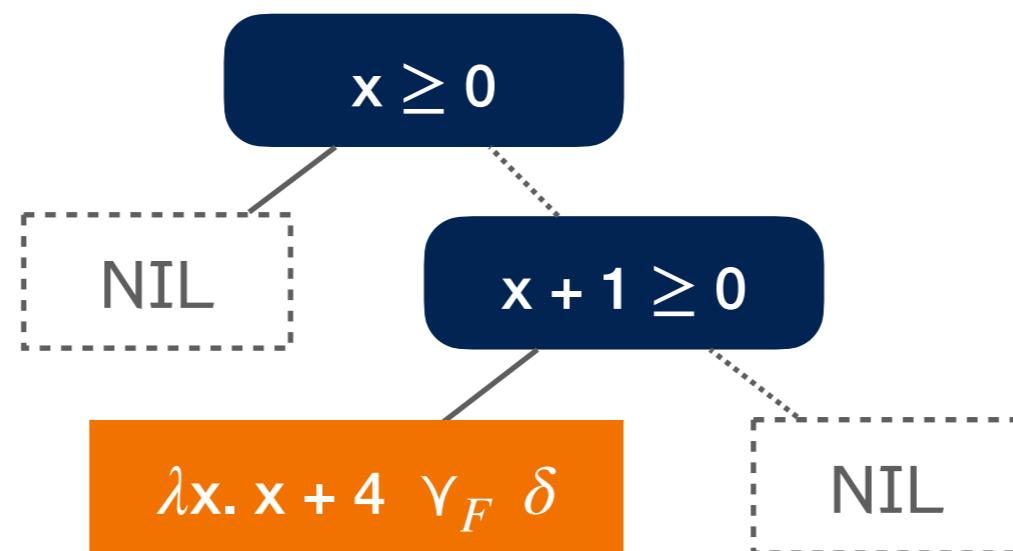
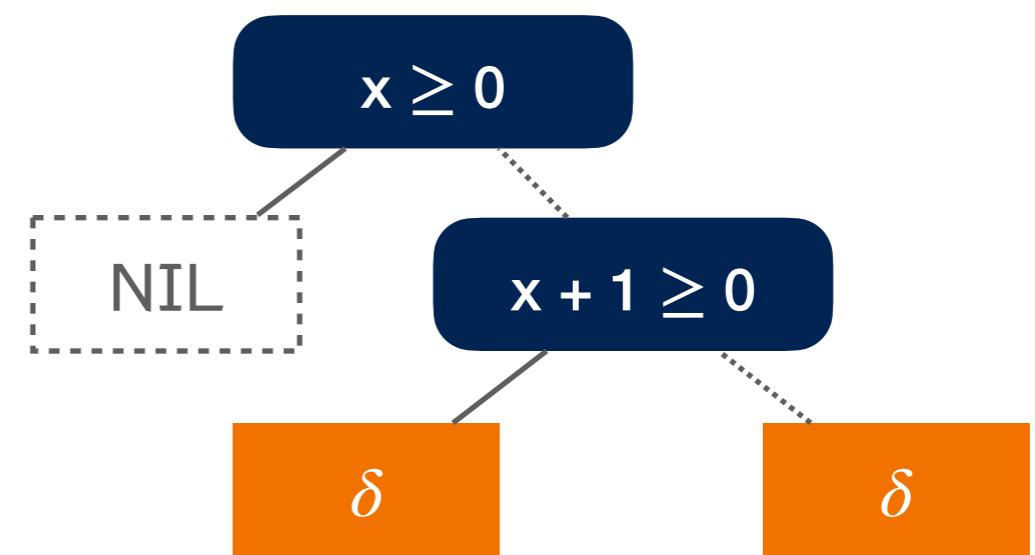
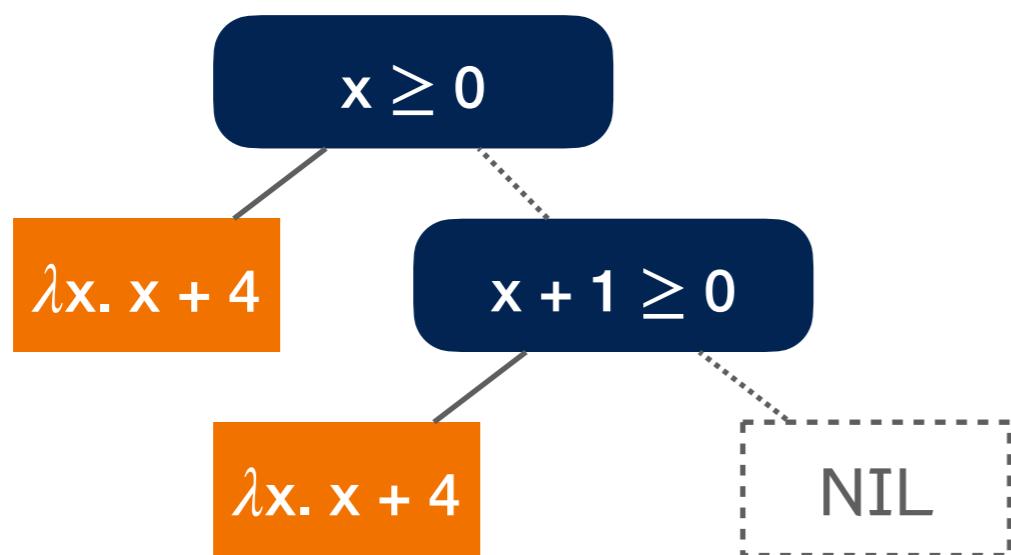
Meet

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
3. $\text{NIL} \vee_A t \stackrel{\text{def}}{=} \text{NIL}$
 $t \vee_A \text{NIL} \stackrel{\text{def}}{=} \text{NIL}$
4. Join the leaf nodes using the **approximation join** $\vee_F [\alpha_C(C)]$

Piecewise-Defined Ranking Functions Abstract Domain

Meet (continue)

Example



Piecewise-Defined Ranking Functions Abstract Domain

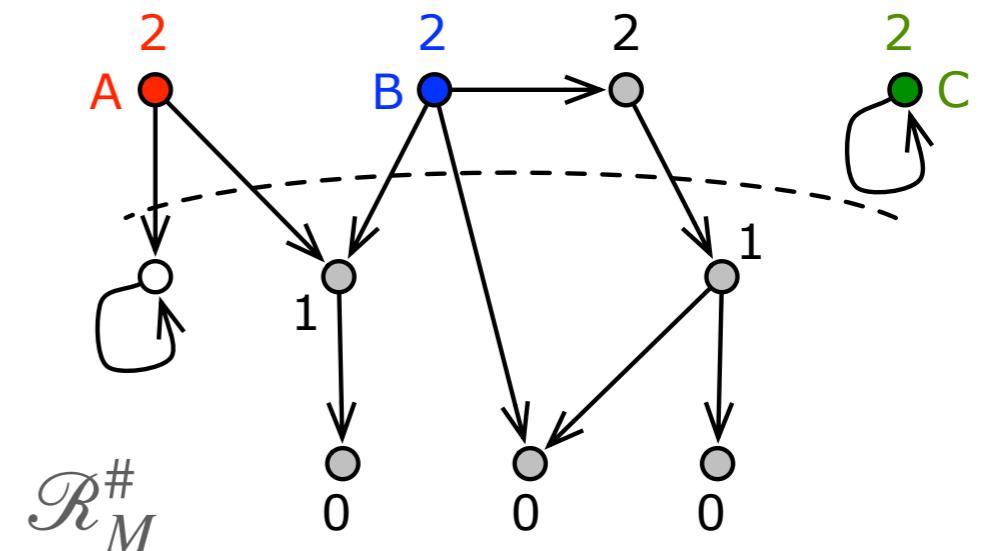
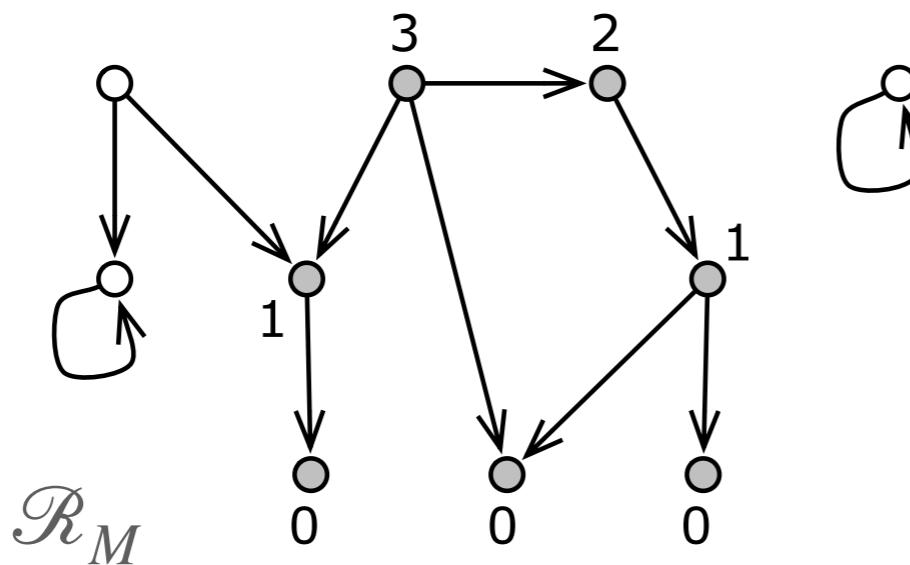
Widening

Goal: try to **predict** a valid ranking function

The prediction can (temporarily) be wrong!, i.e.,

- *under-approximates* the value of \mathcal{R}_M and/or
- *over-approximates* the domain $\text{dom}(\mathcal{R}_M)$ of \mathcal{R}_M

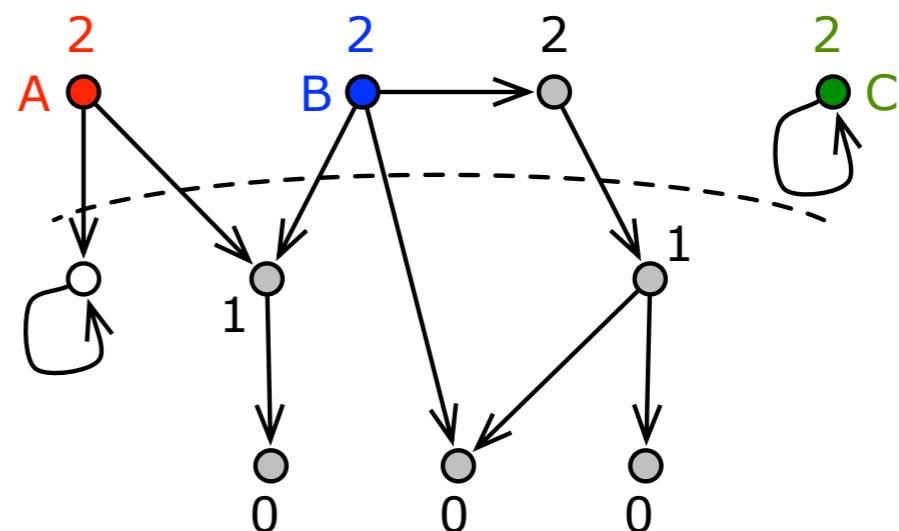
Example



Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

1. Check for **case A** (i.e., wrong domain predictions)
2. Perform **domain widening**
3. Check for **case B or C** (i.e., wrong value predictions)
4. Perform **value widening**



Piecewise-Defined Ranking Functions Abstract Domain

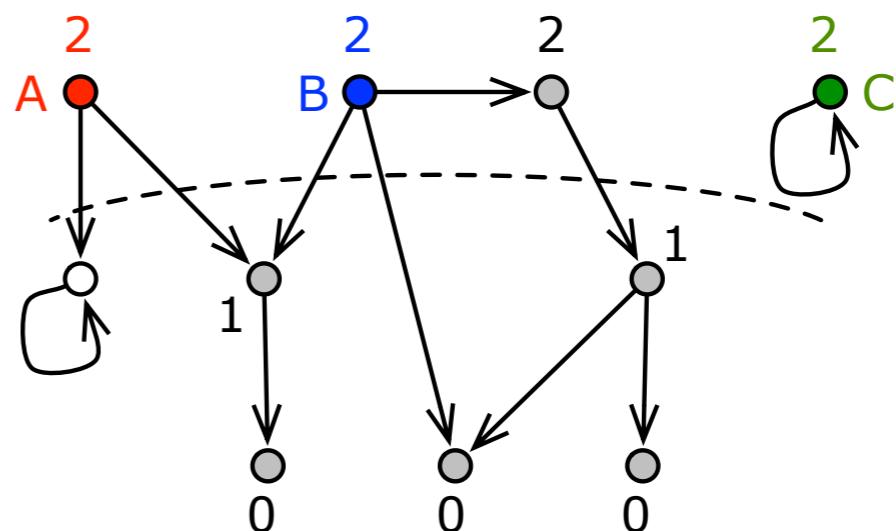
Widening (continue)

Check for Case A

Lemma

Let $\text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell)) \neq \emptyset$. Then, in case A, we have
 $\text{dom}(\gamma_A(\mathcal{R}_M^{\#n+1}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell)) \subset \text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell))$.

(see proof in [Urban15])



Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Check for Case A

Lemma

Let $\text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell)) \neq \emptyset$. Then, in case A, we have
 $\text{dom}(\gamma_A(\mathcal{R}_M^{\#n+1}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell)) \subset \text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell))$.

(see proof in [Urban15])

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C



Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Domain Widening

Goal: limit the size of the decision trees

Left unification: variant of tree unification that forces the structure of t_1 on t_2

- Base case:

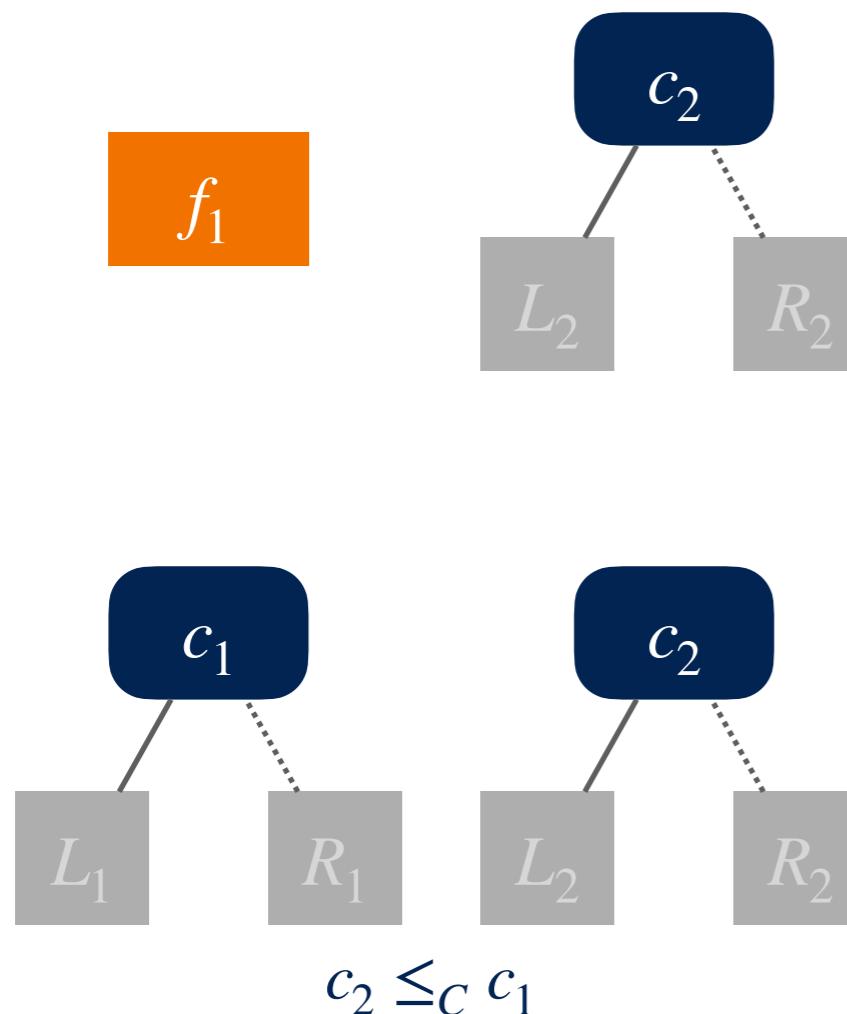


Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Domain Widening

- Case ①



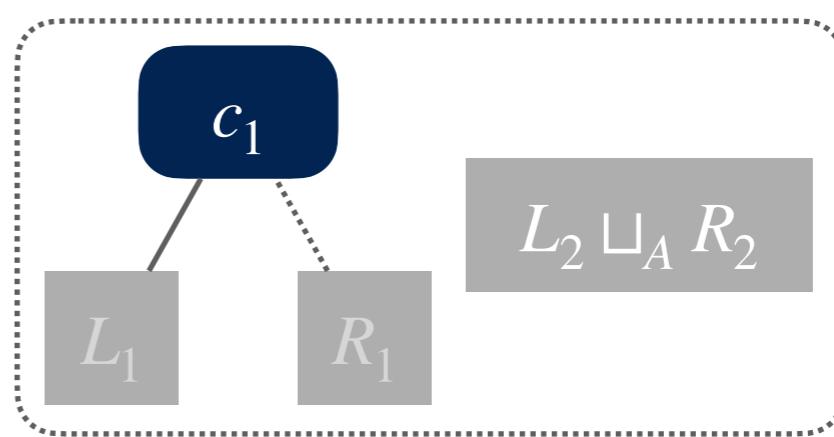
①a) c_2 is redundant



①b) $\neg c_2$ is redundant



①c) c_2 is removed from t_2

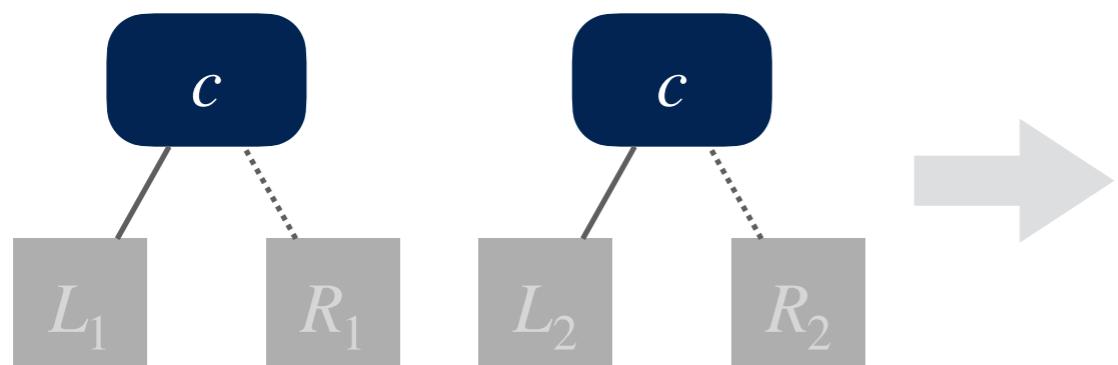


Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Domain Widening

- Case ② (as for tree unification)
- Case ③



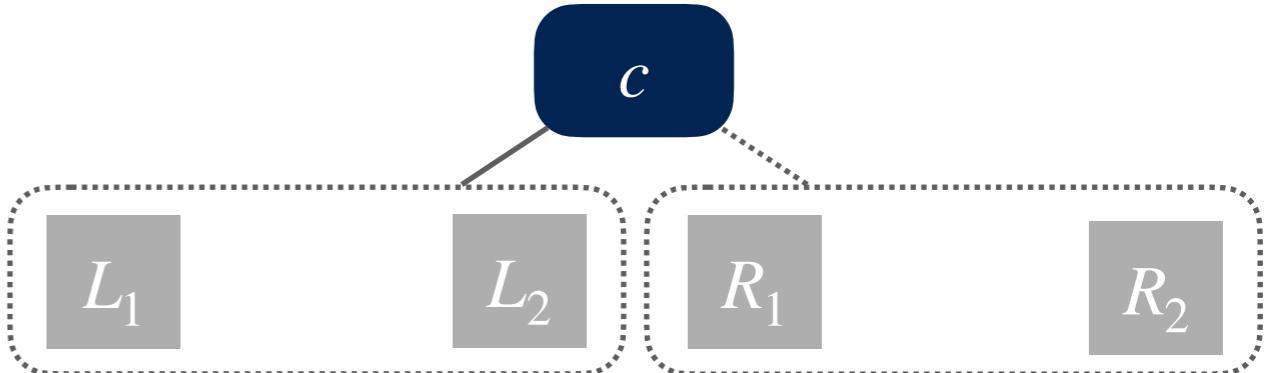
①a) c is redundant



①b) $\neg c$ is redundant



①c) c is kept in t_1 and t_2



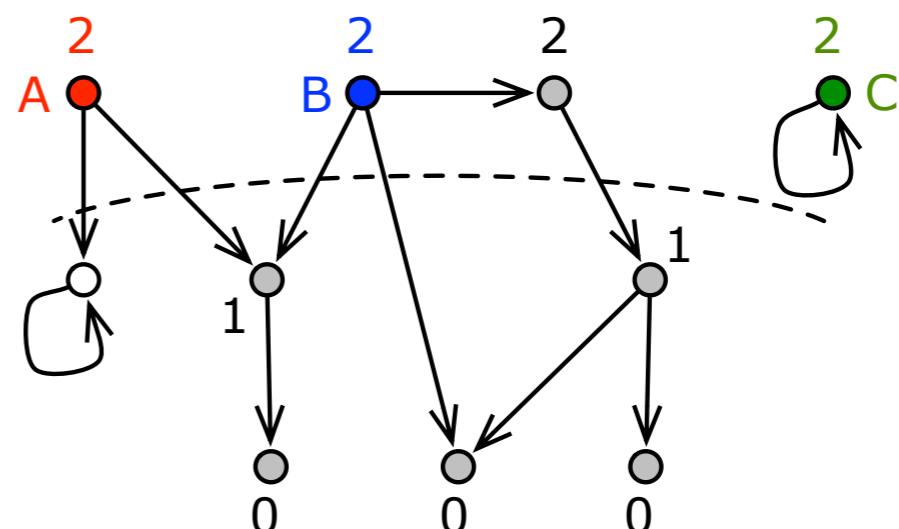
Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Check for Case B or C

Lemma

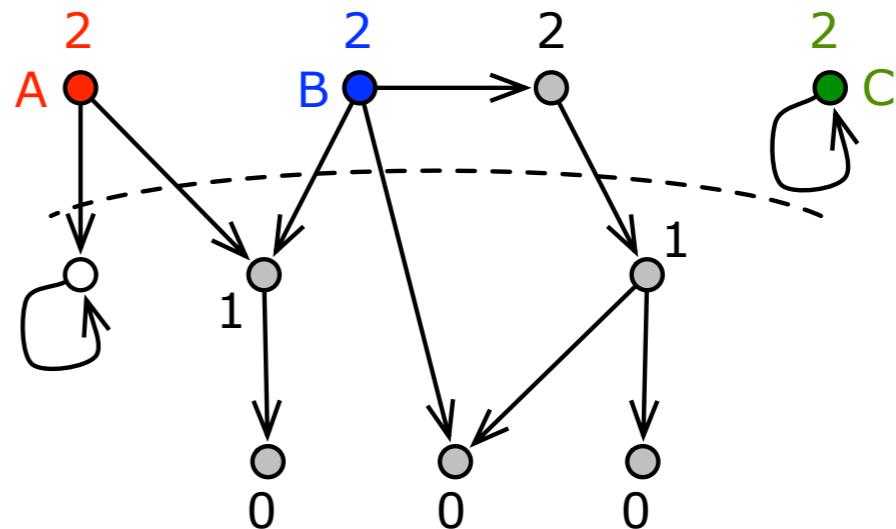
Let $\gamma_A(\mathcal{R}_M^{\#n}(\ell))(\bar{\rho}) < \mathcal{R}_M(\ell)(\bar{\rho})$ for some $\bar{\rho} \in \text{dom}(\mathcal{R}_M(\ell)) \cap \text{dom}(\gamma_A(\mathcal{R}_M^{\#n})(\ell))$ (case B). Then, there exists $\rho \in \text{dom}(\gamma_A(\mathcal{R}_M^{\#n+1}(\ell))) \cap \text{dom}(\mathcal{R}_M^{\#n}(\ell))$ such that $\gamma_A(\mathcal{R}_M^{\#n}(\ell))(\rho) < \gamma_A(\mathcal{R}_M^{\#n+1}(\ell))(\rho)$.



Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Check for Case B or C



Lemma

Let $\text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell)) \neq \emptyset$. Then, for all $\rho \in \text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell))$ in case C, we have $\gamma_A(\mathcal{R}_M^{\#n}(\ell))(\rho) < \gamma_A(\mathcal{R}_M^{\#n+1}(\ell))(\rho)$.

(see proof in [Urban15])

Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Check for Case B or C

Lemma

Let $\text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell)) \neq \emptyset$. Then, for all $\rho \in \text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell))$ in case C, we have $\gamma_A(\mathcal{R}_M^{\#n}(\ell))(\rho) < \gamma_A(\mathcal{R}_M^{\#n+1}(\ell))(\rho)$.

(see proof in [Urban15])

Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Check for Case B or C

1. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C



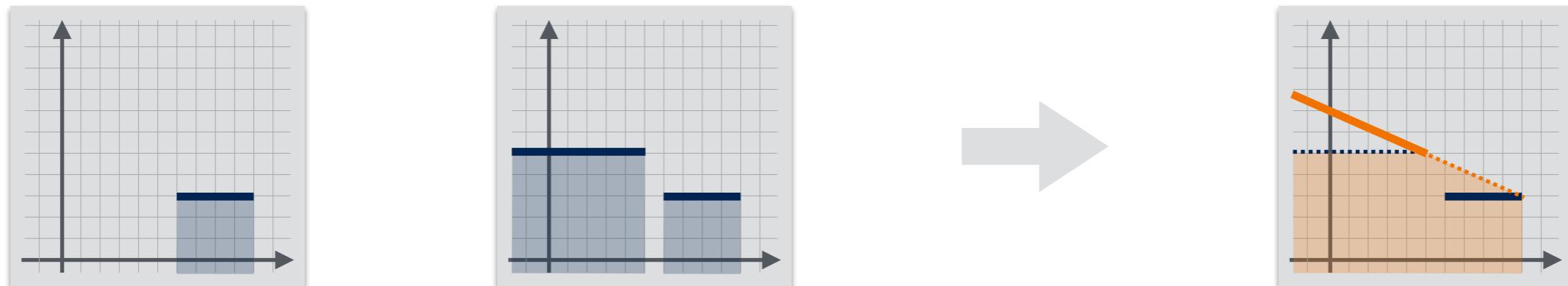
Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Value Widening

1. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
2. Widen each (defined) leaf node f with respect to each of their adjacent (defined) leaf node \bar{f} using the **extrapolation operator**
 $\nabla_F [\alpha_C(\bar{C}), \alpha_C(C)]$, where \bar{C} is the set of constraints along the path to \bar{f}

Example:

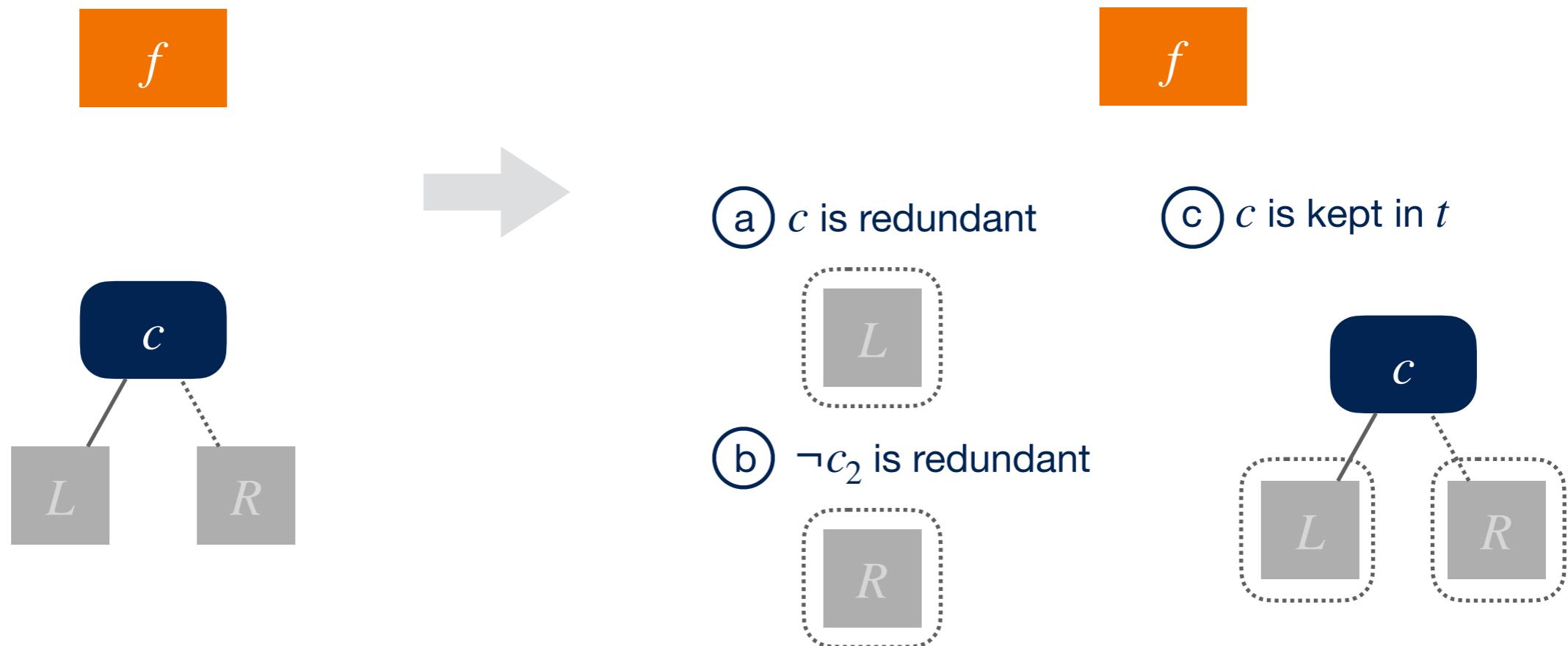


Piecewise-Defined Ranking Functions Abstract Domain

Tree Pruning

Goal: add a set J of linear constraints to the decision tree

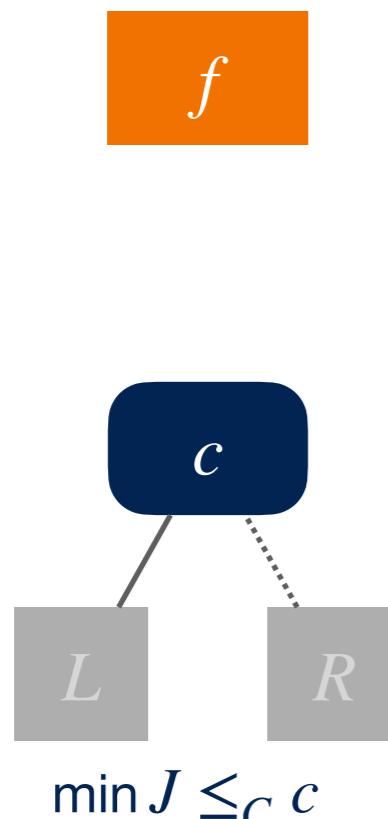
- Base case ($J = \emptyset$)



Piecewise-Defined Ranking Functions Abstract Domain

Tree Pruning (continue)

- Case ①



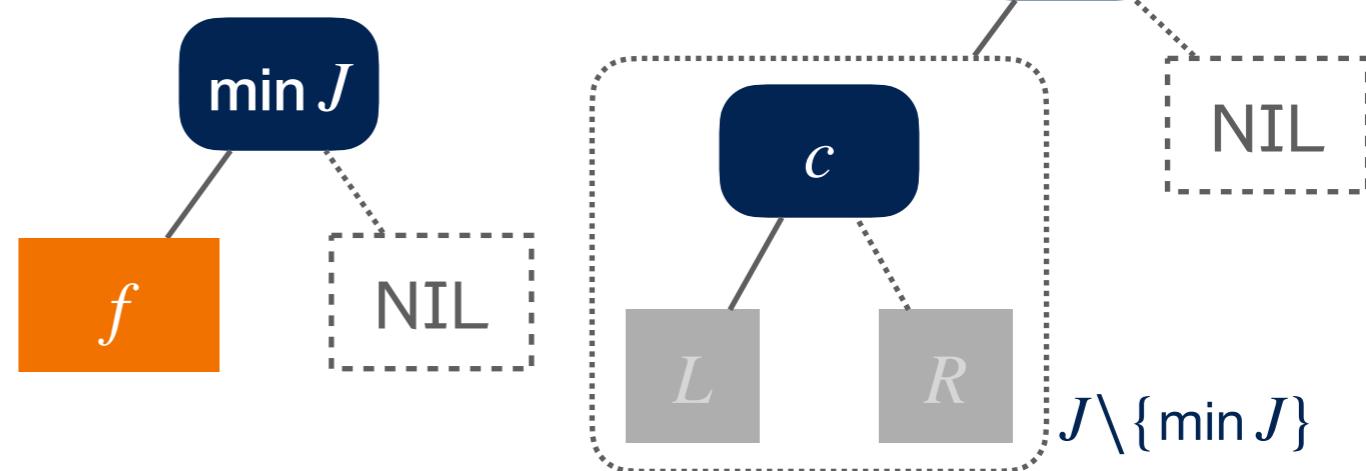
①a $\min J$ is redundant



①b $\neg \min J$ is redundant



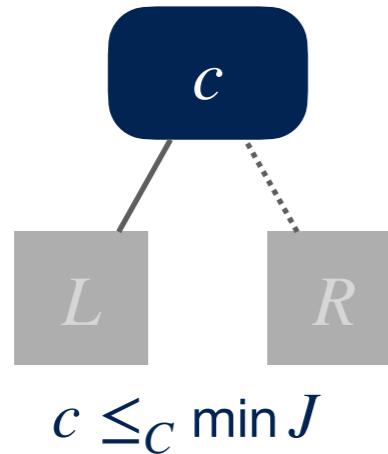
①c $\min J$ is added to t



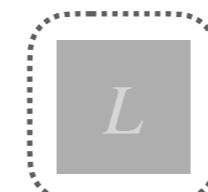
Piecewise-Defined Ranking Functions Abstract Domain

Tree Pruning (continue)

- Case ②



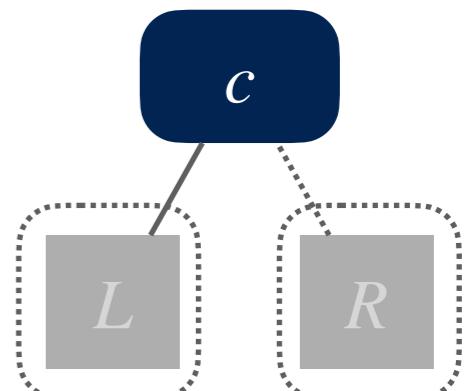
②a c is redundant



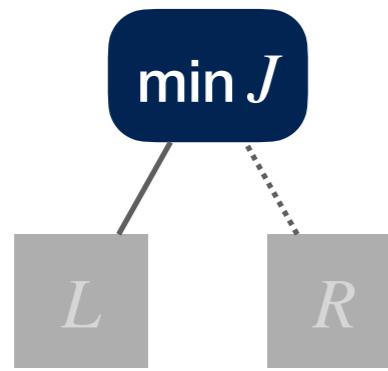
②b $\neg c_2$ is redundant



②c c is kept in t



- Case ③

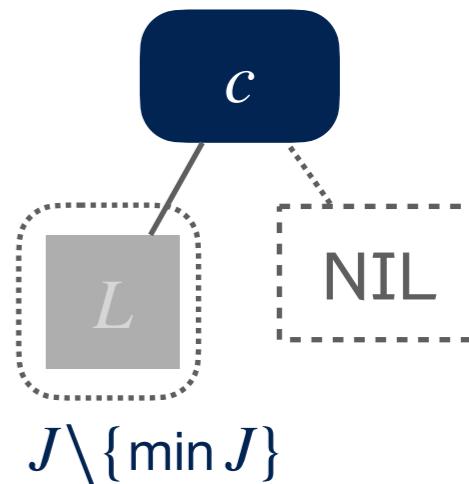


③a $\min J$ is redundant



③c $\min J$ is kept in t

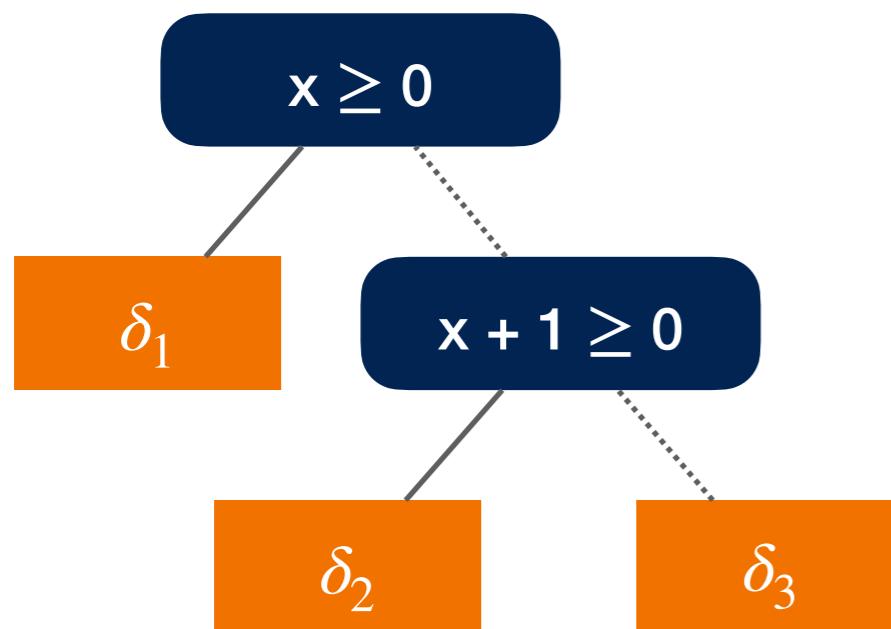
③b $\neg \min J$ is redundant



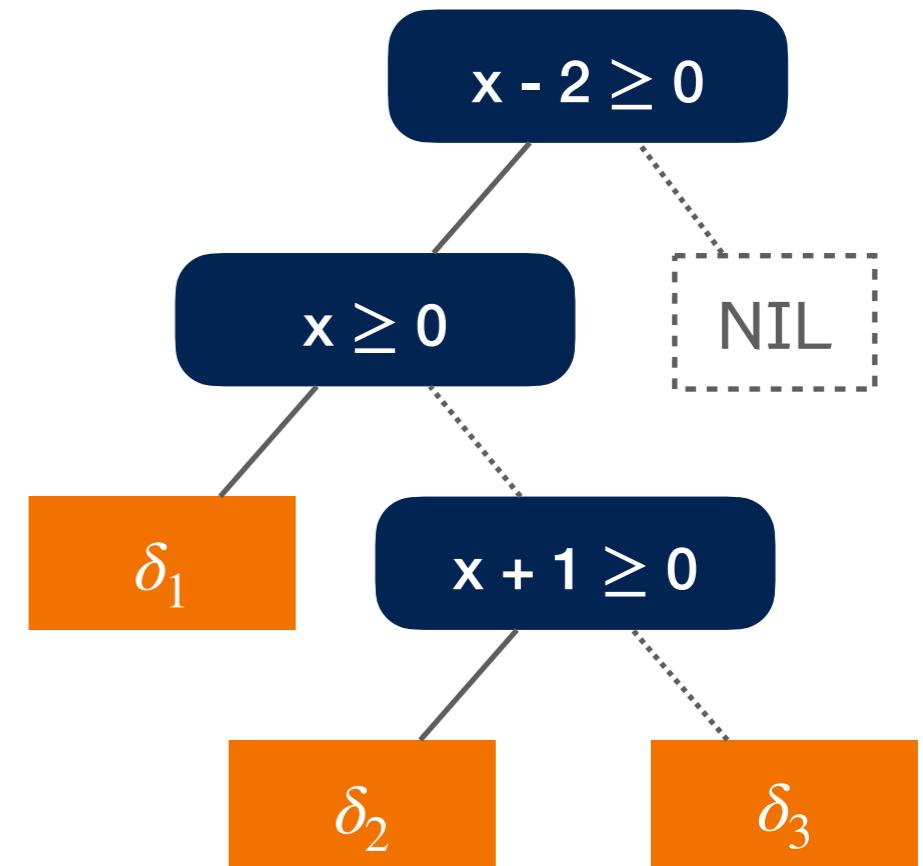
Piecewise-Defined Ranking Functions Abstract Domain

Tree Pruning (continue)

Example



$$J \stackrel{\text{def}}{=} \{x - 2 \geq 0\}$$



Piecewise-Defined Ranking Functions Abstract Domain

Assignments

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][\alpha_C(C)]$ on the defined leaf nodes

$$\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][D](f) \stackrel{\text{def}}{=} \begin{cases} \bar{f} & \bar{f} \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \\ \top_F & \text{otherwise} \end{cases} \quad f \in \mathcal{F} \setminus \{ \perp_F, \top_F \}$$

where $\bar{f}(\dots, X_i, X, \dots) \stackrel{\text{def}}{=} \max\{f(\dots, \rho(X_i), v, \dots) + 1 \mid \rho \in \gamma_D(R) \wedge v \in E[e]\rho\}$
and $R \stackrel{\text{def}}{=} \overleftarrow{\text{ASSIGN}}_D[X \leftarrow e]D$

Example:

$$\overleftarrow{\text{ASSIGN}}_F[x \leftarrow x + [1,2]][\top_D](\lambda x.x + 1) = \lambda x.x + 4$$

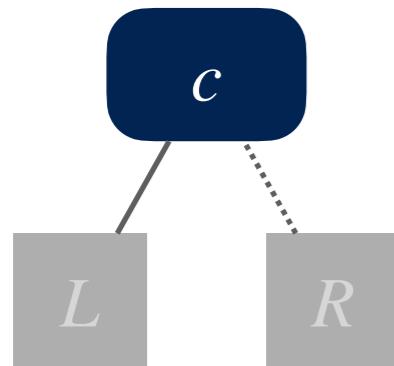
(since $f(x + [1,2]) + 1 = x + [1,2] + 1 + 1 = x + [3,4]$ and

$$\max(3,4) = 4$$

Piecewise-Defined Ranking Functions Abstract Domain

Assignments

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

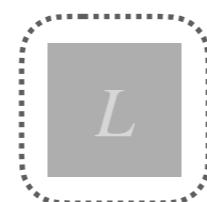


Convert $\overleftarrow{\text{ASSIGN}}_D[X \leftarrow e](\alpha_C(\{c\}))$ and $\overleftarrow{\text{ASSIGN}}_D[X \leftarrow e](\alpha_C(\{\neg c\}))$ into sets I and J of linear constraints in canonical form

case ① $I = J = \emptyset$



case ② $I = \emptyset \wedge \perp_C \in J$



case ③ $\perp_C \in I \wedge J = \emptyset$



case ④

1. perform tree pruning on
2. join the results with γ_A



Piecewise-Defined Ranking Functions Abstract Domain

Tests

$\text{FILTER}_A[[e]]$

1. Recursively descend the tree and apply STEP_F on the defined leaf nodes to account for one more execution step needed before termination:

$$\text{STEP}_F(f) \stackrel{\text{def}}{=} \lambda X_1, \dots, X_k . f(X_1, \dots, X_k) + 1 \quad f \in \mathcal{F} \setminus \{ \perp_F, \top_F \}$$

2. Convert e into a set J of linear constraints *in canonical form*

Example: $\alpha_C(\text{FILTER}_D[[e]] \top_D)$

where $\langle \mathcal{D}, \sqsubseteq_D \rangle$ is the underlying numerical domain

3. Perform **tree pruning** with J

Abstract Definite Termination Semantics

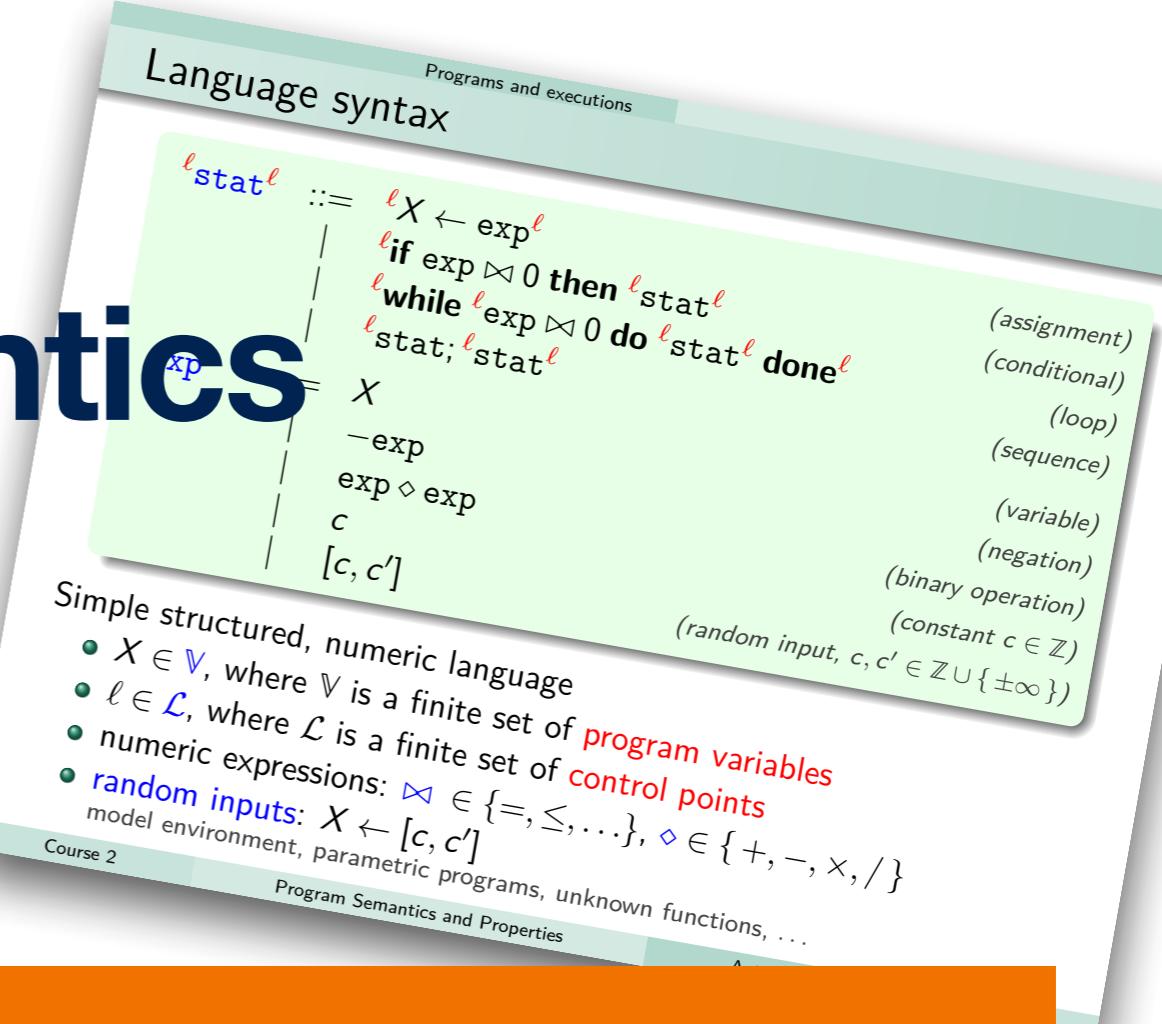
For each program instruction stat , we define a transformer $\mathcal{R}_M^\# \llbracket \text{stat} \rrbracket : \mathcal{A} \rightarrow \mathcal{A}$:

- $\mathcal{R}_M^\# \llbracket \ell X \leftarrow e \rrbracket t \stackrel{\text{def}}{=} \overleftarrow{\text{ASSIGN}}_A \llbracket X \leftarrow e \rrbracket t$

Lemma (Soundness)

$$\mathcal{R}_M^\# \llbracket \ell X \leftarrow e \rrbracket \gamma_A(t) \leq \gamma_A(\mathcal{R}_M^\# \llbracket \ell X \leftarrow e \rrbracket t)$$

(see proof in [Urban15])



Abstract Definite Termination Semantics

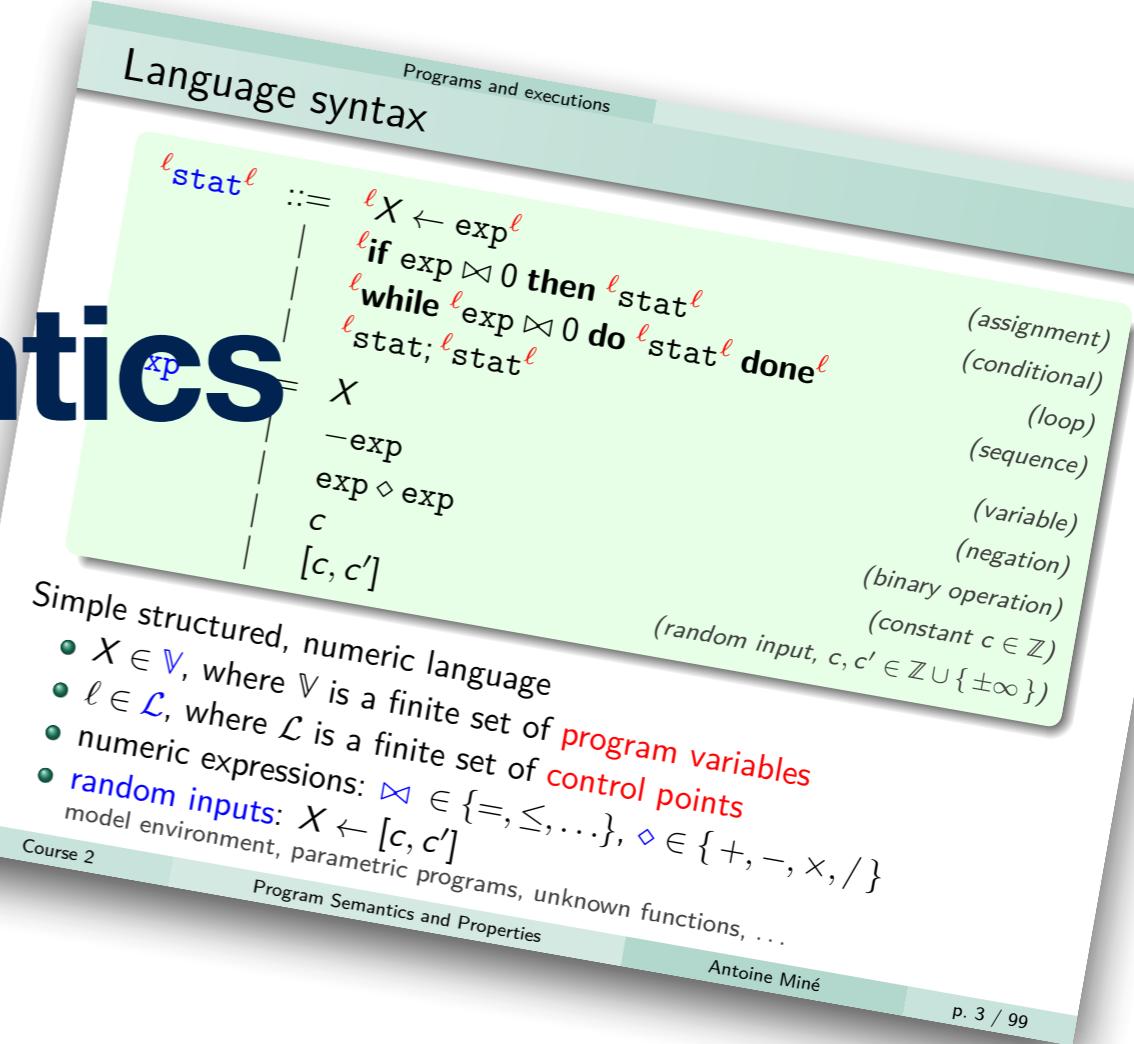
For each program instruction stat , we define a transformer $\mathcal{R}_M^\#[\![\text{stat}]\!]: \mathcal{A} \rightarrow \mathcal{A}$:

- $\mathcal{R}_M^\#[\![\ell X \leftarrow e]\!]t \stackrel{\text{def}}{=} \text{ASSIGN}_A[\![X \leftarrow e]\!]t$
- $\mathcal{R}_M^\#[\![\text{if } \ell e \bowtie 0 \text{ then } s]\!]t \stackrel{\text{def}}{=} \text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_M^\#[\![s]\!]t) \vee_T \text{FILTER}_A[\![e \bowtie 0]\!]t$

Lemma (Soundness)

$$\mathcal{R}_M[\![\text{if } \ell e \bowtie 0 \text{ then } s]\!]t \leq \gamma_A(\mathcal{R}_M^\#[\![\text{if } \ell e \bowtie 0 \text{ then } s]\!]t)$$

(see proof in [Urban15])



Abstract Definite Termination Semantics

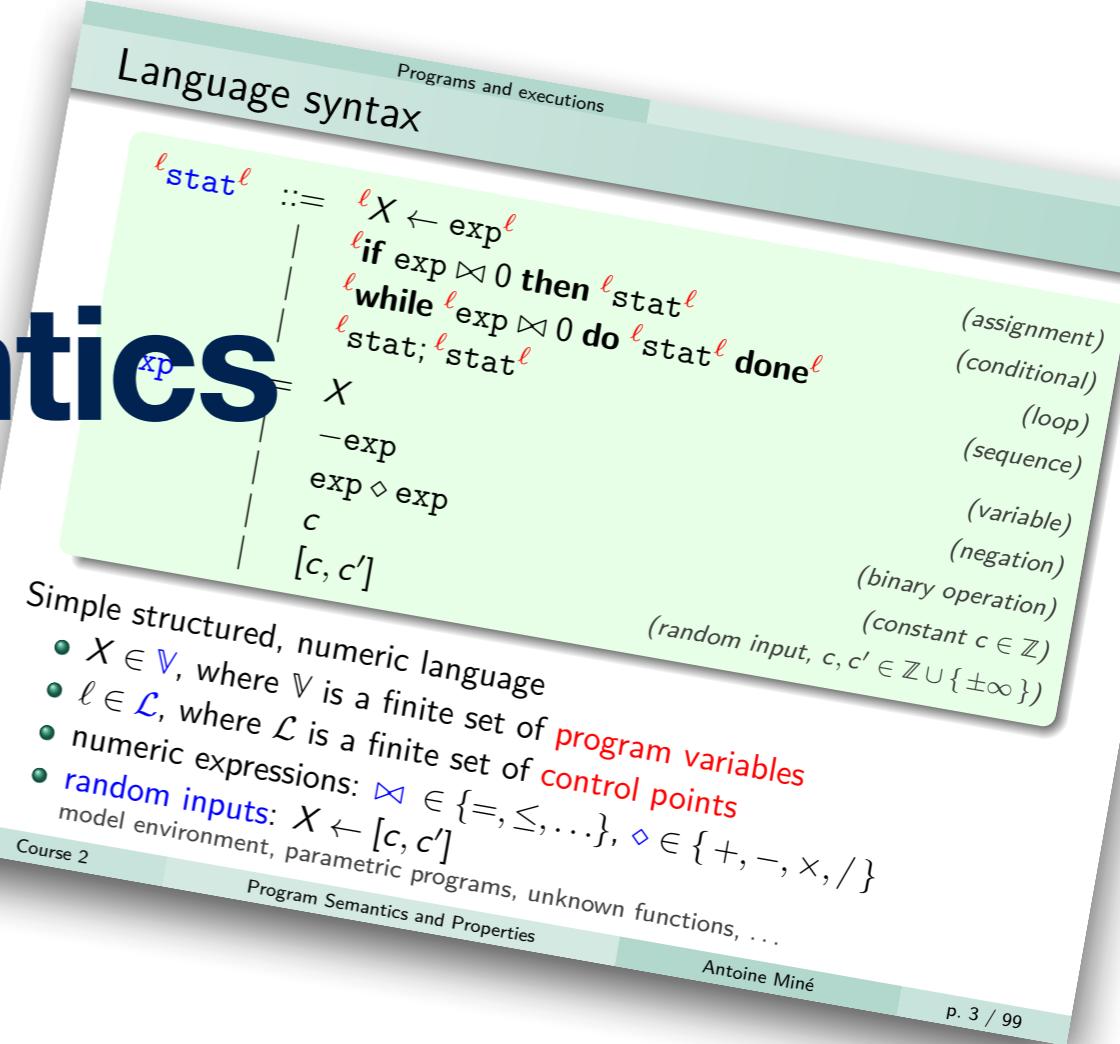
For each program instruction stat , we define a transformer $\mathcal{R}_M^\#[\text{stat}] : \mathcal{A} \rightarrow \mathcal{A}$:

- $\mathcal{R}_M^\#[\ell X \leftarrow e]t \stackrel{\text{def}}{=} \text{ASSIGN}_A[X \leftarrow e]t$
- $\mathcal{R}_M^\#[\text{if } \ell e \bowtie 0 \text{ then } s]t \stackrel{\text{def}}{=} \text{FILTER}_A[e \bowtie 0](\mathcal{R}_M^\#[s]t) \vee_T \text{FILTER}_A[e \bowtie 0]t$
- $\mathcal{R}_M^\#[\text{while } \ell e \bowtie 0 \text{ do } s \text{ done}]t \stackrel{\text{def}}{=} \text{lfp}^{\#} \bar{F}_M^{\#}$
where $\bar{F}_M^{\#}(x) \stackrel{\text{def}}{=} \text{FILTER}_A[e \bowtie 0](\mathcal{R}_M^\#[s]x) \vee_T \text{FILTER}_A[e \bowtie 0](t)$

Lemma (Soundness)

$$\mathcal{R}_M[\text{while } \ell e \bowtie 0 \text{ do } s \text{ done}]t \leq \gamma_A(\mathcal{R}_M^\#[\text{while } \ell e \bowtie 0 \text{ do } s \text{ done}]t)$$

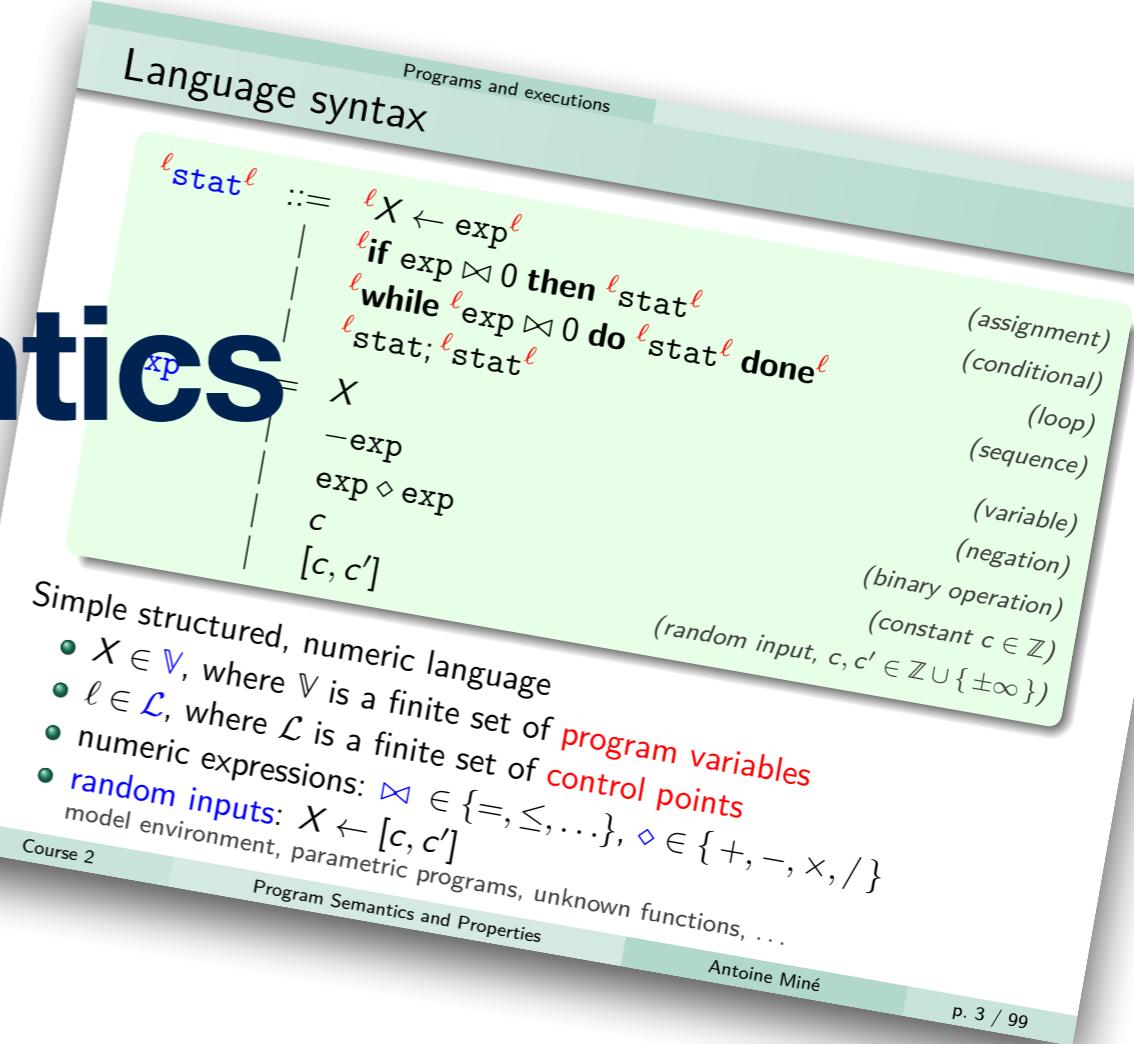
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Abstract Definite Termination Semantics

For each program instruction stat , we define a transformer $\mathcal{R}_M^\#[\![\text{stat}]\!]: \mathcal{A} \rightarrow \mathcal{A}$:

- $\mathcal{R}_M^\#[\![\ell X \leftarrow e]\!]t \stackrel{\text{def}}{=} \text{ASSIGN}_A[\![X \leftarrow e]\!]t$
- $\mathcal{R}_M^\#[\![\text{if } \ell e \bowtie 0 \text{ then } s]\!]t \stackrel{\text{def}}{=} \text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_M^\#[\![s]\!]t) \vee_T \text{FILTER}_A[\![e \bowtie 0]\!]t$
- $\mathcal{R}_M^\#[\![\text{while } \ell e \bowtie 0 \text{ do } s \text{ done}]\!]t \stackrel{\text{def}}{=} \text{lfp}^\# \bar{F}_M^\#$
where $\bar{F}_M^\#(x) \stackrel{\text{def}}{=} \text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_M^\#[\![s]\!]x) \vee_T \text{FILTER}_A[\![e \bowtie 0]\!](t)$
- $\mathcal{R}_M^\#[\![s_1; s_2]\!]t \stackrel{\text{def}}{=} \mathcal{R}_M^\#[\![s_1]\!](\mathcal{R}_M^\#[\![s_2]\!]t)$



Abstract Definite Termination Semantics

Definition

The **abstract definite termination semantics** $\mathcal{R}_M^\#[\text{stat}^\ell] \in \mathcal{A}$ of a program stat^ℓ is:

$$\mathcal{R}_M^\#[\text{stat}^\ell] \stackrel{\text{def}}{=} \mathcal{R}_M^\#[\text{stat}](\text{LEAF}: \lambda X_1, \dots, X_k. 0)$$

where $\mathcal{R}_M^\#[\text{stat}] : \mathcal{A} \rightarrow \mathcal{A}$ is the abstract definite termination semantics of each program instruction stat

Theorem (Soundness)

$$\mathcal{R}_M[\text{stat}^\ell] \leq \gamma_A(\mathcal{R}_M^\#[\text{stat}^\ell])$$

Corollary (Soundness)

A program stat^ℓ **must terminate** for traces starting from a set of initial states \mathcal{I} if $\mathcal{I} \subseteq \text{dom}(\gamma_A(\mathcal{R}_M^\#[\text{stat}^\ell]))$

Abstract Definite Termination Semantics

Example

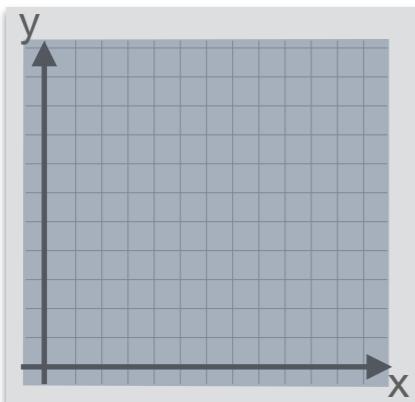
```
1x ← [-∞, +∞]
2y ← [-∞, +∞]
while 3(x > 0) do
    4x ← x - y
od5
```

Abstract Definite Termination Semantics

Example

```
1 x ← [-∞, +∞]  
2 y ← [-∞, +∞]  
while 3(x > 0) do  
    4x ← x - y
```

od⁵



0

Abstract Definite Termination Semantics

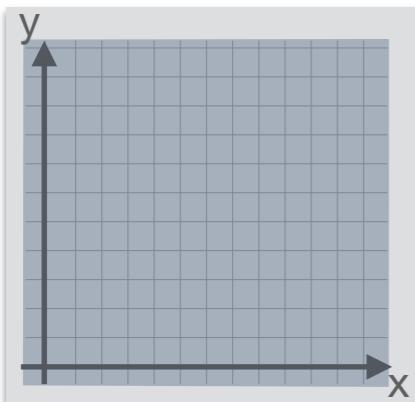
Example

```
1 x ← [-∞, +∞]  
2 y ← [-∞, +∞]  
while 3(x > 0) do
```

```
    4 x ← x - y
```

```
od 5
```

FILTER_A[$x \leq 0$]

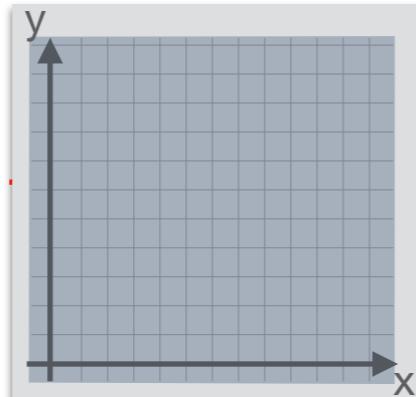


0

Abstract Definite Termination Semantics

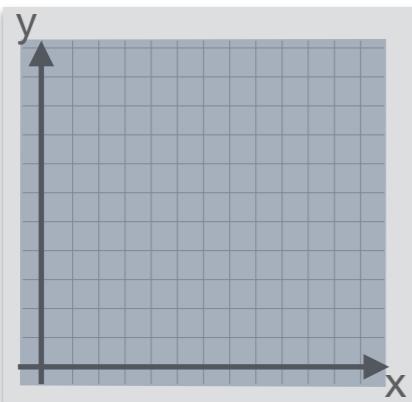
Example

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while 3(x > 0) do  
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od 5
```



1

FILTER_A[$x \leq 0$]

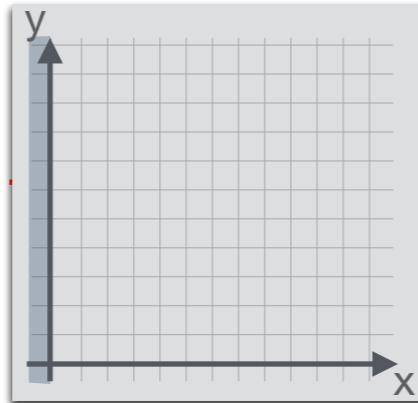


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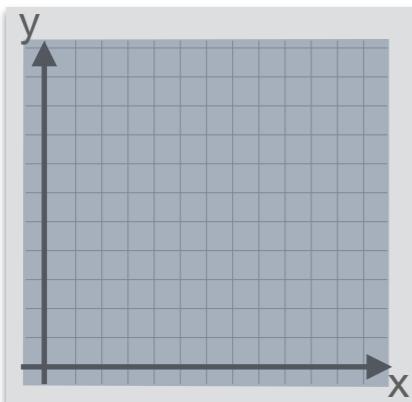
Abstract Definite Termination Semantics

Example

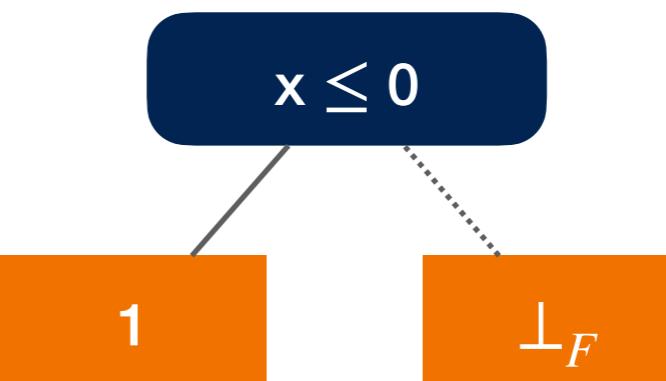
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    4x ← x - y  
od 5
```



FILTER_A[$x \leq 0$]



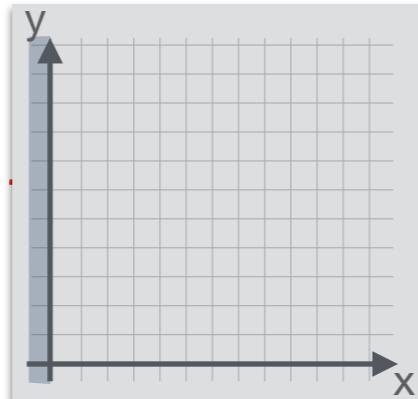
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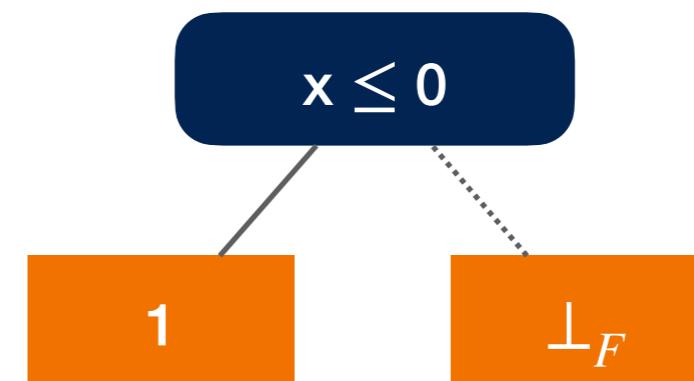
Abstract Definite Termination Semantics

Example

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while 3(x > 0) do  
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        4x ← x - y
```



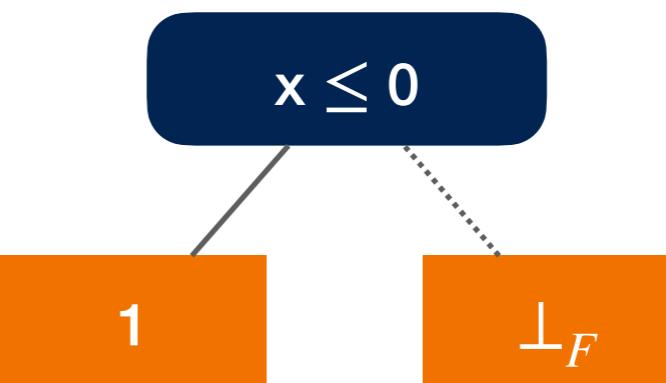
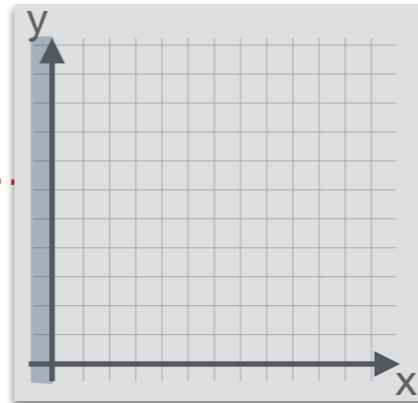
ASSIGN_A[[$x \leftarrow x - y$]]



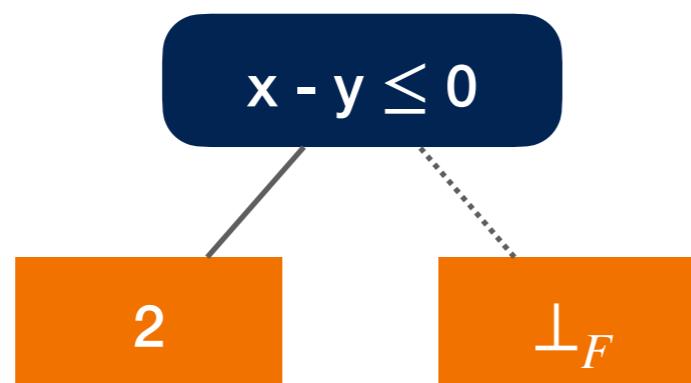
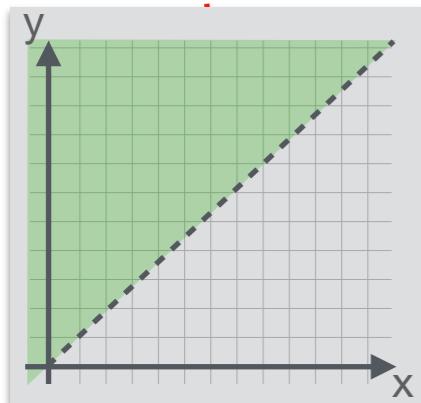
Abstract Definite Termination Semantics

Example

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```



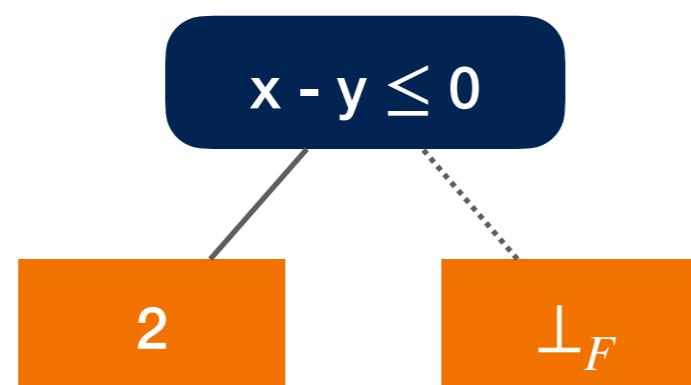
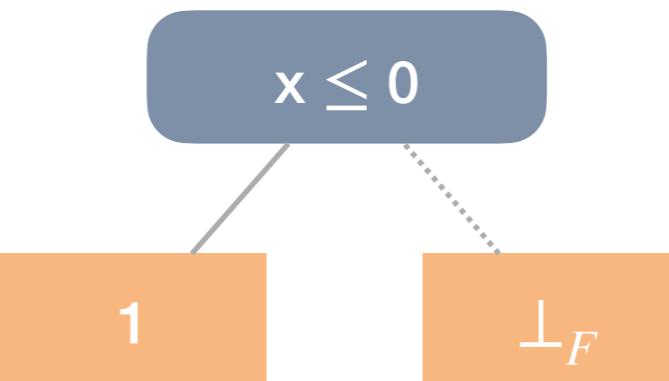
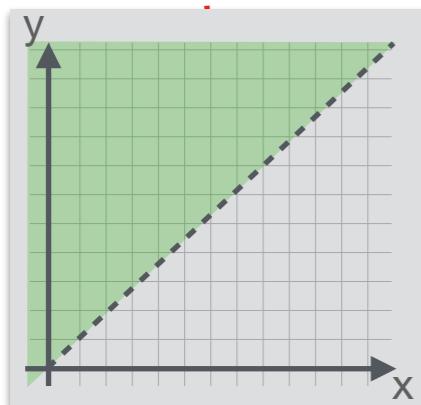
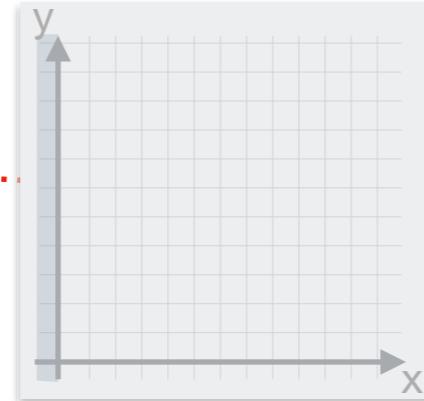
$\text{ASSIGN}_A[x \leftarrow x - y]$



Abstract Definite Termination Semantics

Example

```
1 x ← [-∞, +∞]  
2 y ← [-∞, +∞]  
while 3(x > 0) do  
  od 5  
    4 x ← x - y  
  
FILTERA[[x > 0]]
```

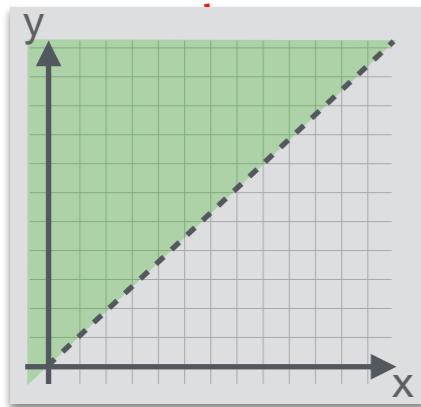


Abstract Definite Termination Semantics

Example

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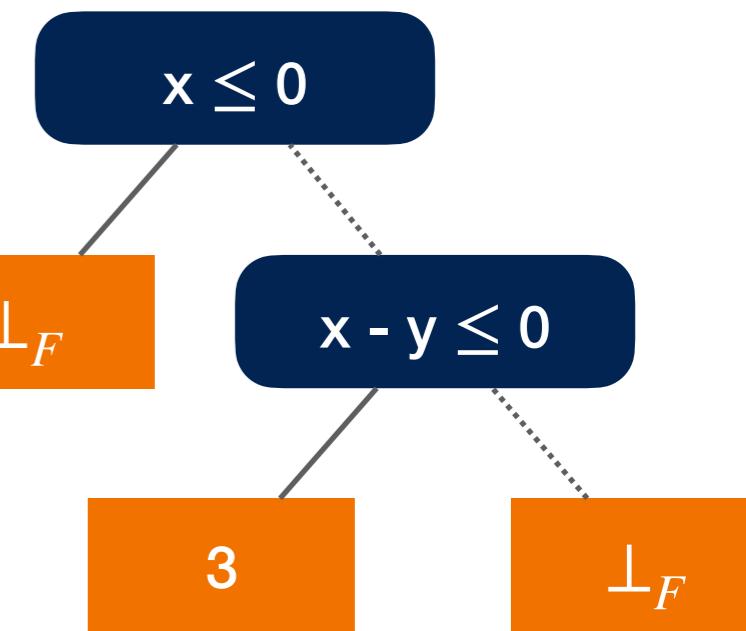
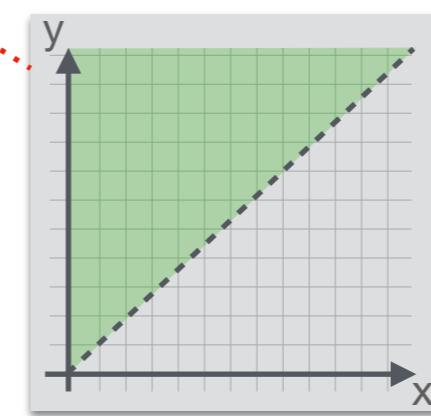
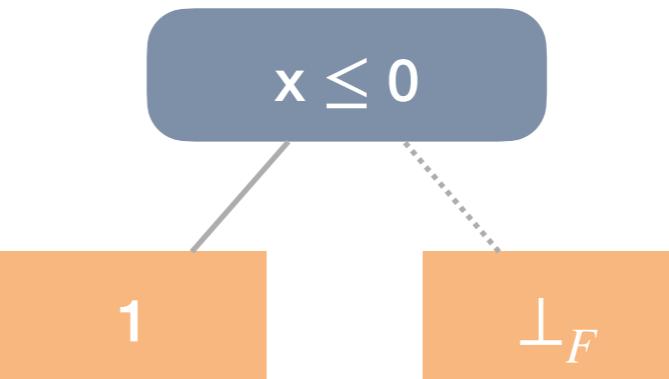
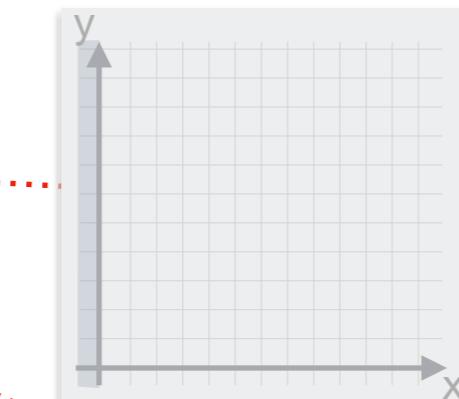
FILTER_A[[x > 0]]



x - y ≤ 0

2

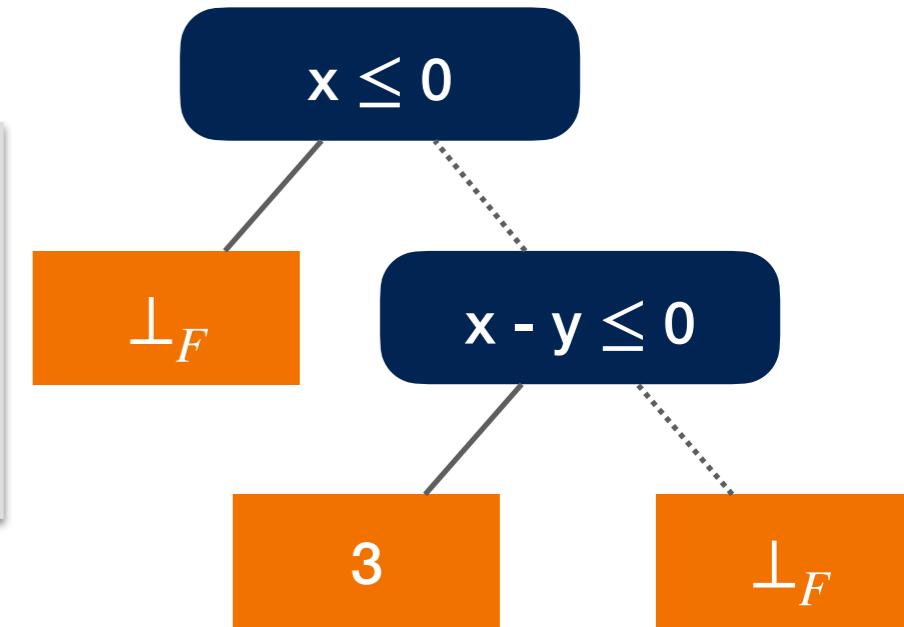
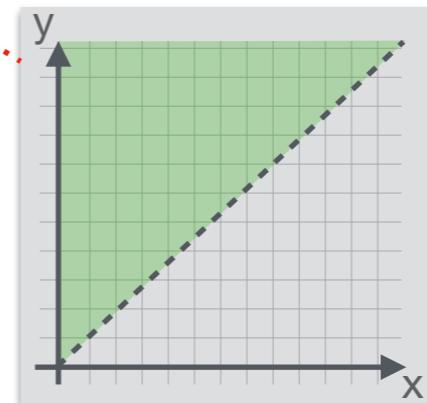
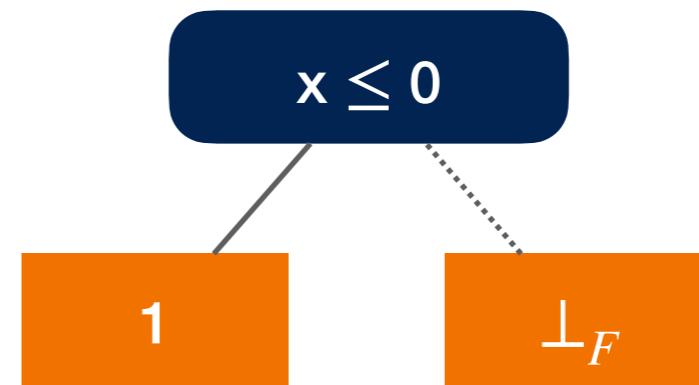
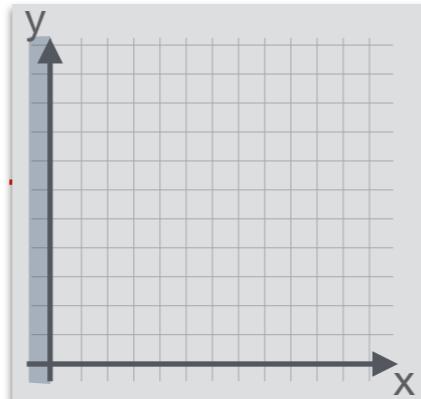
⊥_F



Abstract Definite Termination Semantics

Example

```
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Abstract Definite Termination Semantics

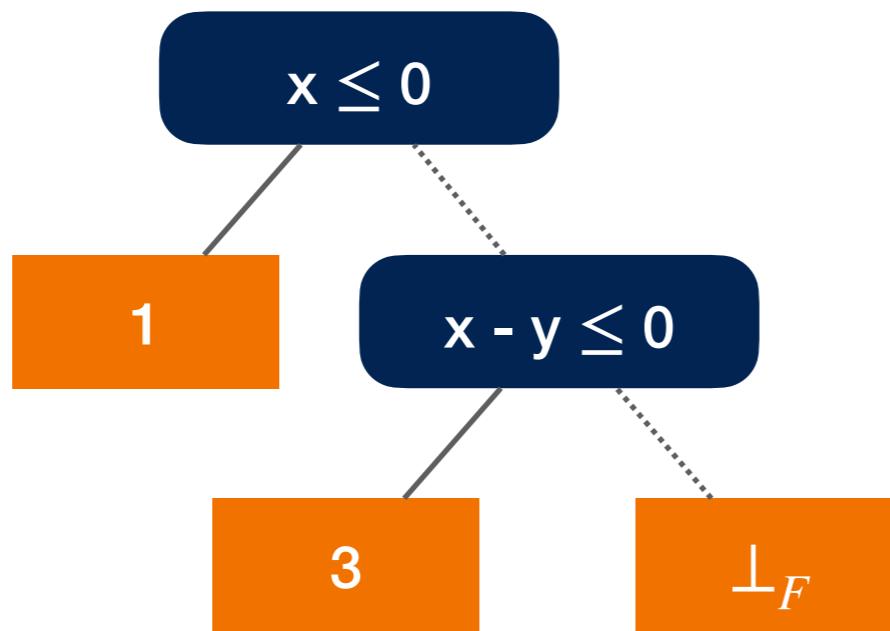
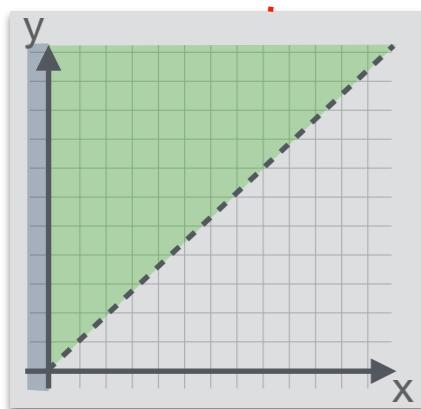
Example

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while 3(x > 0) do
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od⁵

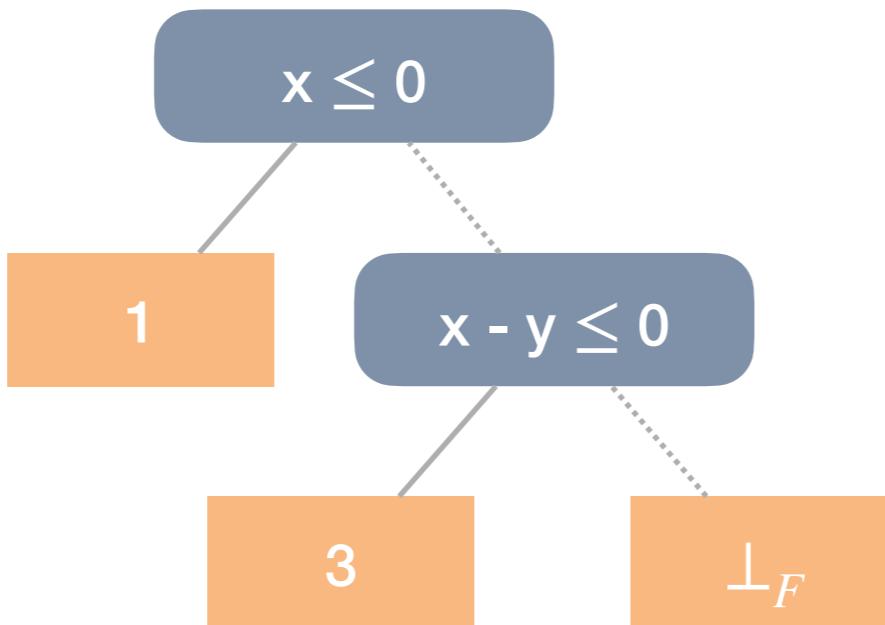
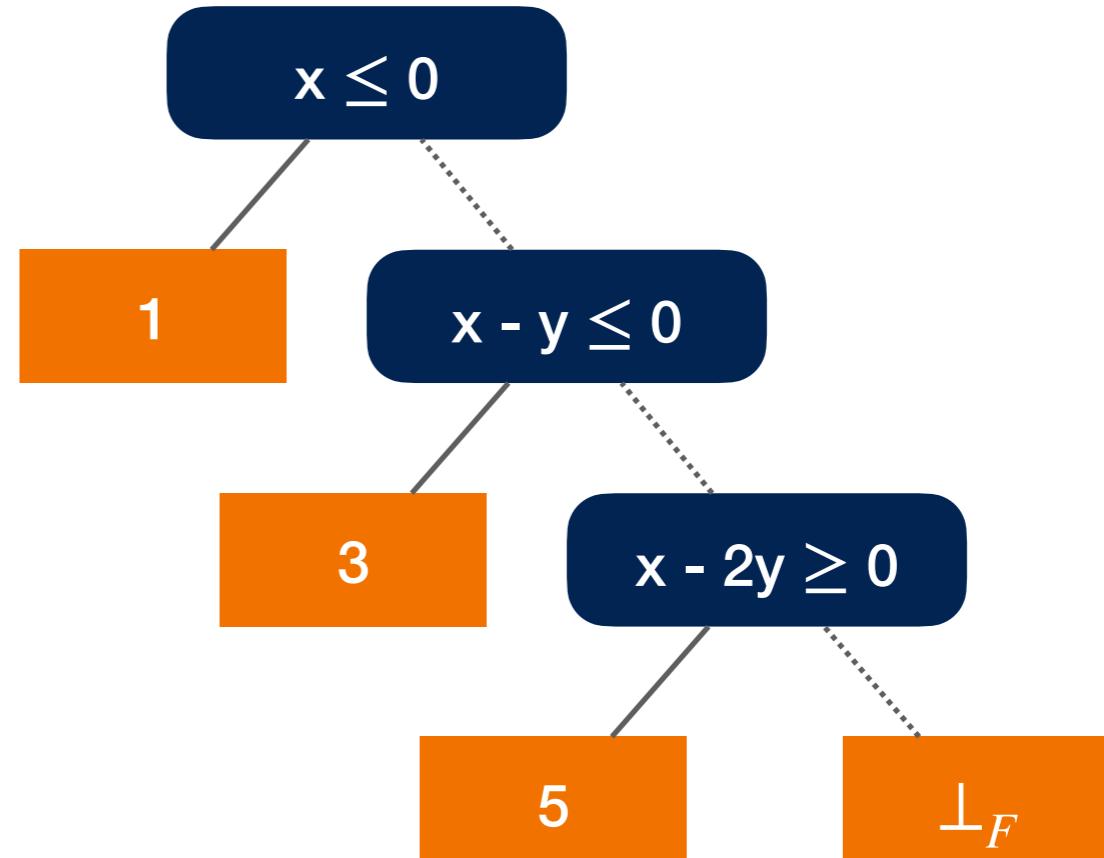
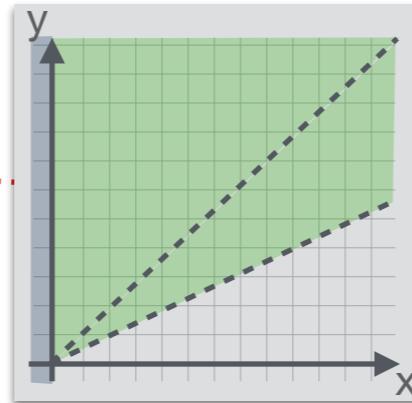
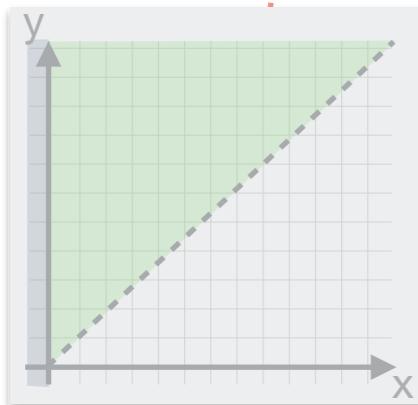
γ_A



Abstract Definite Termination Semantics

Example

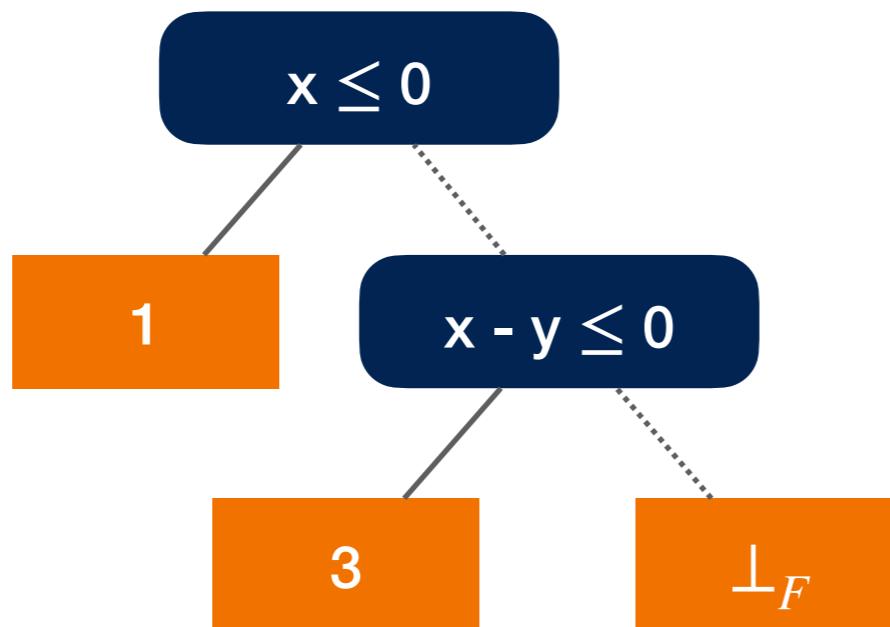
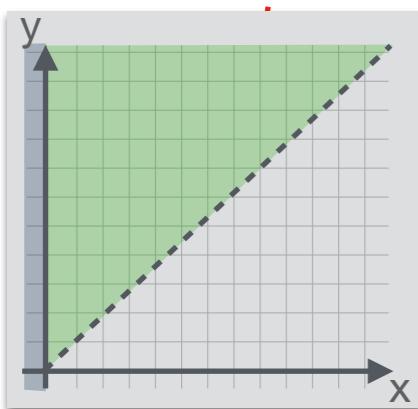
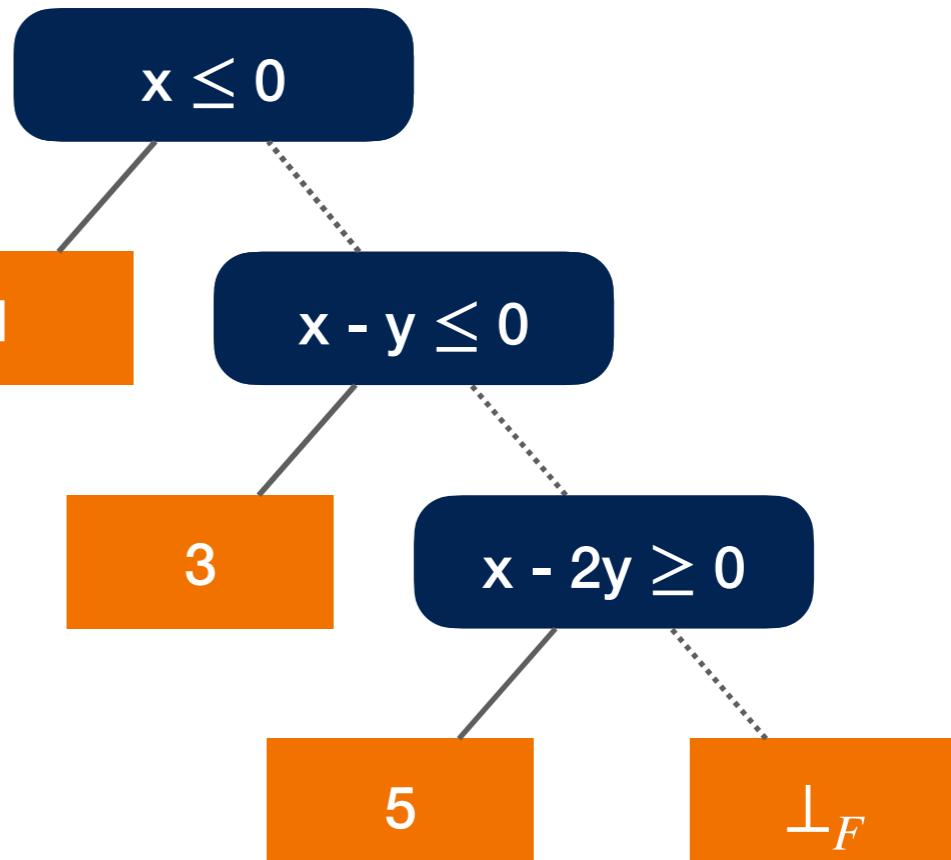
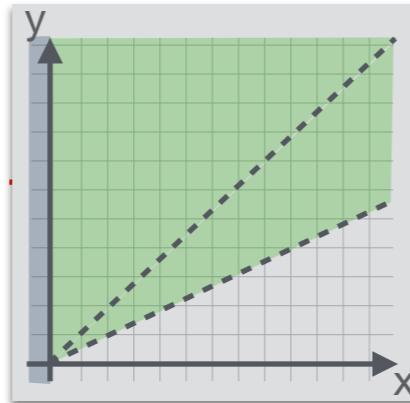
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Abstract Definite Termination Semantics

Example

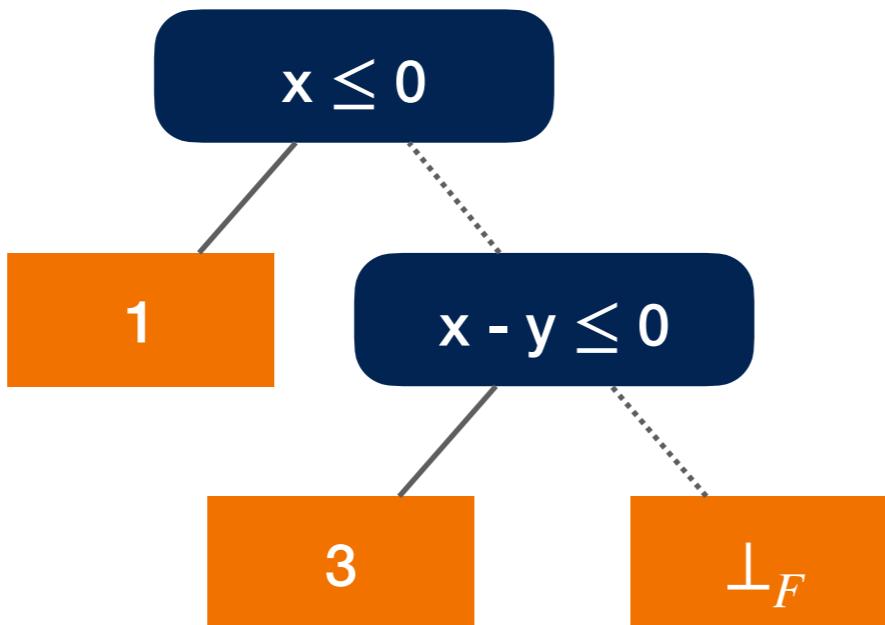
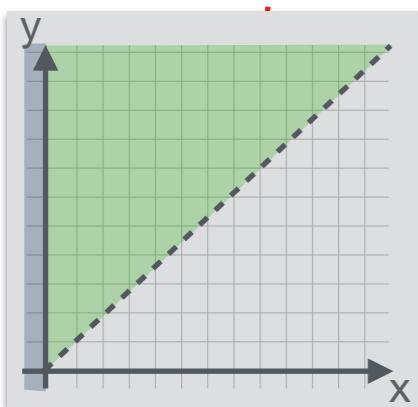
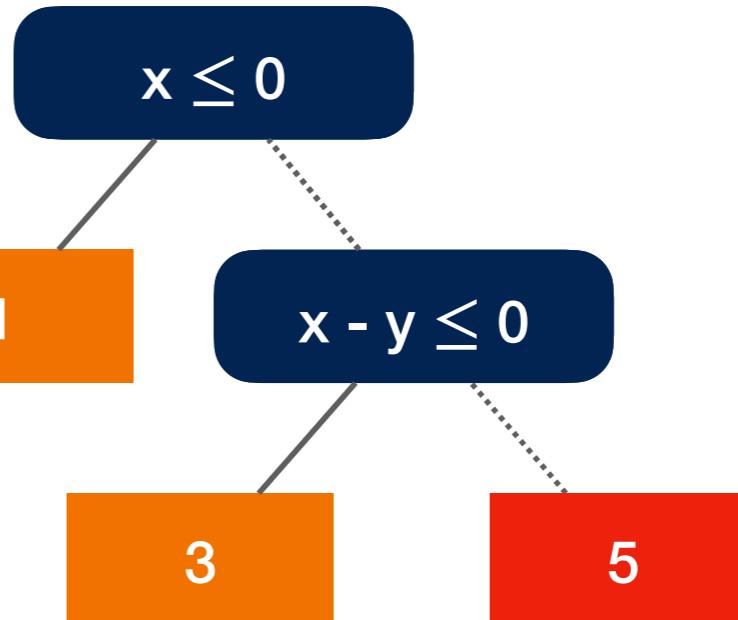
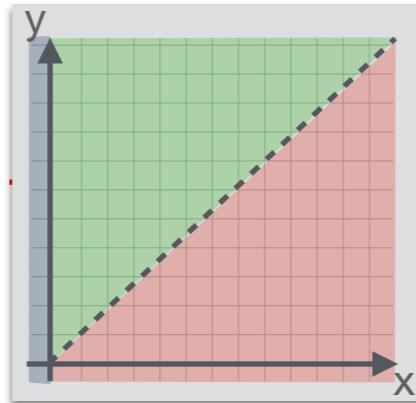
```
1 x ← [-∞, +∞]  
2 y ← [-∞, +∞]  
while 3(x > 0) do  
    4 x ← x - y  
od 5
```

 ∇_A 

Abstract Definite Termination Semantics

Example

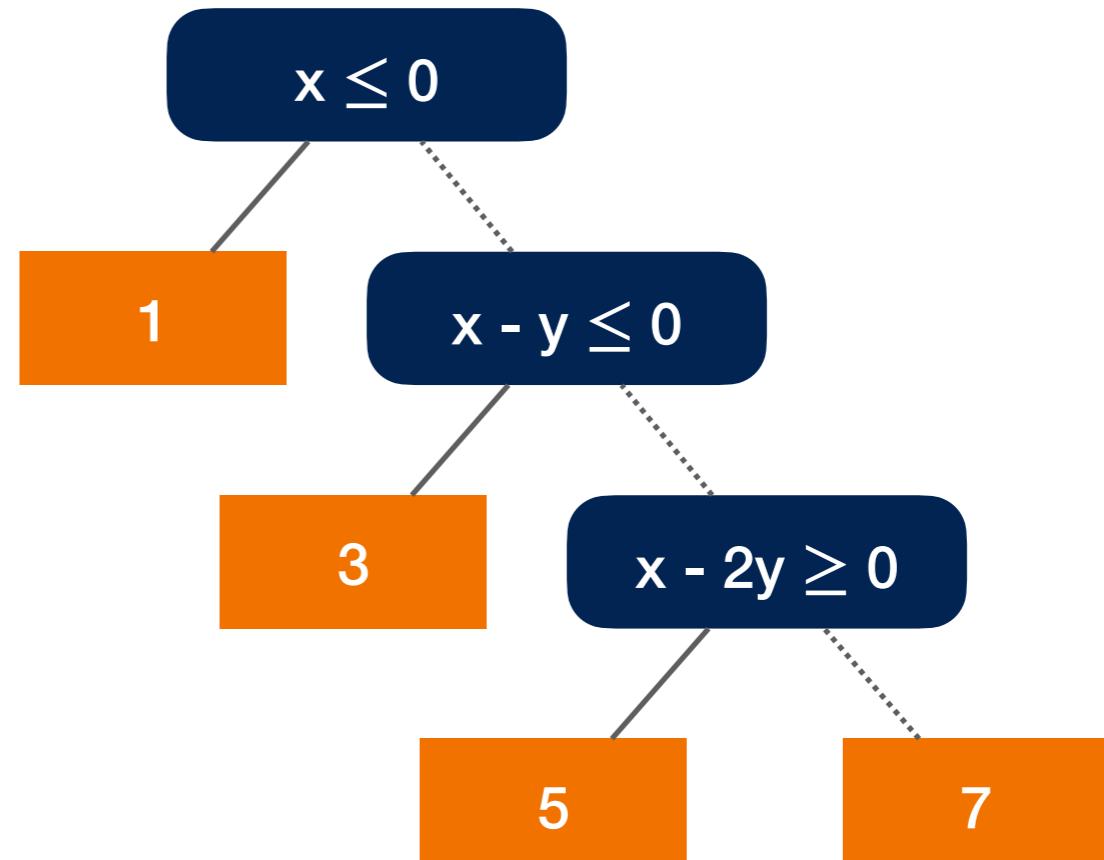
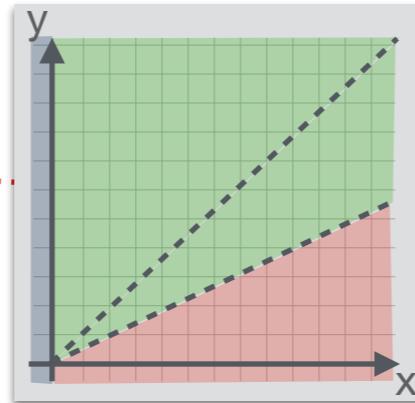
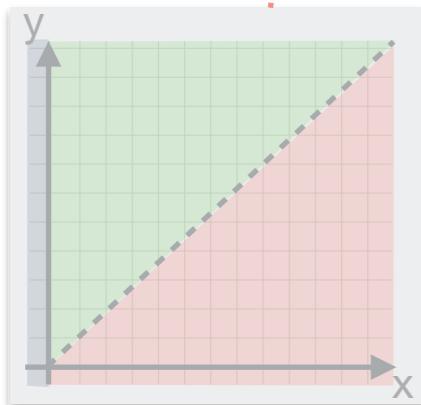
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 ∇_A 

Abstract Definite Termination Semantics

Example

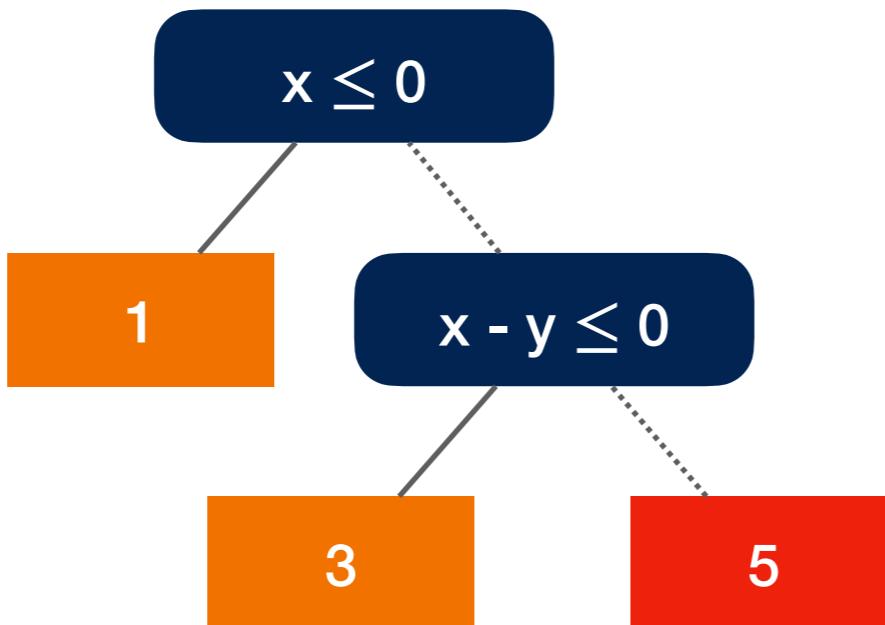
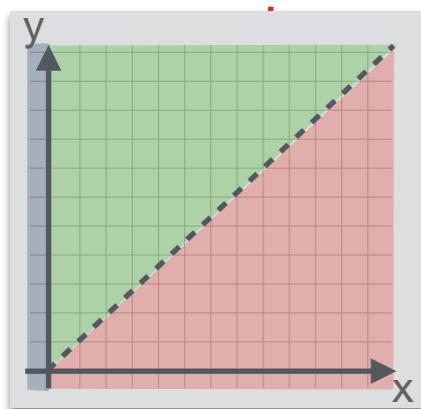
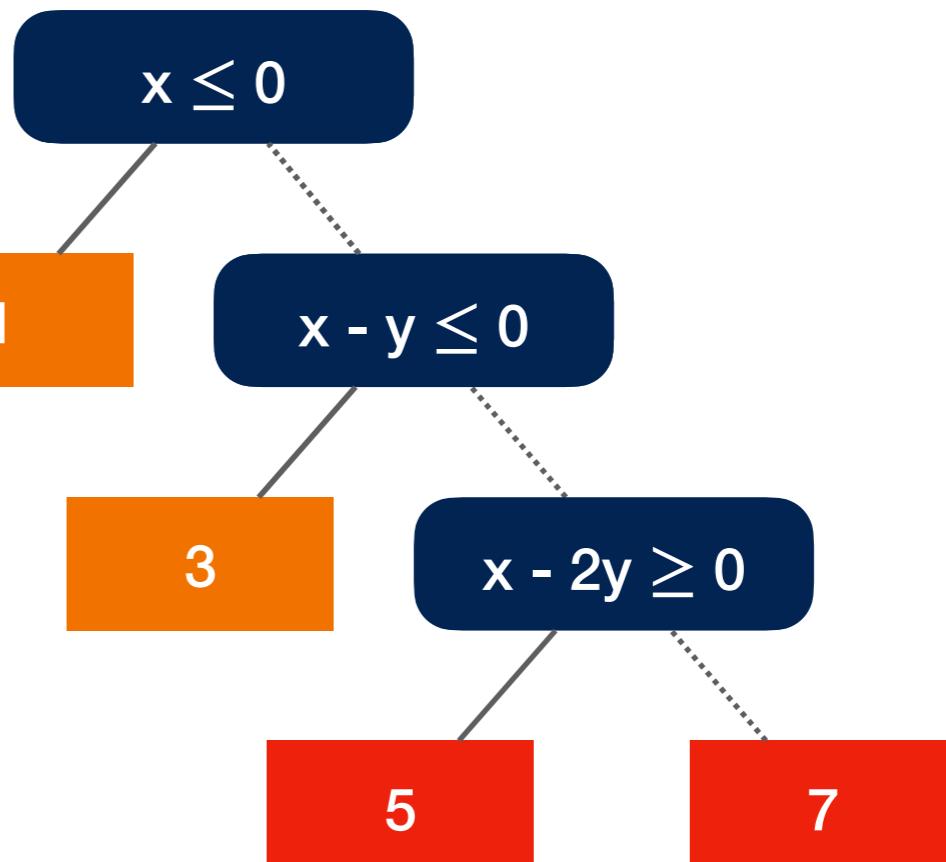
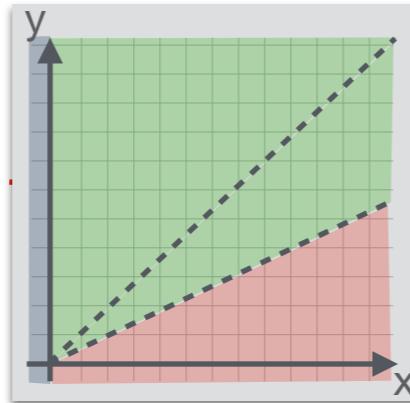
```
1 x ← [-∞, +∞]  
2 y ← [-∞, +∞]  
while 3(x > 0) do  
    4 x ← x - y  
od5
```



Abstract Definite Termination Semantics

Example

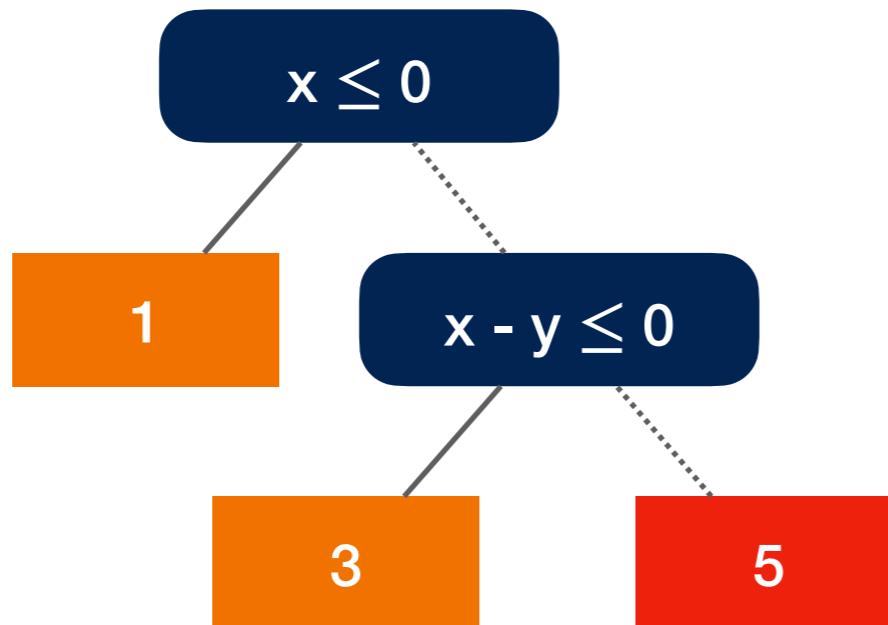
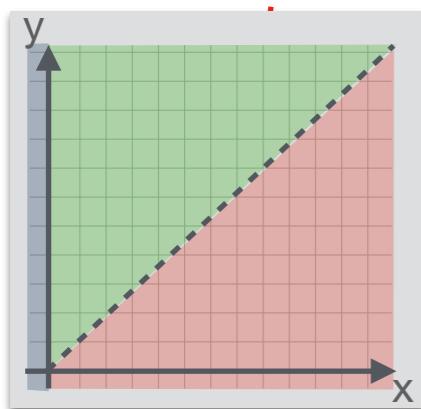
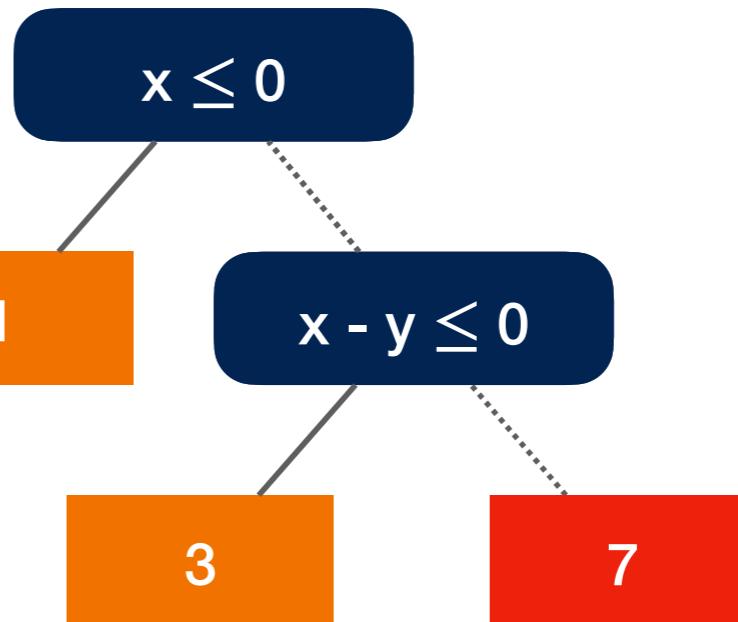
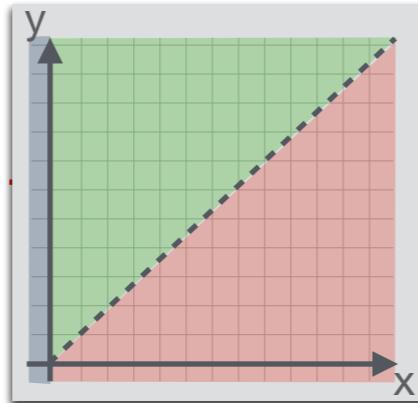
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```

 ∇_A 

Abstract Definite Termination Semantics

Example

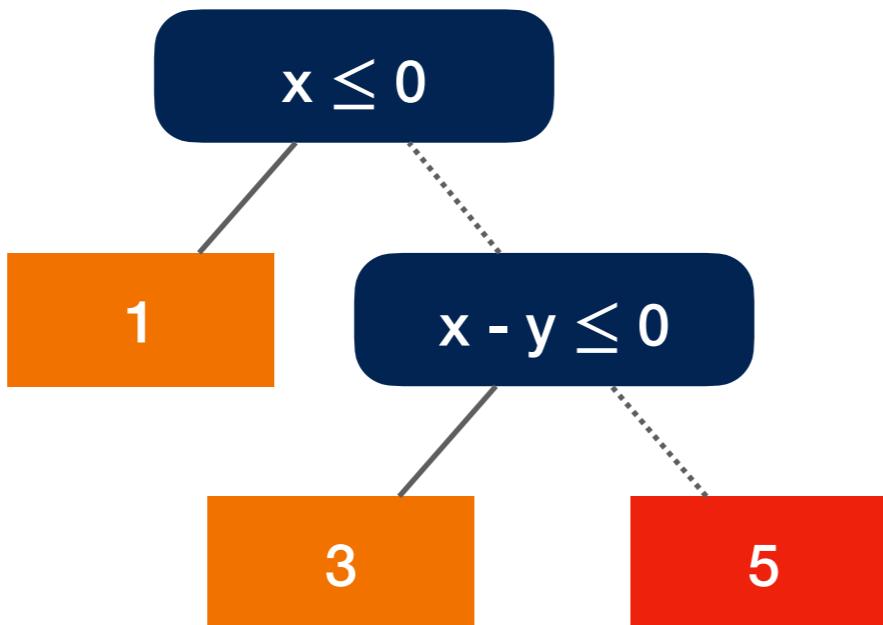
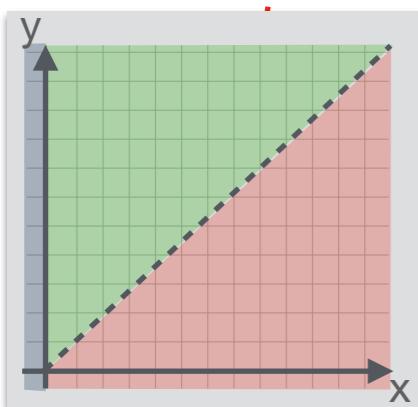
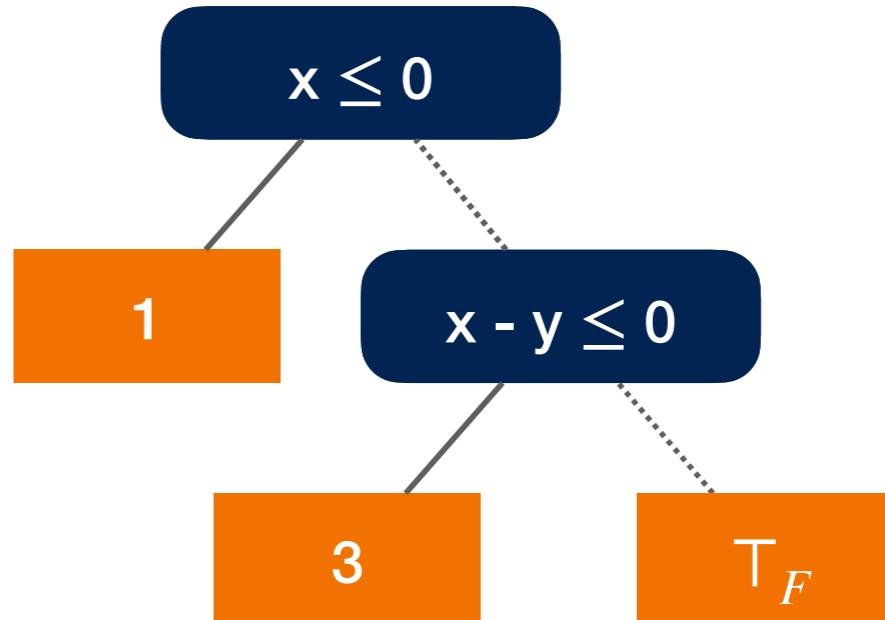
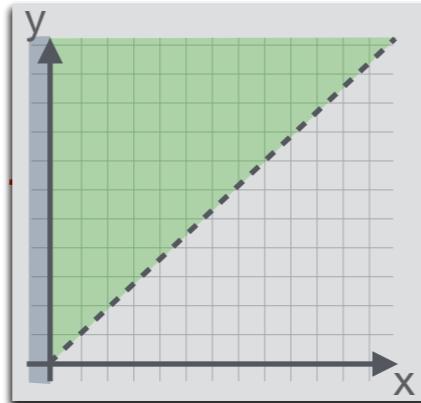
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od 5
```

 ∇_A 

Abstract Definite Termination Semantics

Example

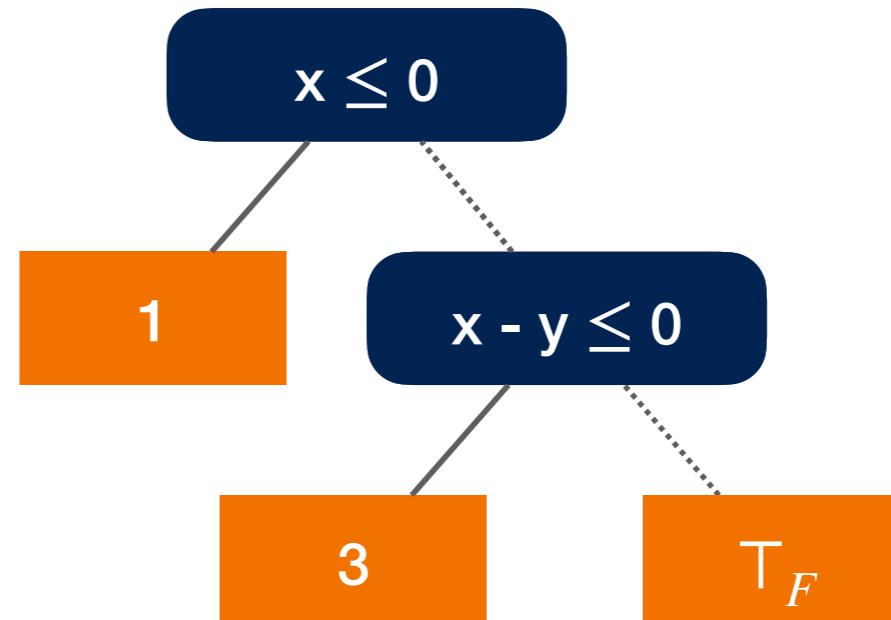
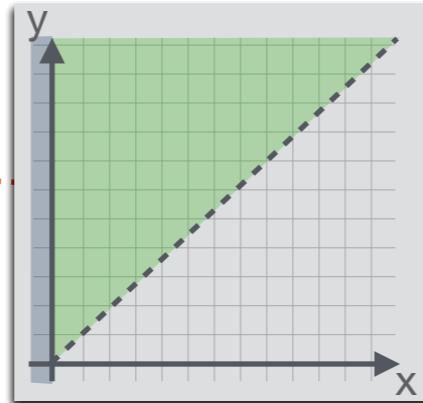
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while 3(x > 0) do  
    4 x ← x - y  
od5
```

 ∇_A 

Abstract Definite Termination Semantics

Example

```
1 x ← [-∞, +∞]  
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while 3(x > 0) do  
    4 x ← x - y  
od5
```

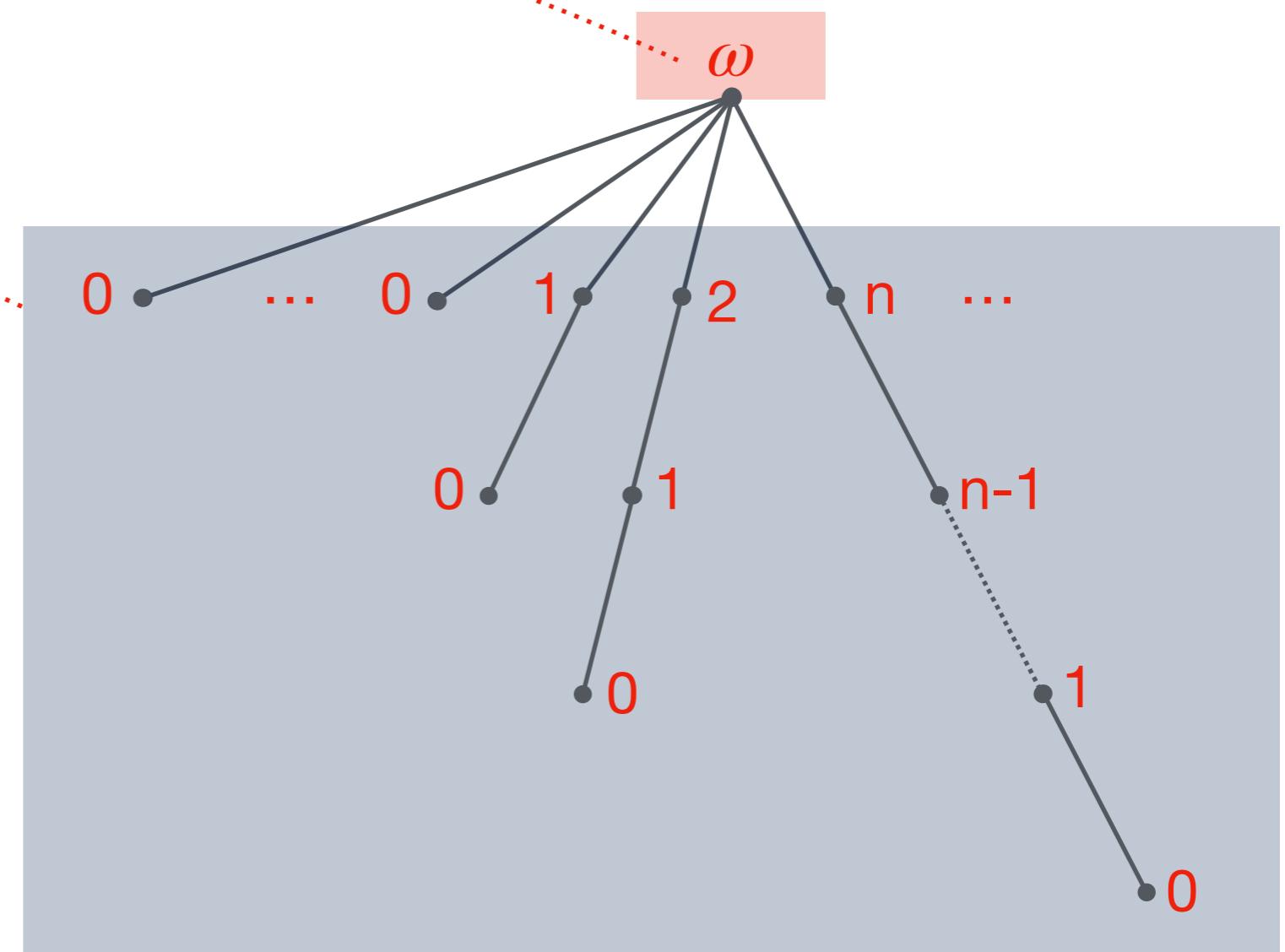


Ordinal-Valued Raking Functions

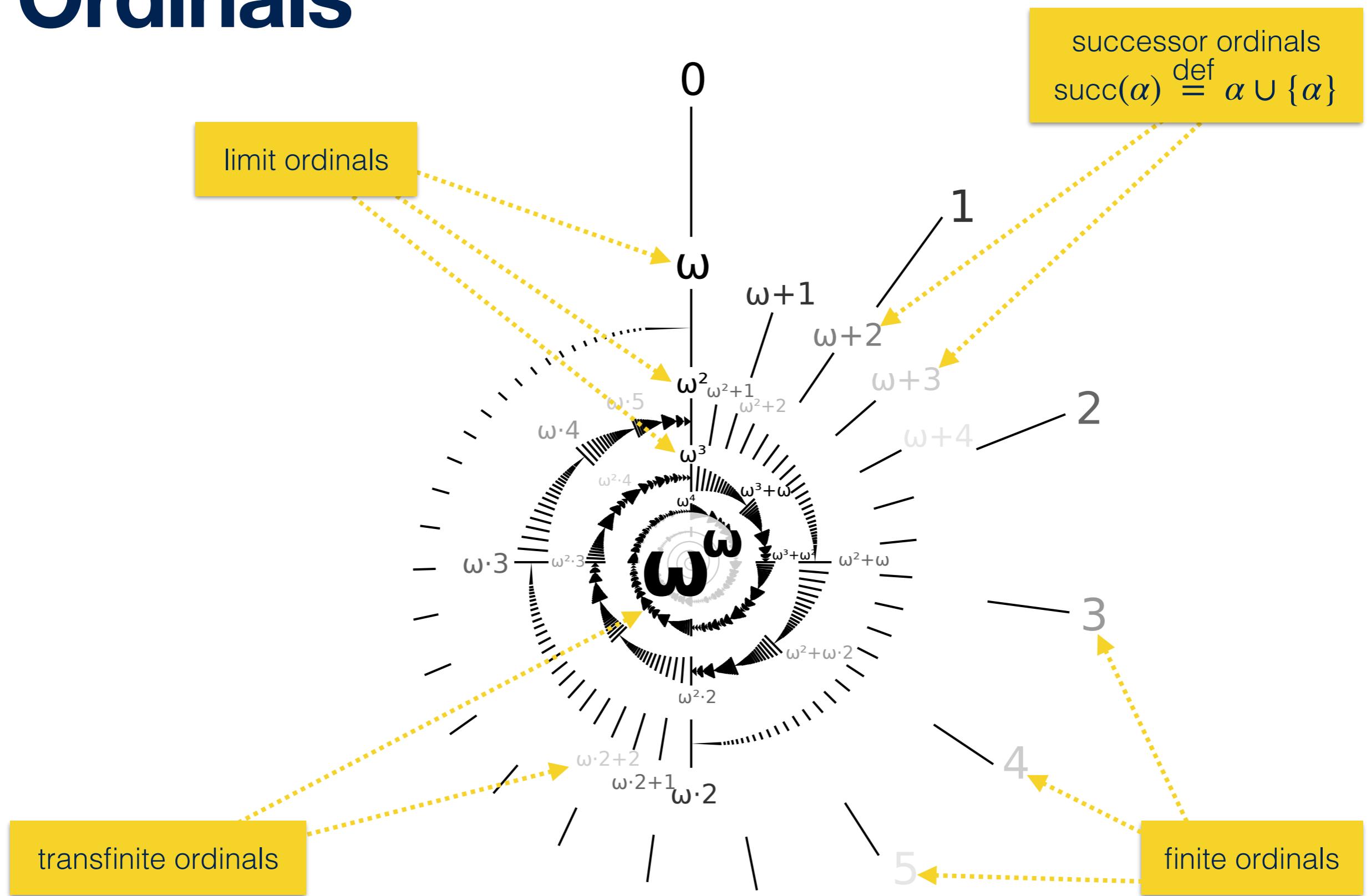
Need for Ordinals

Example

```
1 x ← [-∞, +∞]  
while 2(x > 0) do  
  3 x ← x - 1  
od4
```



Ordinals



Ordinal Arithmetic

Addition

$$\alpha + 0 = \alpha \quad (\text{zero case})$$

$$\alpha + \text{succ}(\beta) = \text{succ}(\alpha + \beta) \quad (\text{successor case})$$

$$\alpha + \beta = \bigcup_{\gamma < \beta} (\alpha + \gamma) \quad (\text{limit case})$$

Properties

- **associative**
- **not commutative**

$$(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$$

$$1 + \omega = \omega \neq \omega + 1$$

Ordinal Arithmetic

Multiplication

$$\alpha \cdot 0 = 0 \quad (\text{zero case})$$

$$\alpha \cdot \text{succ}(\beta) = (\alpha \cdot \beta) + \alpha \quad (\text{successor case})$$

$$\alpha \cdot \beta = \bigcup_{\gamma < \beta} (\alpha \cdot \gamma) \quad (\text{limit case})$$

Properties

- **associative** $(\alpha \cdot \beta) \cdot \gamma = \alpha \cdot (\beta \cdot \gamma)$
- **left distributive** $\alpha \cdot (\beta + \gamma) = (\alpha \cdot \beta) + (\alpha \cdot \gamma)$
- **not commutative** $2 \cdot \omega = \omega \neq \omega \cdot 2$
- **not right distributive** $(\omega + 1) \cdot \omega = \omega \cdot \omega \neq \omega \cdot \omega + \omega$

Piecewise-Defined Ranking Functions Abstract Domain

Piecewise-Defined Ranking Functions Abstract Domain

Linear Constraints Auxiliary Abstract Domain

- Parameterized by an *underlying numerical abstract domain* ($\mathcal{D}, \sqsubseteq_D$)
(i.e., intervals, octagons, or polyhedra):

$$\langle \mathcal{P}(\mathcal{C} | \sqsubseteq_C), \sqsubseteq_D \rangle$$

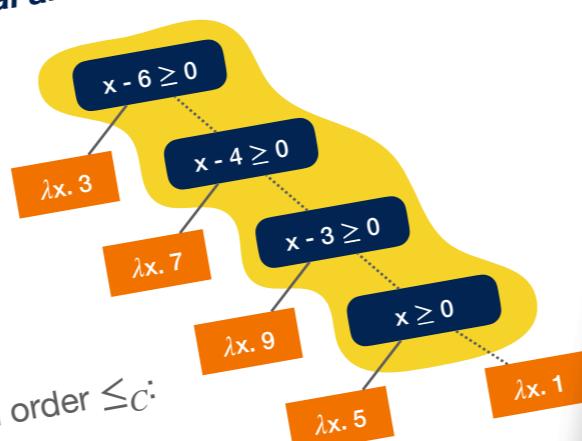
- \mathcal{C} is a set of linear constraints in canonical form, equipped with a total order \leq_C :

$$\mathcal{C} \stackrel{\text{def}}{=} \{c_1 \cdot X_1 + c_k \cdot X_k + c_{k+1} \geq 0 \mid X_1, \dots, X_k \in \mathbb{V} \wedge c_1, \dots, c_{k+1} \in \mathbb{Z} \wedge \gcd(|c_1|, \dots, |c_{k+1}|) = 1\}$$

Lesson 12

Termination Analysis

Caterina Urban



Piecewise-Defined Ranking Functions Abstract Domain

Functions Auxiliary Abstract Domain

- Parameterized by an *underlying numerical abstract domain* ($\mathcal{D}, \sqsubseteq_D$)
- $\mathcal{F} \stackrel{\text{def}}{=} \{ \perp_F \} \cup (\mathbb{Z}^M \rightarrow \mathbb{N}) \cup \{ T_F \}$

We consider **affine functions**:

$$\mathcal{F}_A \stackrel{\text{def}}{=} \{ \perp_F \} \cup \{ f: \mathbb{Z}^M \rightarrow \mathbb{N} \mid$$

$$f(X_1, \dots, X_k) = \sum_{i=1}^k m_i \cdot X_i + q$$

$$

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Termination Analysis

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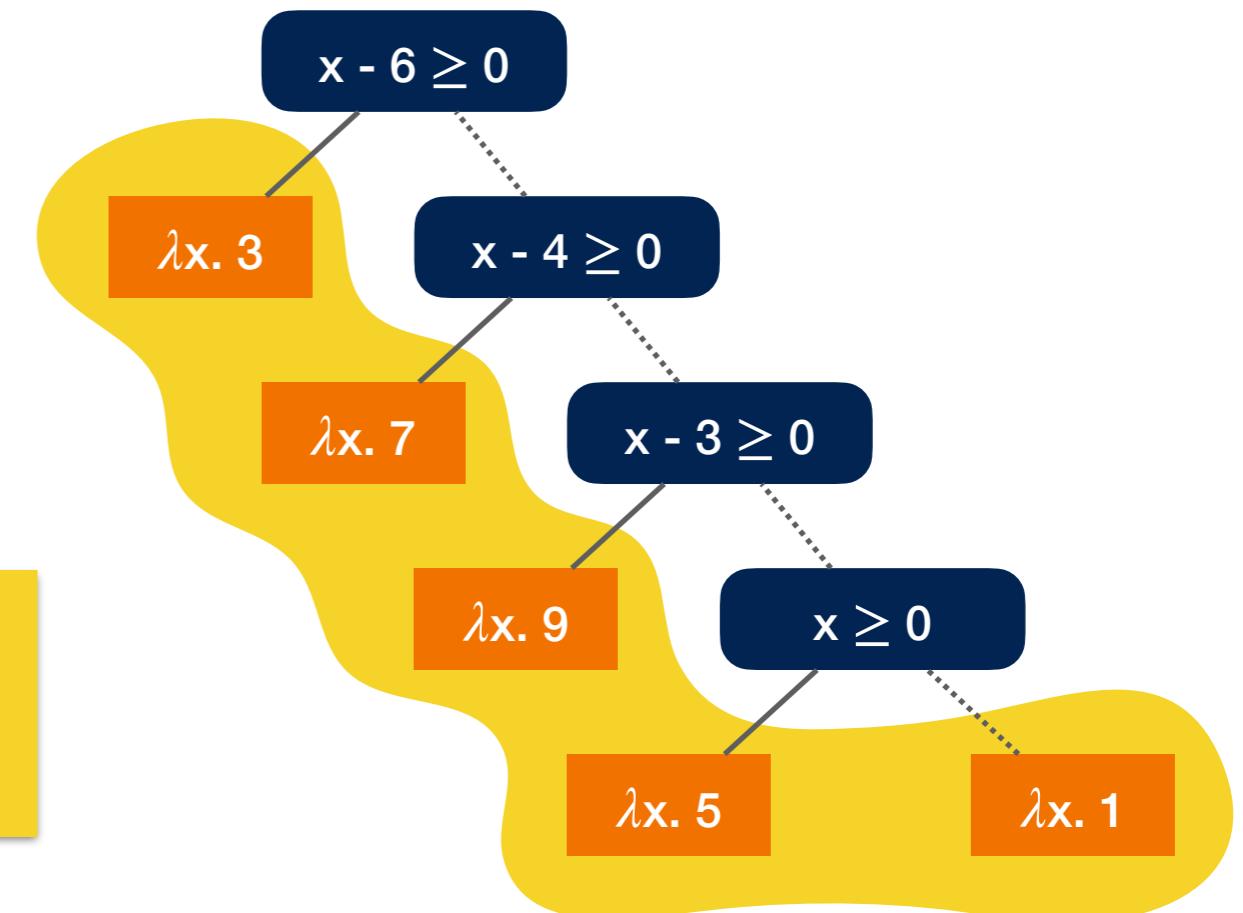
Piecewise-Defined Ranking Functions Abstract Domain

Ordinal-Valued Functions Auxiliary Domain

- Parameterized by the *underlying functions auxiliary domain* $\langle \mathcal{F}, \sqsubseteq_F \rangle$

- $\mathcal{W} \stackrel{\text{def}}{=} \{ \perp_W \} \cup \{ \sum_i \omega^i \cdot f_i \mid f_i \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \} \cup \{ \top_W \}$

Cantor Normal Form
 $\omega^{\beta_1} \cdot n_1 + \dots + \omega^{\beta_k} \cdot n_k$



Piecewise-Defined Ranking Functions Abstract Domain

Ordinal-Valued Functions Auxiliary Domain (continue)

Piecewise-Defined Ranking Functions Abstract Domain

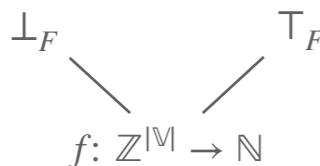
Functions Auxiliary Abstract Domain (continue)

- approximation order $\leq_F [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \leq_F [D] f_2 \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D) : f_1(\dots, \rho(X_i), \dots) \leq f_2(\dots, \rho(X_i), \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):



Piecewise-Defined Ranking Functions Abstract Domain

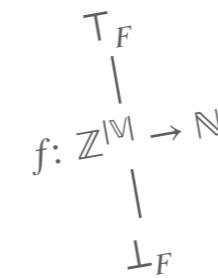
Functions Auxiliary Abstract Domain (continue)

- computational order $\sqsubseteq_F [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \sqsubseteq_F [D] f_2 \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D) : f_1(\dots, \rho(X_i), \dots) \leq f_2(\dots, \rho(X_i), \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):



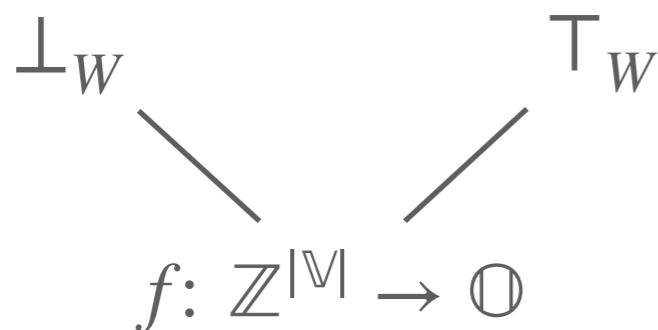
Piecewise-Defined Ranking Functions Abstract Domain

Ordinal-Valued Functions Auxiliary Domain (continue)

- **approximation order** $\preccurlyeq_W[D]$, where $D \in \mathcal{D}$:
 - between defined leaf nodes:

$$\sum_i \omega^i \cdot f_{i_1} \preccurlyeq_W [D] \sum_i \omega^i \cdot f_{i_2} \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D) : \sum_i \omega^i \cdot f_{i_1}(\dots \rho(X_i) \dots) \leq \sum_i \omega^i \cdot f_{i_2}(\dots \rho(X_i) \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):



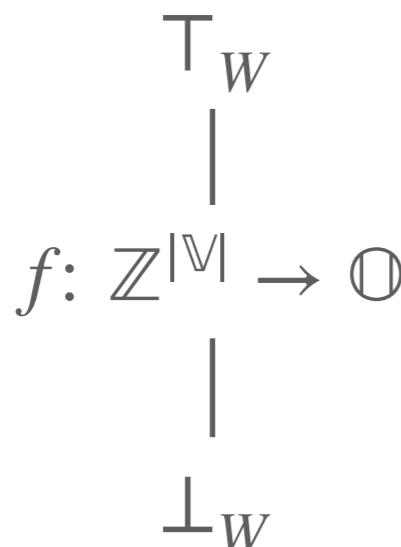
Piecewise-Defined Ranking Functions Abstract Domain

Ordinal-Valued Functions Auxiliary Domain (continue)

- computational order $\sqsubseteq_W[D]$, where $D \in \mathcal{D}$:
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$$\sum_i \omega^i \cdot f_{i_1} \sqsubseteq_W [D] \sum_i \omega^i \cdot f_{i_2} \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D) : \sum_i \omega^i \cdot f_{i_1}(\dots \rho(X_i) \dots) \leq \sum_i \omega^i \cdot f_{i_2}(\dots \rho(X_i) \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):



Piecewise-Defined Functions Abstract

- $\mathcal{A} \stackrel{\text{def}}{=} \{\text{LEAF}: f \mid f \in \mathcal{W}\} \cup \{\text{NODE}\{c\}: t_1; t_2 \mid c \in \mathcal{C} \wedge t_1, t_2 \in \mathcal{A}\}$
- **concretization function** $\gamma_A: \mathcal{A} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\gamma_A(t) \stackrel{\text{def}}{=} \bar{\gamma}_A[\emptyset](t)$$

where $\bar{\gamma}_A: \mathcal{P}(\mathcal{C}/\equiv_C) \rightarrow \mathcal{A} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\bar{\gamma}_A[C](\text{LEAF}: f) \stackrel{\text{def}}{=} \gamma_F[\alpha_C(C)](f)$$

$$\bar{\gamma}_A[C](\text{NODE}\{c\}: t_1; t_2) \stackrel{\text{def}}{=} \bar{\gamma}_A[C \cup \{c\}](t_1) \dot{\cup} \bar{\gamma}_A[C \cup \{\neg c\}](t_2)$$

and $\gamma_F: \mathcal{D} \rightarrow \mathcal{W} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\gamma_F[D](\perp_F) \stackrel{\text{def}}{=} \emptyset$$

$$\gamma_F[D]\left(\sum_i \omega^i \cdot f_i\right) \stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D): \sum_i \omega^i \cdot f_i(..., \rho(X_i), ...)$$

$$\gamma_F[D](\top_F) \stackrel{\text{def}}{=} \emptyset$$

Piecewise-Defined Ranking Functions Abstract Domain

- $\mathcal{A} \stackrel{\text{def}}{=} \{\text{LEAF}: f \mid f \in \mathcal{F}\} \cup \{\text{NODE}\{c\}: t_1; t_2 \mid c \in \mathcal{C} \wedge t_1, t_2 \in \mathcal{A}\}$
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$$\gamma_F[D](\perp_F) \stackrel{\text{def}}{=} \emptyset$$

$$\gamma_F[D](f) \stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D): f(..., \rho(X_i), ...)$$

$$\gamma_F[D](\top_F) \stackrel{\text{def}}{=} \emptyset$$

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Termination Analysis

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Piecewise-Defined Ranking Functions Abstract Domain

Abstract Domain Operators

- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
- The **binary operators** rely on a tree unification algorithm
 - approximation order \leq_A and computational order \sqsubseteq_A
 - **approximation join** \vee_A and **computational join** \sqcup_A
 - meet \wedge_A
 - **widening** ∇_A
- The **unary operators** rely on a tree pruning algorithm
 - **assignment** $\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$
 - test $\text{FILTER}_A[e]$

Piecewise-Defined Ranking Functions Abstract Domain

Join

Piecewise-Defined Ranking Functions Abstract Domain

Join

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
3. $\text{NIL} \vee_A t \stackrel{\text{def}}{=} t$
 $t \vee_A \text{NIL} \stackrel{\text{def}}{=} t$
4. Join the leaf nodes using the **approximation join** $\gamma_F[\alpha_C(C)]$ or the **computational join** $\sqcup_F[\alpha_C(C)]$

Lesson 12

Termination Analysis

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Lesson 12

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Piecewise-Defined Ranking Functions Abstract Domain

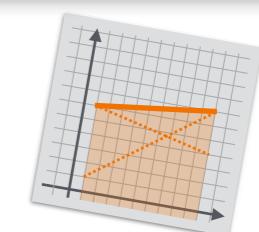
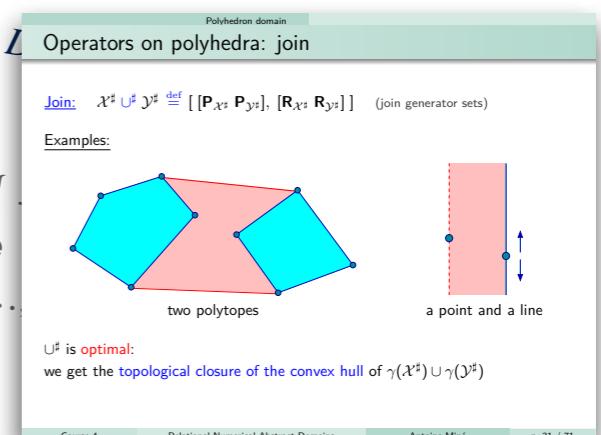
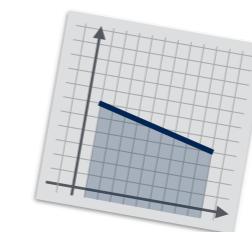
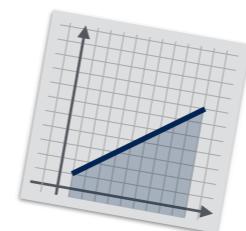
Join (continue)

- **approximation join** $\gamma_F[D]$, where $D = \{f_1, f_2, \dots\}$
- between defined leaf nodes:

$$f_1 \vee_F D f_2 \stackrel{\text{def}}{=} \begin{cases} f & f \in \mathcal{F} \setminus \{f_1, f_2\} \\ T_F & \text{otherwise} \end{cases}$$

where $f \stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D)$: $\max(f_1, \dots, f_n) \leq f \leq \min(f_1, \dots, f_n)$

Example:



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Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

approximation join $\vee_F [D]$ in ascending powers of ω

Example:

$$f_1 \equiv \omega^2 \cdot x_1 + \omega \cdot x_2 + 3$$

$$f_2 \equiv \omega^2 \cdot x_1 + \omega \cdot (-x_2) + 4$$

$$f_1 \vee_W [\top_D] f_2 \equiv$$

Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:

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approximation join $\vee_F [D]$ in ascending powers of ω

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Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

approximation join $\vee_F [D]$ in ascending powers of ω

Example:

$$\begin{aligned} f_1 &\equiv \omega^2 \cdot x_1 + \omega \cdot x_2 + 3 \\ f_2 &\equiv \omega^2 \cdot x_1 + \omega \cdot (-x_2) + 4 \\ f_1 \vee_W [\top_D] f_2 &\equiv + 4 \end{aligned}$$

Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:

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Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:
 - between defined leaf nodes:

approximation join $\vee_F [D]$ in ascending powers of ω

Example:

$$\omega \cdot \omega = \omega^2 \cdot 1 + \omega \cdot 0$$

$$\begin{array}{lll} f_1 & \equiv & \omega^2 \cdot x_1 + \omega \cdot x_2 + 3 \\ f_2 & \equiv & \omega^2 \cdot x_1 + \omega \cdot (-x_2) + 4 \\ f_1 \vee_W [\top_D] f_2 & \equiv & \omega^2 \cdot 1 + \omega \cdot 0 + 4 \end{array}$$

A yellow arrow points from the term $\omega^2 \cdot x_1$ in the first equation to the term $\omega^2 \cdot 1$ in the third equation.

Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

approximation join $\vee_F [D]$ in ascending powers of ω

Example:

$$\begin{aligned} f_1 &\equiv \omega^2 \cdot x_1 + \omega \cdot x_2 + 3 \\ f_2 &\equiv \omega^2 \cdot x_1 + \omega \cdot (-x_2) + 4 \\ f_1 \vee_W [\top_D] f_2 &\equiv \omega^{2 \cdot 1} + \omega \cdot 0 + 4 \end{aligned}$$

Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

approximation join $\vee_F [D]$ in ascending powers of ω

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$$\begin{aligned} f_1 &\equiv \omega^2 \cdot x_1 + \omega \cdot x_2 + 3 \\ f_2 &\equiv \omega^2 \cdot x_1 + \omega \cdot (-x_2) + 4 \\ f_1 \vee_W [\top_D] f_2 &\equiv \omega^2 \cdot x_1^{\omega^2 \cdot 1} + \omega \cdot 0 + 4 \end{aligned}$$

Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

approximation join $\vee_F [D]$ in ascending powers of ω

Example:

$$\begin{aligned} f_1 &\equiv \omega^2 \cdot x_1 + \omega \cdot x_2 + 3 \\ f_2 &\equiv \omega^2 \cdot x_1 + \omega \cdot (-x_2) + 4 \\ f_1 \vee_W [\top_D] f_2 &\equiv \omega^2 \cdot (x_1 + 1) + \omega \cdot 0 + 4 \end{aligned}$$

Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **approximation join** $\vee_W [D]$, where $D \in \mathcal{D}$:

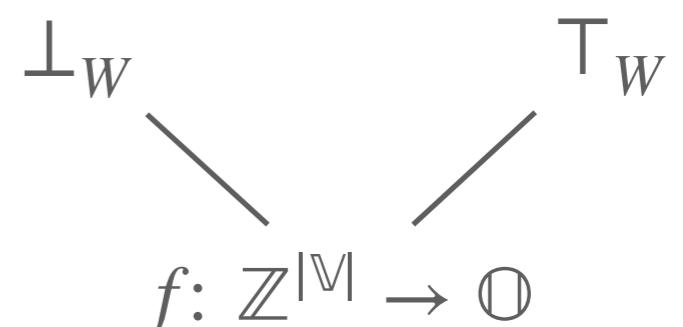
- between defined leaf nodes:

approximation join $\vee_F [D]$ in ascending powers of ω

- otherwise (i.e., when one or both leaf nodes are undefined):

$$\begin{array}{ll} \perp_W \vee_W [D] f \stackrel{\text{def}}{=} \perp_W & f \in \mathcal{W} \setminus \{ \top_W \} \\ f \vee_W [D] \perp_W \stackrel{\text{def}}{=} \perp_W & f \in \mathcal{W} \setminus \{ \top_W \} \\ \top_W \vee_W [D] f \stackrel{\text{def}}{=} \top_W & f \in \mathcal{W} \setminus \{ \perp_W \} \\ f \vee_W [D] \top_W \stackrel{\text{def}}{=} \top_W & f \in \mathcal{W} \setminus \{ \perp_W \} \end{array}$$

$$\begin{array}{ll} f \in \mathcal{W} \setminus \{ \top_W \} & \\ f \in \mathcal{W} \setminus \{ \perp_W \} & \\ f \in \mathcal{W} \setminus \{ \perp_W \} & \\ f \in \mathcal{W} \setminus \{ \perp_W \} & \end{array}$$



Piecewise-Defined Ranking Functions Abstract Domain

Join (continue)

- **computational join** $\sqcup_W [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

computational join $\sqcup_W [D]$ in ascending powers of ω

- otherwise (i.e., when one or both leaf nodes are undefined):

$$\begin{array}{ll} \perp_W \sqcup_W [D] f & \stackrel{\text{def}}{=} f \\ f \sqcup_W [D] \perp_W & \stackrel{\text{def}}{=} f \\ \top_W \sqcup_W [D] f & \stackrel{\text{def}}{=} \top_W \\ f \sqcup_W [D] \top_W & \stackrel{\text{def}}{=} \top_W \end{array} \quad \begin{array}{l} f \in \mathcal{W} \\ f \in \mathcal{W} \\ f \in \mathcal{W} \\ f \in \mathcal{W} \end{array}$$

$$\begin{array}{c} \top_W \\ | \\ f: \mathbb{Z}^M \rightarrow \mathbb{O} \\ | \\ \perp_W \end{array}$$

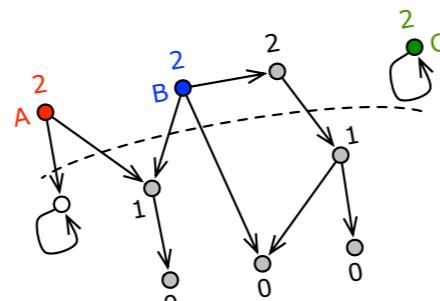
Piecewise-Defined Ranking Functions Abstract Domain

Widening

Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

1. Check for **case A** (i.e., wrong domain predictions)
2. Perform **domain widening**
3. Check for **case B or C** (i.e., wrong value predictions)
4. Perform **value widening**



Lesson 12

Termination Analysis

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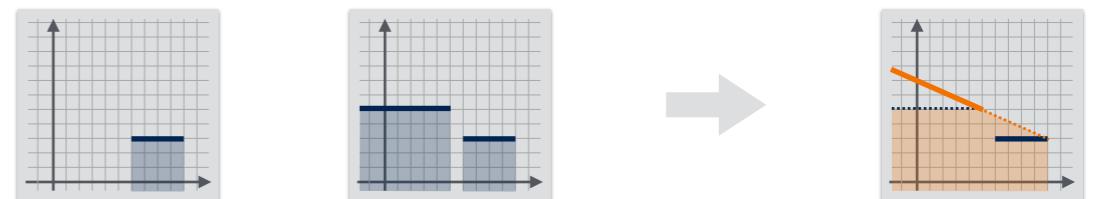
Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Value Widening

1. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
2. Widen each (defined) leaf node f with respect to each of their adjacent (defined) leaf node \bar{f} using the **extrapolation operator**
 $\nabla_F [\alpha_C(\bar{C}), \alpha_C(C)]$, where \bar{C} is the set of constraints along the path to \bar{f}

Example:



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Termination Analysis

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Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Value Widening

1. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
2. Widen each (defined) leaf node f with respect to each of their adjacent (defined) leaf node \bar{f} using the **extrapolation operator**
 $\nabla_F [\alpha_C(\bar{C}), \alpha_C(C)]$, where \bar{C} is the set of constraints along the path to \bar{f} ,
in ascending powers of ω

Piecewise-Defined Ranking Functions Abstract Domain

Widening (continue)

Value Widening

1. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
2. Widen each (defined) leaf node f with respect to each of their adjacent (defined) leaf node \bar{f} using the **extrapolation operator**
 $\nabla_F [\alpha_C(\bar{C}), \alpha_C(C)]$, where \bar{C} is the set of constraints along the path to \bar{f} ,
in ascending powers of ω

yield T_W when the extrapolation of natural-valued functions yields T_F

Piecewise-Defined Ranking Functions Abstract Domain

Assignments

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

Piecewise-Defined Ranking Functions Abstract Domain

Assignments

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][\alpha_C(C)]$ on the defined leaf nodes

$$\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][D](f) \stackrel{\text{def}}{=} \begin{cases} \bar{f} & \bar{f} \in \mathcal{F} \setminus \{\perp_F, \top_F\} \\ \top_F & \text{otherwise} \end{cases} \quad f \in \mathcal{F} \setminus \{\perp_F, \top_F\}$$

where $\bar{f}(x_1, x_2, \dots) \stackrel{\text{def}}{=} \max\{f(\dots, \rho(x_i), v, \dots) + 1 \mid \rho \in \gamma_D(R) \wedge v \in E[e]\rho\}$

and $R \stackrel{\text{def}}{=} \overleftarrow{\text{ASSIGN}}_D[X \leftarrow e]D$

Example:
 $\overleftarrow{\text{ASSIGN}}_F[x \leftarrow x + [1,2]][\top_D](\lambda x. x + 1) = \lambda x. x + 4$
(since $f(x + [1,2]) + 1 = x + [1,2] + 1 + 1 = x + [3,4]$ and
 $\max(3,4) = 4$)

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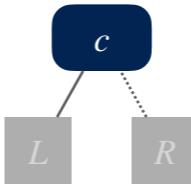
Termination Analysis

Lesson 12

Piecewise-Defined Ranking Functions Abstract Domain

Assignments

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

- 

Convert $\overleftarrow{\text{ASSIGN}}_D[X \leftarrow e](\alpha_C(\{c\}))$ and $\overleftarrow{\text{ASSIGN}}_D[X \leftarrow e](\alpha_C(\{\neg c\}))$ into sets I and J of linear constraints in canonical form
- case ① $I = J = \emptyset$


- case ② $I = \emptyset \wedge \perp_C \in J$


- case ③ $\perp_C \in I \wedge J = \emptyset$


- case ④

 1. perform tree pruning on 
 2. join the results with γ_A

Piecewise-Defined Ranking Functions Abstract Domain

Assignments (continue)

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][\alpha_C(C)]$ on the defined leaf nodes
in ascending powers of ω

Example:

$$f \equiv \omega \cdot x_1 + x_2$$

$$\overleftarrow{\text{ASSIGN}}_W[x_1 \leftarrow [-\infty, +\infty]][\top_D] \equiv$$

Piecewise-Defined Ranking Functions Abstract Domain

Assignments (continue)

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][\alpha_C(C)]$ on the defined leaf nodes
in ascending powers of ω

Example:

$$\begin{array}{ccc} f & \equiv & \omega \cdot x_1 + x_2 \\ \text{ASSIGN}_W[x_1 \leftarrow [-\infty, +\infty]][\top_D] & \equiv & + 1 \end{array}$$

Piecewise-Defined Ranking Functions Abstract Domain

Assignments (continue)

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][\alpha_C(C)]$ on the defined leaf nodes
in ascending powers of ω

Example:

$$\begin{array}{ccc} f & \equiv & \omega \cdot x_1 + x_2 \\ \overleftarrow{\text{ASSIGN}}_W[x_1 \leftarrow [-\infty, +\infty]][\top_D] & \equiv & + x_2 + 1 \end{array}$$

Piecewise-Defined Ranking Functions Abstract Domain

Assignments (continue)

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][\alpha_C(C)]$ on the defined leaf nodes
in ascending powers of ω

Example:

$$\begin{array}{ccc} f & \equiv & \omega \cdot x_1 + x_2 \\ \overleftarrow{\text{ASSIGN}}_W[x_1 \leftarrow [-\infty, +\infty]][\top_D] & \equiv & \omega^2 \cdot 1 + \omega \cdot 0 + x_2 + 1 \end{array}$$

$\omega \cdot \omega = \omega^2 \cdot 1 + \omega \cdot 0$

Piecewise-Defined Ranking Functions Abstract Domain

Assignments (continue)

$\overleftarrow{\text{ASSIGN}}_A[X \leftarrow e]$

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[X \leftarrow e][\alpha_C(C)]$ on the defined leaf nodes
in ascending powers of ω

Example:

$$\begin{array}{ccc} f & \equiv & \omega \cdot x_1 + x_2 \\ \overleftarrow{\text{ASSIGN}}_W[x_1 \leftarrow [-\infty, +\infty]][\top_D] & \equiv & \omega^2 \cdot 1 + \omega \cdot 0 + x_2 + 1 \end{array}$$

Abstract Definite Termination Semantics

Abstract Definite Termination Semantics

For each program instruction stat, we define a transformer $\mathcal{R}_M^\# \llbracket \text{stat} \rrbracket : \mathcal{A} \rightarrow \mathcal{A}$:

- $\mathcal{R}_M^\# \llbracket X \leftarrow e \rrbracket t \stackrel{\text{def}}{=} \text{ASSIGN}_A \llbracket X \leftarrow e \rrbracket t$
- $\mathcal{R}_M^\# \llbracket \text{if } e \bowtie 0 \text{ then } s \rrbracket t \stackrel{\text{def}}{=} \text{FILTER}_A \llbracket e \bowtie 0 \rrbracket (\mathcal{R}_M^\# \llbracket s \rrbracket t) \vee_T \text{FILTER}_A \llbracket e \bowtie 0 \rrbracket$
- $\mathcal{R}_M^\# \llbracket \text{while } e \bowtie 0 \text{ do } s \text{ done} \rrbracket t \stackrel{\text{def}}{=} \text{lfp}^\# \bar{F}_M^\# \text{ FILTER}_A \llbracket e \bowtie 0 \rrbracket (\mathcal{R}_M^\# \llbracket s \rrbracket x) \vee_T \text{FILTER}_A \llbracket e \bowtie 0 \rrbracket (t)$
where $\bar{F}_M^\#(x) \stackrel{\text{def}}{=} \text{FILTER}_A \llbracket e \bowtie 0 \rrbracket (\mathcal{R}_M^\# \llbracket s \rrbracket x) \vee_T \text{FILTER}_A \llbracket e \bowtie 0 \rrbracket$
- $\mathcal{R}_M^\# \llbracket s_1; s_2 \rrbracket t \stackrel{\text{def}}{=} \mathcal{R}_M^\# \llbracket s_1 \rrbracket (\mathcal{R}_M^\# \llbracket s_2 \rrbracket t)$

Lesson 12

Termination Analysis

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Lesson 12

Termination Analysis

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Programs and executions

Language syntax

```

 $\ell\text{stat}^\ell ::= \ell X \leftarrow \text{exp}^\ell \quad (\text{assignment})$ 
|  $\ell \text{if exp} \bowtie 0 \text{ then } \ell\text{stat}^\ell \quad (\text{conditional})$ 
|  $\ell \text{while exp} \bowtie 0 \text{ do } \ell\text{stat}^\ell \text{ done}^\ell \quad (\text{loop})$ 
|  $\ell\text{stat}; \ell\text{stat}^\ell \quad (\text{sequence})$ 
 $\text{exp} = X \quad (\text{variable})$ 
|  $\neg \text{exp} \quad (\text{negation})$ 
|  $\text{exp} \diamond \text{exp} \quad (\text{binary operation})$ 
|  $c \quad (\text{constant } c \in \mathbb{Z})$ 
|  $[c, c'] \quad (\text{random input}, c, c' \in \mathbb{Z} \cup \{\pm\infty\})$ 

```

Simple structured, numeric language

- $X \in \mathbb{V}$, where \mathbb{V} is a finite set of **program variables**
- $\ell \in \mathcal{L}$, where \mathcal{L} is a finite set of **control points**
- numeric expressions: $\bowtie \in \{=, \leq, \dots\}$, $\diamond \in \{+, -, \times, /\}$
- random inputs**: $X \leftarrow [c, c']$
model environment, parametric programs, unknown functions, ...

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Abstract Definite Termination Semantics

Definition

The **abstract definite termination semantics** $\mathcal{R}_M^\# \llbracket \text{stat}^\ell \rrbracket \in \mathcal{A}$ of a program stat^ℓ is:

$$\mathcal{R}_M^\# \llbracket \text{stat}^\ell \rrbracket \stackrel{\text{def}}{=} \mathcal{R}_M^\# \llbracket \text{stat} \rrbracket (\text{LEAF}: \lambda X_1, \dots, X_k. 0)$$

where $\mathcal{R}_M^\# \llbracket \text{stat} \rrbracket : \mathcal{A} \rightarrow \mathcal{A}$ is the abstract definite termination semantics of each program instruction stat

Theorem (Soundness)

$$\mathcal{R}_M^\# \llbracket \text{stat}^\ell \rrbracket \leqslant \gamma_A(\mathcal{R}_M^\# \llbracket \text{stat}^\ell \rrbracket)$$

Corollary (Soundness)

A program stat^ℓ must terminate for traces starting from a set of initial states \mathcal{I} if $\mathcal{I} \subseteq \text{dom}(\gamma_A(\mathcal{R}_M^\# \llbracket \text{stat}^\ell \rrbracket))$

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Abstract Definite Termination Semantics

Example

```
1x1 ← [-∞, +∞]
2x2 ← [-∞, +∞]
while 3(x1 > 0 ∧ x2 > 0) do
    4b ← [-∞, +∞]
    if 5(b ≥ 0) then
        6x1 ← x1 - 1
        7x2 ← [-∞, +∞]
    else
        8x2 ← x2 - 1
od9
```

Abstract Definite Termination Semantics

Example

```
1 x1 ← [-∞, +∞]
2 x2 ← [-∞, +∞]
while 3(x1 > 0 ∧ x2 > 0) do
    4 b ← [-∞, +∞]
    if 5(b ≥ 0) then
        6 x1 ← x1 - 1
        7 x2 ← [-∞, +∞]
    else
        8 x2 ← x2 - 1
od9
```

$$f_3 \stackrel{\text{def}}{=} \begin{cases} 1 & x_1 \leq 0 \vee x_2 \leq 0 \\ \omega \cdot (x_1 - 7) + 7x_1 + 3x_2 - 5 & x_1 > 0 \wedge x_2 > 0 \end{cases}$$

The screenshot shows a GitHub repository page for `caterinaurban/function`. The repository is public and has 98 commits across 1 branch and 0 tags. The commit history is listed on the left, and the repository details are on the right.

Repository Details:

- Code** tab is selected.
- Branch:** master
- Commits:** 98 (by bdeeeae1 on Aug 21, 2018)
- Tags:** 0
- Actions:** Go to file, Code (selected), About
- Tags:** static-analysis, ocaml, termination, abstract-interpretation, liveness
- Readme:** Available
- Stars:** 7
- Watching:** 1
- Forks:** 2

Commit History:

File / Commit Message	Author	Date
banal	caterinaurban	Changes according to feedback in pull-request: 5 years ago
cfgfrontend	caterinaurban	- added loop detection to CFG based analysis 5 years ago
domains	caterinaurban	no message 4 years ago
frontend	caterinaurban	- added loop detection to CFG based analysis 5 years ago
main	caterinaurban	added time measurements to CTL analysis 5 years ago
tests	caterinaurban	more testcases with nestings of E/A 4 years ago
utils	caterinaurban	Moved forward analysis code to distinct module ForwardIterator and 5 years ago
.gitignore	caterinaurban	Renamed 'newfrontend' directory to 'cfgfrontend' 5 years ago
.merlin	caterinaurban	Renamed 'newfrontend' directory to 'cfgfrontend' 5 years ago
.ocamllint	caterinaurban	added banal abstract domain source code 5 years ago
Makefile	caterinaurban	- added loop detection to CFG based analysis 5 years ago
README.md	caterinaurban	- added loop detection to CFG based analysis 5 years ago
pretty.py	caterinaurban	Added CTL testcases 5 years ago
prettv_cfa.dv	caterinaurban	Implemented CFG based forward analysis 5 years ago

Bibliography

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extensions with **other widening heuristics**